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# **ASIC Gazette**

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#### **RIGHTS OF REVIEW**

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review* (RG57) and Information Sheet *ASIC decisions – your rights* (INFO 9) to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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ASIC

Australian Securities & Investments Commission

#### Australian Securities & Investments Commission Corporations Act 2001 Section 915B

#### Notice of Cancellation of an Australian Financial Services Licence

TO: Australian Super Public Sector Pty Ltd ACN 007 390 392 ("the Licensee") Level 33 50 Lonsdale Street Melbourne VIC 3000

Pursuant to section 915B of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Licence Number 233707 held by the Licensee with effect from the date on which this notice is given to the Licensee.

Dated this 28 June 2013

Signed .....

#### Australian Securities and Investments Commission Corporations Act 2001 – Paragraph 911A(2)(I) – Exemption

#### **Enabling legislation**

1. The Australian Securities and Investments Commission (ASIC) makes this instrument under paragraph 911A(2)(1) of the Corporations Act 2001 (the Act).

#### Title

2. This instrument is ASIC Instrument [13-0817].

#### Commencement

3. This instrument commences on gazettal.

#### Revocation

4. ASIC revokes ASIC Instrument [09/00005].

#### Exemption

5. Jefferies Bache Limited (*JBL*) Company Number 512397, a company incorporated in the United Kingdom, is exempt from the requirement to hold an Australian financial services licence in relation to the provision of financial services specified in paragraph 1 of Schedule B of the class order in this jurisdiction to wholesale clients.

#### Where this instrument applies

- 6. This instrument applies where JBL:
  - (a) has a current Part 4A Permission and has provided ASIC with a copy of the Part 4A Permission that the FCA has issued to it;
  - (b) meets the requirements of the class order specified in:
    - (i) Schedule A (except for paragraphs (a), (d), (e) and (f)); and
    - (ii) Schedule B (except for paragraph 2(a)) as if references in that Schedule to "this instrument" were references to this instrument.

#### **Conditions**

7. JBL must take reasonable steps to comply with the requirements specified in Schedule C of the class order.

#### Where this instrument ceases to apply

- 8. This instrument ceases to apply if both of the following are satisfied:
  - (a) JBL becomes aware or should reasonably have become aware of matters that give it reason to believe that it has failed, other than in an immaterial respect, to comply with a requirement set out in Schedule C of the class order;
  - (b) JBL has not provided full particulars of the failure to ASIC (to the extent that JBL knows those particulars or would have known them if it had undertaken reasonable enquiries) within 15 business days after JBL became so aware or should reasonably have become so aware.

#### Interpretation

9. In this instrument:

*class order* means ASIC Class Order [CO 03/1099] as in force on the date of this instrument and as amended from time to time by a disallowable legislative instrument within the meaning of the *Legislative Instruments Act 2003*.

FCA and FSM Act have their respective meanings given by the class order.

**Part 4A Permission** means a permission given under Part 4A of the FSM Act or having effect as if so given under that Part.

wholesale client has the same meaning given by the class order.

Dated this 3<sup>rd</sup> day of July 2013

Signed by Ian Domecillo

#### Australian Securities and Investments Commission Corporations Act 2001 – Paragraphs 741(1)(b), 911A(2)(l), 992B(1)(a), 1020F(1)(a) and 1020F(1)(b) – Exemptions and Declaration

#### **Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 741(1)(b), 911A(2)(l), 992B(1)(a), 1020F(1)(a) and 1020F(1)(b) of the *Corporations Act 2001 (Act*).

#### Title

2. This instrument is ASIC Instrument [13-0824].

#### Commencement

3. This instrument commences on gazettal.

#### **Exemptions**

- 4. The issuer does not have to comply with Part 7.9 of the Act where the issuer:
  - (a) makes an eligible incentive plan offer;
  - (b) offers to arrange for the issue of financial products under an eligible incentive plan offer; or
  - (c) issues a financial product under an eligible incentive plan offer;
  - on the conditions set out in this instrument and for so long as the conditions are met.
- 5. Where the issuer is exempt from Part 7.9 in relation to an eligible incentive plan offer because of the exemption in paragraph 4, the issuer is also exempt from the requirement to hold an Australian financial services licence for the provision of the following financial services:
  - (a) a financial service consisting of general advice reasonably given in connection with the eligible incentive plan offer (including any general advice given in the offer document) where the issuer ensures that the offer document for the offer includes a statement to the effect that any advice given by the issuer in connection with the offer is general advice only, and that employees should consider obtaining their own financial product advice from an independent person who is licensed by ASIC to give such advice;
  - (b) a custodial or depositary service in connection with an eligible incentive plan offer where the issuer performs their duties in good faith and has sufficient resources to perform those duties;

- (c) dealing in a financial product in the course of providing a custodial or depositary service covered by paragraph (b);
- (d) issuing a financial product under an eligible incentive plan offer covered by paragraph 4 of this instrument; and
- (e) dealing in a financial product in connection with an eligible incentive plan offer where any acquisition by purchase or disposal of the product by the issuer occurs either:
  - (i) through a person who holds an Australian financial services licence authorising the holder to deal in financial products; or
  - (ii) outside this jurisdiction and through a person who is licensed or otherwise authorised to deal in financial products in the relevant place.
- 6. Where the issuer is exempt from Part 7.9 in relation to an eligible incentive plan offer because of the exemption in paragraph 4, the issuer also does not have to comply with section 992A of the Act in relation to an eligible incentive plan offer made in the course of, or because of, unsolicited meetings or telephone calls reasonably held or made in connection with the offer.
- 7. ASIC exempts a financial product that is the subject of an eligible incentive plan offer from Part 7.9 of the Act where:
  - (a) a recommendation is made by a person (other than the issuer) that a person to whom an eligible incentive plan offer has been made, acquire the financial product as a retail client; and
  - (b) the person who made the recommendation is not aware, and ought not reasonably to be aware, that any of the conditions set out in this instrument have not been met;

but only in relation to the recommendation by the person.

#### **Declaration**

8. Chapter 6D of the Act applies to the trustee as if subsections 707(3) and (4) are omitted from the Act.

#### Where this instrument applies

- 9. The exemptions in paragraph 4, 5, 6 and 7 of this instrument apply where the issuer:
  - (a) meets the requirements (including, for the avoidance of doubt, definitions) of the class order, except the definitions of:
    - (i) "eligible offer" in paragraph 9 of the Interpretation; and
    - (ii) "issuer" in paragraph 12 of the Interpretation; and

- (iii) "offer document" in paragraph 15 of the Interpretation; and
- (b) would meet the requirements of the class order if:
  - (i) the definition of an "eligible offer" in paragraph 9 of the Interpretation were to read:
    - "9. "eligible offer" means an offer for issue or sale of performance rights in relation to fully-paid shares in an issuer in the same class as shares which have been quoted on the financial market operated by the Australian Securities Exchange throughout the 12 month period immediately before the offer without suspension for more than a total of 2 trading days during that period made under an employee share scheme extended only to eligible employees of the issuer;"; and
  - (ii) in the Interpretation, the following definition were inserted:
    - "9A. "employee share scheme", for a body corporate, means a scheme under which shares (or units of shares or options to acquire unissued shares or performance rights) in the body or a related body corporate may be acquired:
      - (a) by, or for the benefit of:
        - (i) employees of the body, or of the related body corporate;
        - (ii) directors of the body, or of a related body corporate, who hold a salaried employment or office in the body or in a related body corporate; or
      - (b) by a corporation all of whose members are:
        - (i) employees of the body, or of a related body corporate;
        - (ii) directors of the body, or of a related body corporate, who hold a salaried employment or office in the body or in a related body corporate;"; and
  - (iii) in the definition of an "offer document" in paragraph 15 of the Interpretation, subparagraphs (c) and (d) were to read:
    - "(c) specifies in respect of the performance rights or the shares to which the performance rights relate:
      - (i) the acquisition price in Australian dollars;
      - (ii) where the acquisition price is specified in a foreign currency, the Australian dollar equivalent of that price at the date of the eligible offer; or

- (iii) where the acquisition price is to be worked out in the future under a formula, the Australian dollar or Australian dollar equivalent of that price were the formula applied at the date of the eligible offer; and
- (d) includes an undertaking, and an explanation of the way in which, the issuer who has a registered office in this jurisdiction will, during the period in which an eligible employee may acquire the shares, within a reasonable period of the employee requesting, make available to the employee:
  - (i) the current market price (or, where that price is denominated in a foreign currency, the Australian dollar equivalent of that price) of the shares to which the performance rights relate; and
  - (ii) where sub-subparagraph (c)(ii) or (iii) applies, the information referred to in that subparagraph as updated to that date."; and
- (iv) in the Interpretation, the following definition were inserted:
  - "16A. "performance right" means a conditional right:
    - (a) to either:
      - (i) be issued a fully-paid ordinary share in the capital of the issuer; or
      - (ii) receive a cash amount equivalent to the value of a fully-paid ordinary share in the capital of the issuer; or
      - (iii) be issued a fully-paid ordinary share in the capital of the issuer or to receive a cash amount equivalent to the value of such a share; and
    - (b) subject to the terms of the relevant offer, to receive a cash amount equivalent to the value of any dividend or distribution paid on a fully-paid ordinary share in the capital of the issuer;

where the performance right is offered for no monetary consideration;".

- 10. The declaration in paragraph 8 of this instrument applies where:
  - (a) the issuer makes an offer to sell a share in the issuer to the trustee under a trust arrangement entered into between the trustee and the issuer in connection with an eligible incentive plan offer;

- (b) the share in the issuer is issued to the trustee and subsequently transferred by the trustee to, or to the account of, an eligible employee in accordance with the terms of an eligible incentive plan offer accepted by the eligible employee;
- (c) the share in the issuer is issued to the trustee without disclosure under Part 6D.2 of the Act; and
- (d) the issuer did not issue the share with the purpose of the eligible employee selling or transferring the share or granting, issuing or transferring interests in, or options or warrants over, the share.

#### **Conditions**

- 11. The issuer can only rely on the exemptions in paragraphs 4, 5, 6 and 7 of this instrument, and the trustee can only rely on the declaration in paragraph 8 of this instrument, if the issuer and the trustee comply with the following conditions as expressed to apply to them:
  - (a) the conditions of the class order (excluding the condition specified in paragraph 3 of the Schedule to the class order) as expressed to apply to them; and
  - (b) the issuer must take reasonable steps to ensure that the number of shares that may be issued in connection with any eligible incentive plan offer, when aggregated with:
    - (i) the number of shares in the same class which would be issued were every other outstanding offer with respect to shares, units of shares, options to acquire unissued shares and performance rights under every other employee share schemes of the issuer to vest or to be accepted or exercised;
    - (ii) the number of shares in the same class issued during the previous 5 years pursuant to:
      - (A) an eligible incentive plan offer extended only to eligible employees; and
      - (B) any other employee share scheme extended only to eligible employees of the issuer;

but disregarding any offer made, option or right acquired or share issued by way of or as a result of:

- (iii) an offer to a person situated at the time of receipt of the offer outside this jurisdiction; or
- (iv) an offer that did not need disclosure to investors because of section 708 of the Act; or
- (v) an offer that did not require the giving of a Product Disclosure Statement because of section 1012D of the Act; or

(vi) an offer made under a disclosure document or Product Disclosure Statement,

must not exceed 5% of the total number of issued shares in that class of the issuer as at the time of the offer.

#### Interpretation

#### 12. In this instrument:

- (a) a word and phrase used in this instrument has the same meaning as it has in the class order unless the word or phrase is defined in paragraph 8 of this instrument in which case the word or phrase has that meaning;
- (b) class order means ASIC Class Order [CO 03/184] as in force on the date of this instrument and as amended from time to time by a disallowable legislative instrument within the meaning of the Legislative Instruments Act 2003;
- (c) eligible incentive plan offer means an offer for the issue or sale of performance rights, made under an arrangement known as the Commonwealth Bank of Australia Group Rights Plan (under which the performance rights are referred to as "Rights") the terms of which are substantially in the same form as those provided to ASIC on 18 June 2013;
- (d) *issuer* means Commonwealth Bank of Australia ACN 123 123 124 and any related body corporate; and
- (e) *trustee* means Pacific Custodians Pty Limited ACN 009 682 866, appointed as trustee under a trust deed known as the Commonwealth Bank of Australia Share Plan Trust entered into between the issuer and Pacific Custodians Pty Limited, the terms of which are substantially in the same form as those provided to ASIC on 18 June 2013.

Dated this 28<sup>th</sup> day of June 2013

Signed by Nathania Nero

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#### Australian Securities and Investments Commission Corporations Act 2001 — Paragraph 741(1)(a) – Exemption

#### **Enabling legislation**

1. The Australian Securities and Investments Commission makes this instrument under paragraph 741(1)(a) of the *Corporations Act 2001*(the *Act*).

#### Title

2. This instrument is ASIC Instrument 13-0831.

#### Commencement

3. This instrument commences on 28 June 2013.

#### **Exemption**

4. Cleveland Mining Company Limited ACN 122 711 880 (*issuer*) does not have to comply with items 3 and 6 of section 717 and subsection 723(1) of the Act where the issuer offers or issues options.

#### Where this instrument applies

- 5. This instrument applies where the issuer:
  - (a) offers or issues options under a prospectus (*prospectus*) that has been lodged with ASIC on or around 28 June 2013 and contains the information required under Part 6D.2 of the Act; and
  - (b) only makes an offer of and issues options under the prospectus to subscribers under a placement, a share purchase plan and a debt facility.

#### Interpretation

- 7. In this Instrument:
  - (a) **debt facility** means a convertible debt facility with Geordie Pty Ltd ACN 114 966 808, the terms and conditions of which are described in the issuer's notice of meeting dated 13 May 2013 and approved by shareholders of the issuer on 13 June 2013.
  - (b) **options** means options offered and issued for no consideration which give the holders the option to acquire ordinary shares in the issuer under the terms and conditions as described in the prospectus.
  - (c) placement means an offer and issue of shares in the issuer made only to:
    - i. sophisticated investors; or
    - ii. professional investors.

- (d) **professional investor** means a person to whom an offer under Part 6D.2 of the Act does not require disclosure to investors by reason of subsection 708(11).
- (e) **share purchase plan** means an offer of shares in the issuer made to holders of shares in the issuer in an offer document dated 19 February 2013.
- (f) **sophisticated investor** means a person to whom an offer under Part 6D.2 of the Act does not require disclosure to investors by reason of subsection 708(8).

Dated this 28<sup>th</sup> day of June 2013

Signed by Tashreen Tourabaly

#### Australian Securities and Investments Commission Corporations Act 2001 – Paragraphs 741(1)(b), 926A(2)(a) and 1020F(1)(c) – Declarations and Exemptions

#### **Enabling legislation**

1. The Australian Securities and Investments Commission (ASIC) makes this instrument under paragraphs 741(1)(b), 926A(2)(a) and 1020F(1)(c) of the Corporations Act 2001 (the Act).

#### Title

2. This instrument is ASIC Instrument [13-0832].

#### Commencement

3. This instrument commences on 28<sup>th</sup> June 2013.

#### Declaration

- 4. Chapter 6D of the Act applies to GI Dynamics, Inc. ARBN 151 239 388 (*Company*) as if regulation 6D.5.01 of the *Corporations Regulations 2001* (the *Regulations*) were modified or varied by omitting "warrant that is a security" and substituting "warrant that is a security (other than a CDI within the meaning of subsection 700(3B) of the Act)".
- 5. Chapter 6D of the Act applies to the Company as if the following provisions were omitted, modified or varied as follows:
  - (a) after subsection 700(3) insert:
    - "Application to CDIs
    - (3A) For the purposes of this Chapter, where the securities are CDIs:
      - (a) the foreign body that issues, or offers to issue, the securities underlying the CDIs is taken to be the person who offers the CDIs for issue;
      - (b) the foreign body that issues the securities underlying the CDIs is taken to be the issuer of the CDIs; and
      - (c) the CDIs are taken to be the foreign body's securities.
    - (3B) For the purposes of subsection (3A):

ASX Settlement means ASX Settlement Pty Limited ABN 49 008 504 302.

**CDIs** means a unit of beneficial ownership in a foreign body's securities registered in the name of CDN for the purpose of enabling the securities of a foreign body to be recorded in and transferred through CHESS and being described in the operating rules of ASX Settlement as CHESS Depositary Interests or CDIs.

**CDN** means CHESS Depositary Nominees Pty Limited ABN 75 071 346 506.

CHESS means Clearing House Electronic Subregister System.

foreign body means a body formed or incorporated outside this jurisdiction.";

- (b) omit paragraph 708A(1)(b), substitute:
  - "(b) except where the securities are CDIs as defined in subsection 700(3B), the securities were not issued by the body with the purpose referred to in subparagraph 707(3)(b)(i); and";
- (c) omit subsection 708A(2)(b), substitute:
  - "(b) section 601CK or the financial reporting laws of the kind set out in Chapter 2M applicable to the body in its place of origin;";
- (d) omit paragraph 708A(5)(d), substitute:
  - "(d) no declaration under subsection 601CK(7) or exemption or waiver (however described) under the financial reporting laws of the kind set out in Chapter 2M applicable to the body in its place of origin, covered the body, or any person as director or auditor of the body, at any time during the relevant period referred to in paragraph (b); and";
- (e) omit subparagraph 708A(6)(d)(i), substitute:
  - "(i) section 601CK and the financial reporting laws of the kind set out in Chapter 2M applicable to the body in its place of origin; and";
- (f) after paragraph 708A(6)(e) insert:
  - "(f) either:

- (i) explains the difference between holding CDIs (within the meaning of subsection 700(3B)) in relation to securities and directly holding the underlying securities; or
- (ii) if the explanation in subparagraph (i) is contained in a document which is readily accessible without charge—states where that document can be accessed.".
- 6. Part 7.9 of the Act applies to the Company as if subregulation 7.9.07A(1) of the Regulations were modified or varied by omitting "warrants." and substituting "warrants (other than CDIs within the meaning of subsection 700(3B) of the Act)."

#### **Exemptions**

- 7. The Company is exempt from subsection 911A(1) of the Act for a financial service that consists of dealing or arranging for CDN to deal in CDIs in accordance with the operating rules of ASX Settlement.
- 8. CDN is exempt from subsection 911A(1) of the Act for a financial service that consists of issuing or dealing in CDIs in accordance with the operating rules of ASX Settlement.

#### Where this instrument applies

8. This instrument applies in relation to an offer, for issue or sale, of CDIs in the Company that are issued under a placement to professional investors or sophisticated investors in Australia and to institutional investors outside Australia to be conducted by the Company on or around 2 July 2013.

#### Interpretation

9. In this instrument:

ASX Settlement means ASX Settlement Pty Limited ABN 49 008 504 302;

**CDIs** means a unit of beneficial ownership in a foreign body's securities registered in the name of CDN for the purpose of enabling the securities of a foreign body to be recorded in and transferred through CHESS and being described in the operating rules of ASX Settlement as CHESS Depositary Interests or CDIs;

CDN means CHESS Depositary Nominees Pty Limited ABN 75 071 346 506;

CHESS means Clearing House Electronic Subregister System;

foreign body means a body formed or incorporated outside this jurisdiction;

*professional investors* has the same meaning as in subsection 708A(11) of the Act; and

sophisticated investors has the same meaning as in subsection 708A(8) of the Act

Dated this 28<sup>th</sup> day of June 2013

Nathania Nero

(preno

#### Australian Securities and Investments Commission Corporations Act 2001 – Subsection 601CK(7) – Declaration

#### **Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsection 601CK(7) of the *Corporations Act* 2001 (*Act*).

#### Instrument

2. This instrument is ASIC Instrument [13-0835].

#### Commencement

3. This instrument commences on gazettal.

#### **Declaration**

4. Section 601CK of the Act does not apply to Linktone Ltd. ARBN 164 134 472 (*Linktone*), a foreign company incorporated in the Cayman Islands.

#### Where this instrument applies

- 5. This instrument applies if at least once every calendar year and at intervals of not more than 15 months, Linktone lodges with ASIC a copy of its:
  - a) balance-sheet;
  - b) cash flow statement; and
  - c) profit and loss statement;

made up to the end of, or for, its last financial year, in such a form and containing such particulars and including copies of such documents as Linktone is required to prepare under the United States Securities Exchange Act of 1934, as amended, in connection with its listing on the NASDAQ Stock Market and in accordance with the United States Generally Accepted Accounting Principles, together with a statement in writing signed by a director of Linktone verifying that the copies are true copies of the documents so required.

Dated this 1st day of July 2013

Signed by Fiona Laidlaw





#### Australian Securities & Investments Commission Corporations Act 2001 Section 915B

#### Notice of Cancellation of an Australian Financial Services Licence

TO: Phase 4 Pty Limited ABN 91 117 305 530 3 Pyrl Road Artarmon, NSW 2064, Australia

Pursuant to section 915B of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Licence Number 301149 held by the Licensee with effect from the date on which this notice is given to the Licensee.

Dated this 2 July 2013

Signed





ASIC

Australian Securities & Investments Commission

#### Australian Securities & Investments Commission Corporations Act 2001 Section 915B

#### Notice of Cancellation of an Australian Financial Services Licence

TO: Price Watch Services Pty Limited ABN 72 003 449 036 8 Jarrad Street Cotteslow WA 6011

Pursuant to section 915B of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Licence Number 237532 held by the Licensee with effect from the date on which this notice is given to the Licensee.

Dated this 2 July 2013

Signed

#### **Australian Securities and Investments Commission**

#### Corporations Act 2001 - Paragraph 741(1)(b) - Declaration

#### **Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 741(1)(b) of the *Corporations Act 2001* (the *Act*).

#### Title

2. This instrument is ASIC Instrument 13-0838.

#### Commencement

3. This instrument commences on 2 July 2013.

#### **Declaration**

- 4. Chapter 6D of the Act applies to Australia and New Zealand Banking Group Limited ACN 005 357 522 (ANZ) as if section 713 of the Act were modified or varied as follows:
  - (a) at the end of paragraph 713(1)(b) insert "or";
  - (b) after paragraph 713(1)(b) insert:
    - "(c) convertible securities convertible into continuously quoted securities of a body; or
    - (d) convertible securities convertible into securities of a body that has become the holding company of another company ("subsidiary") as a result of a Part 5.1 arrangement between the subsidiary and its members provided that:
      - (i) the securities of the subsidiary were continuously quoted securities at the time of the Part 5.1 arrangement; and
      - (ii) the body's securities are ED securities at the time of conversion."
  - (c) at the end of paragraph 713(2)(d) omit "securities." and substitute "securities; and";
  - (d) after subparagraph 713(2)(d) insert:
    - "(e) if the securities are convertible securities the rights and liabilities attaching to:

- (i) the convertible securities themselves; and
- (ii) the underlying securities."
- 5. Chapter 6D of the Act applies to ANZ as if the definition of "underlying securities" in section 9 of the Act were modified or varied as follows:
  - (a) at the end of subparagraph (b) omit "securities." and substitute "securities; and";
  - (b) after subparagraph (b), insert:
    - "(c) in relation to convertible securities those securities into which the securities may be converted."

#### Where this instrument applies

6. This instrument applies in relation to an offer by ANZ to issue convertible securities where the terms of the issue are substantially the same as the terms provided to ASIC on 1 May 2013 and the offer is made pursuant to a prospectus lodged with ASIC on or about 12 June 2013 or a replacement or a supplementary prospectus lodged with ASIC on or about 19 June 2013.

Dated this 2nd day of July 2013

Signed by Megan Dillon





#### ASIC

Australian Securities & Investments Commission

#### Australian Securities & Investments Commission Corporations Act 2001 Section 915B

#### Notice of Cancellation of an Australian Financial Services Licence

TO: Javelin Partners Pty Ltd ACN 104 708 581(the Licensee") PO BOX 8255, Subiaco East WA 6008

Pursuant to section 915B of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Licence Number 275135 held by the Licensee with effect from the date on which this notice is given to the Licensee.

Dated this 2<sup>nd</sup> day of July 2013

Signed

Commission





#### ASIC

Australian Securities & Investments Commission

#### Australian Securities & Investments Commission Corporations Act 2001 Section 915B

#### Notice of Cancellation of an Australian Financial Services Licence

TO: Arid Enviro Management Pty Ltd ACN 073 256 701 ("the Licensee") 1/71 Troy Terrace Jolimont WA 6014

Pursuant to section 915B of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Licence Number 430238 held by the Licensee with effect from the date on which this notice is given to the Licensee.

Dated this 2<sup>nd</sup> day of July 2013

Signed





#### ASIC

Australian Securities & Investments Commission

#### Australian Securities & Investments Commission Corporations Act 2001 Section 915B

#### Notice of Cancellation of an Australian Financial Services Licence

TO: Partnership Financial Services Pty Ltd ACN 009 015 874 ("the Licensee") Locked Bag 4004 Queen Victoria Building NSW 1230

Pursuant to section 915B of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Licence Number 220381 held by the Licensee with effect from the date on which this notice is given to the Licensee.

Dated this 2<sup>nd</sup> day of July 2013

Signed





#### ASIC

Australian Securities & Investments Commission

#### Australian Securities & Investments Commission Corporations Act 2001 Section 915B

#### Notice of Cancellation of an Australian Financial Services Licence

TO: Jade Capital Group Pty Limited ACN 122 647 590 Level 6 Terrace Tower, 80 William Street East Sydney NSW 2010

Pursuant to section 915B of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Licence Number 309008 held by the Licensee with effect from the date on which this notice is given to the Licensee.

Dated this 3 July 2013

Signed

#### **Australian Securities and Investments Commission**

#### Corporations Act 2001 - Paragraph 741(1)(b) - Declaration

#### **Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 741(1)(b) of the *Corporations Act 2001* (the *Act*).

#### Title

2. This instrument is ASIC Instrument 13-0844.

#### Commencement

3. This instrument commences on 2 July 2013.

#### Revocation

- 4. The following instrument is revoked:
  - (a) ASIC Instrument 13-0838

#### **Declaration**

- 5. Chapter 6D of the Act applies to Australia and New Zealand Banking Group Limited ACN 005 357 522 (ANZ) as if section 713 of the Act were modified or varied as follows:
  - (a) at the end of paragraph 713(1)(b) insert "or";
  - (b) after paragraph 713(1)(b) insert:
    - "(c) convertible securities convertible into continuously quoted securities of a body; or
    - (d) convertible securities convertible into securities of a body that has become the holding company of another company ("subsidiary") as a result of a Part 5.1 arrangement between the subsidiary and its members provided that:
      - (i) the securities of the subsidiary were continuously quoted securities at the time of the Part 5.1 arrangement; and
      - (ii) the body's securities are ED securities at the time of conversion."
  - (c) at the end of paragraph 713(2)(d) omit "securities." and substitute "securities; and";

- (d) after subparagraph 713(2)(d) insert:
  - "(e) if the securities are convertible securities the rights and liabilities attaching to:
    - (i) the convertible securities themselves; and
    - (ii) the underlying securities."
- 6. Chapter 6D of the Act applies to ANZ as if the definition of "underlying securities" in section 9 of the Act were modified or varied as follows:
  - (a) at the end of subparagraph (b) omit "securities." and substitute "securities; and";
  - (b) after subparagraph (b), insert:
    - "(c) in relation to convertible securities those securities into which the securities may be converted."

#### Where this instrument applies

7. This instrument applies in relation to an offer by ANZ to issue convertible securities where the terms of the issue are substantially the same as the terms provided to ASIC on 1 May 2013 and the offer is made pursuant to a prospectus lodged with ASIC on or about 2 July 2013 or a replacement or a supplementary prospectus lodged with ASIC on or about 10 July 2013.

Dated this 2nd day of July 2013

Signed by Megan Dillon



# **Australian CS Facility Licence (LCH.Clearnet Limited) Variation Notice 2013 (No. 1)**

Corporations Act 2001

I, BERNIE RIPOLL, Parliamentary Secretary to the Treasurer, make this Variation under section 825A of the *Corporations Act 2001* (the Act).

Dated 17.13

Parliamentary Secretary to the Treasurer

#### Name of variation

1. This variation is the Australian CS Facility Licence (LCH.Clearnet Limited) Variation Notice 2013 (No. 1).

#### Commencement

2. This Variation commences on the day it is published in the *Gazette*.

#### Variation

3. The Schedule varies Australian CS Facility Licence (LCH.Clearnet Limited) 2013.

2

#### Schedule

#### Variation

13-0845

#### [1] Section 3

Insert a new definition after "Australian financial services licence"

**Bank of England** means the Bank of England or any predecessor or successor organisation in the United Kingdom responsible for the supervision of the overseas clearing and settlement facility.

Omit the definition of 'facility', substitute

exchange contract facility means the overseas clearing and settlement facility that LCH is authorised to operate in the United Kingdom in respect of transactions entered into on a financial market.

financial market has the meaning given by section 761A of the Act.

Omit the definition of "FSA", substitute

*interest rate derivatives* means derivatives where the amount of consideration or the value of the arrangement is ultimately determined, derives from or varies by reference to the value of an interest rate.

Omit the definition of "overseas clearing and settlement facility"

Insert a new definition after "RBA":

**SwapClear facility** means the overseas clearing and settlement facility that LCH is authorised to operate in the United Kingdom in respect of over-the-counter transactions in derivatives.

#### [2] Section 4

Omit "overseas clearing and settlement", substitute

SwapClear facility and exchange contract

insert, at the end of Section 4

#### 5. Facilities

(1) The exchange contract facility may provide services under this Licence only in respect of transactions in the financial products referred to in section 6(1) entered into through the FEX market.

3

13-0845

(2) The SwapClear facility may provide services under this Licence only in respect of transactions in the financial products referred to in section 6(2) entered into over-the-counter.

#### [3] Section 5

Omit the section, substitute

#### 6. Class of financial products

- (1) The class of financial products in respect of which the exchange contract facility may provide services under this Licence is:
  - (a) commodity derivatives;
  - (b) energy derivatives; and
  - (c) environmental derivatives.
- (2) The class of financial products in respect of which the SwapClear facility may provide services under this Licence is interest rate derivatives.
- (3) LCH must not provide services under this Licence in respect of any financial product referred to in subsection 6(1) unless LCH has obtained a written statement of non-objection from the Bank of England to provide clearing and settlement services for that financial product.
- (4) LCH must not provide services under this Licence in respect of any financial product referred to in subsections 6(1) and 6(2) unless, before such operation, ASIC and the RBA have notified LCH in writing that that financial product is an approved financial product for the purposes of this section.

#### [4] Section 6

Omit the section number "6" substitute

7

#### [5] Section 7

Omit the section number "7", substitute

8

Omit "LCH must require a participant of the", substitute

LCH must require each participant of the SwapClear facility and each participant of the exchange contract

4

13-0845

Omit "its participation in the facility" (first occurring), substitute transactions referred to in section 6 omit "under the Act"

#### [6] Section 8

Omit the section number "8", substitute

9

Omit "facility" (each occurring), substitute facilities





#### ASIC

Australian Securities & Investments Commission

#### Australian Securities & Investments Commission Corporations Act 2001 Section 915B

#### Notice of Cancellation of an Australian Financial Services Licence

TO: Leeds Morgan Pty. Ltd. ACN 153 216 249("the Licensee") Level 27, 101 Collins St Melbourne VIC 3000

Pursuant to section 915B of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Licence Number 416809 held by the Licensee with effect from the date on which this notice is given to the Licensee.

Dated this 3<sup>rd</sup> day of July 2013

Signed

#### NOTICE UNDER SECTION 915F OF THE CORPORATIONS ACT 2001

Notice is hereby given under section 915F of the Corporations Act 2001 that the Australian Securities and Investments Commission has made an order, a copy of which is set out below, which order took effect on the date of service of the order on the person to whom it relates, being 28 June 2013.

#### AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

#### IN THE MATTER OF SILVERTON PARTNERS PTY LTD ACN 052 528 944

#### WRITTEN NOTICE UNDER S915B(3) OF THE CORPORATIONS ACT 2001

To: Silverton Partners Pty Ltd ACN 052 528 944

Under s915B(3)(a) of the Corporations Act 2001 the Australian Securities and Investments Commission cancels Australian financial services licence no. 308325 held by Silverton Partners Pty Ltd ACN 052 528 944.

Dated this 21st day of June 2013.

Signed: Graeme Darcy Plath

Delegate of the Australian Securities and

**Investments Commission** 

Your attention is drawn to subsection 911A(1) of the Corporations Act 2001 which provides that subject to this section, a person who carries on a financial services business in this jurisdiction must hold an Australian financial services licence covering the provision of the financial services and that a failure to comply with this subsection is an offence (see subsection 1311(1) of the Corporations Act 2001).

#### NOTICE UNDER SECTION 920E OF THE CORPORATIONS ACT 2001

Notice is hereby given under section 920E of the Corporations Act 2001 that the Australian Securities and Investments Commission has made an order, a copy of which is set out below, which order took effect on the date of service of the order on the person to whom it relates, being 1 July 2013.

#### AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

#### IN THE MATTER OF PAVAN VYAS

## BANNING ORDER UNDER SECTIONS 920A AND 920B OF THE CORPORATIONS ACT 2001

To: Pavan Vyas

Under sections 920A(1) and 920B(2) of the Corporations Act 2001 the Australian Securities and Investments Commission prohibits **PAVAN VYAS** providing any financial services permanently.

Dated this 26th day of June 2013

Graeme Darcy Plath

as a delegate of the Australian Securities and Investments Commission

Your attention is drawn to subsection 920C(2) of the Corporations Act 2001 which provides that a person must not engage in conduct which breaches a banning order that has been made against the person. Contravention of subsection 920C(2) is an offence.

#### NOTICE UNDER SECTION 920E OF THE CORPORATIONS ACT 2001

Notice is hereby given under section 920E of the Corporations Act 2001 that the Australian Securities and Investments Commission has made an order, a copy of which is set out below, which order took effect on the date of service of the order on the person to whom it relates, being 2 July 2013.

#### AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

#### IN THE MATTER OF LEROY BOWMAKER

### BANNING ORDER UNDER SECTIONS 920A AND 920B OF THE CORPORATIONS ACT 2001

To: Leroy Bowmaker

Under sections 920A(1) and 920B(2) of the Corporations Act 2001 the Australian Securities and Investments Commission prohibits **LEROY BOWMAKER** from providing any financial services permanently.

Dated this 18th day of June 2013

Graeme Darcy Plath

as a delegate of the Australian Securities and Investments Commission

Your attention is drawn to subsection 920C(2) of the Corporations Act 2001 which provides that a person must not engage in conduct which breaches a banning order that has been made against the person. Contravention of subsection 920C(2) is an offence.

#### Australian Securities and Investments Commission Corporations Act 2001 – Paragraph 911A(2)(I) – Revocation and Exemption

#### **Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 911A(2)(1) of the *Corporations Act 2001* (the *Act*).

#### Title

2. This instrument is ASIC Instrument 13 - 0851.

#### Commencement

3. This instrument commences on gazettal.

#### Revocation

4. ASIC Instruments [04-0178], [04-0988], [04-0989] and [08-00635] are revoked.

#### Exemption

5. WT Finance (Aust) Pty Limited ACN 108 806 711, Westfield Capital Corporation Pty Limited ACN 008 589 384, Westfield Finance (Aust) Limited ACN 093 642 865 and WFA Finance (Aust) Pty Limited ACN 108 802 384 (each a *Company* and collectively the *Companies*) are exempt from the requirement to hold an Australian financial services licence.

#### Where this instrument applies

- 6. This instrument applies to the provision of financial services where:
  - (a) the Company is, and remains at all times, an entity within the Westfield Group;
  - (b) the financial services provided by the Company consist only of either or both of:
    - (i) dealing in derivatives; and
    - (ii) dealing in foreign exchange contracts;
  - (c) the financial services do not involve the making of a market for derivatives or foreign exchange contracts;
  - (d) the dealing is entered into by the Company solely for the purpose of one or more entities within the Westfield Group managing a financial risk that arises in the ordinary course of business of the entity; and

13 - 0851

(e) the financial services provided by the Companies do not constitute a significant part of the Westfield Group's business on a consolidated basis.

### **Conditions**

7. Before entering all derivative and foreign exchange transactions, the Company must notify the counterparty to the transaction that the Company is exempt from the requirement to hold, and does not hold, an Australian financial services licence.

### Interpretation

8. In this instrument:

*control* has the same meaning as in accounting standard AASB 127 *Consolidated and Separate Financial Statements*.

*Westfield Group* means the group of entities consisting of Westfield Holdings Limited ACN 001 671 496 (WHL), Westfield Trust ARSN 090 849 746 (WT) and Westfield America Trust ARSN 092 058 449 (WAT), and all other entities controlled by one or more of WHL, WT and WAT.

Dated this 3<sup>rd</sup> day of July 2013

Signed by Dulce T. Asensi

as a delegate of the Australian Securities and Investments Commission

13 - 0852

# NOTICE UNDER SECTION 920E OF THE CORPORATIONS ACT 2001

Notice is hereby given under section 920E of the Corporations Act 2001 that the Australian Securities and Investments Commission has made an order, a copy of which is set out below, which order took effect on the date of service of the order on the person to whom it relates, being 2 July 2013.

# AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

# IN THE MATTER OF LAWSON STUART DONALD

### BANNING ORDER UNDER SECTIONS 920A AND 920B OF THE CORPORATIONS ACT 2001

To: Lawson Stuart Donald

Under sections 920A(1) and 920B(2) of the Corporations Act 2001 the Australian Securities and Investments Commission prohibits **LAWSON STUART DONALD** from providing any financial services permanently.

Dated this 1st day of July 2013

Graeme Darcy Plath

as a delegate of the Australian Securities and Investments Commission

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**CORPORATIONS ACT 2001** SECTION 601AH(1)& 601AH(2)

Notice is hereby given that the registration of the companies mentioned below have been reinstated.

Dated this third day of July 2013

Name of Company	ACN
A. & T. NOMINEES PTY. LIMITED	007 839 447
A.C.N. 101 482 580 PTY LTD	101 482 580
A.C.N. 133 386 464 PTY LTD	133 386 464
ADAM'S AUTO CENTRE PTY LTD	103 085 481
ADAMSCAPE CONSTRUCTIONS PTY LTD	145 192 794
ADATA (N S W ) PTY LTD	003 176 707
ADELINNE PTY LTD	109 063 363
ADRINA (QLD) PTY LTD	118 796 197
ADVANCE DENTAL SERVICES AUSTRALIA PTY LTD	079 420 705
AESTHETIC AUSTRALIAN INSECTS PTY LTD	090 574 691
AJT CORP PTY LTD	121 284 231
A K QURESHI PTY LTD	143 543 097
ALPINE PEAK GROUP PTY LTD	137 929 376
AMIDALA PTY LTD	108 568 958
ANDRECK NOMINEES PTY. LTD.	009 988 194
ANDYPRUKSA PTY LTD	129 990 012
ANTCO INVESTMENTS PTY LTD	116 974 648
APAI PTY LTD	132 571 950
ARG SOFTWARE PTY LTD	127 848 168
ASIA PACIFIC PAPER TECHNOLOGY PTY. LTD.	066 563 059
ATELIER PRODUCTIONS PTY LTD	083 809 732
ATMOND PTY LTD	000 751 315
AUSTASIA PACKAGING PTY LTD	109 911 168
AUSTEK GROUP PTY LTD	118 231 226
AUSTRALIAN INSTITUTE OF SMALL BUSINESS PTY LTD	142 592 845
AUSTRALIAN IRON ORE & MINERALS LIMITED	135 091 306
AUSTRALIAN SUCCESS GROUP PTY LTD	109 974 576
AUSTRALIAN VEHICLE WHOLESALE PTY LTD	117 304 300
AUSWORLD RESOURCES PTY LTD	116 626 629

Name of Company	ACN
AUTOMOTIVE SUSPENSION SPECIALISTS PTY LTD	131 892 549
AVACAFE PTY LTD	128 789 551
AXELLENT BUILDING CO PTY. LTD.	080 118 725
BAREENA PROPRIETARY LIMITED	004 621 872
BEAUREGARD PTY. LTD.	116 073 502
BELLAS CLEANING PTY LTD	134 049 255
BELLDOME PTY. LIMITED	093 279 648
BESTMIX PTY. LTD.	007 032 528
BLACK PENCIL FILMS PTY LTD	132 207 679
BLAXON INVESTMENTS PTY LTD	112 026 338
BLUEGRASS EQUESTRIAN STABLES PTY LTD	103 050 219
BLUE HORIZON NO 1 PTY LTD	134 251 282
BON GOLF PTY LTD	123 194 454
BOTANICORP PTY LTD	107 778 058
BRIDGEVIEW ASSET PTY LTD	117 722 853
BROOKLET PASTORAL CO. NO. 1 PTY LTD	001 613 707
BUDGLEN PTY. LIMITED	003 953 099
BUILT AS DESIGNED PTY LTD	129 283 183
BYNOE HARBOUR PEARL CO. PTY LTD	009 343 097
C.E.V. PTY. LIMITED	004 320 192
CAIRNS-KURANDA STEAM RAIL QLD PTY LTD  CAROL JEFFRIES & ASSOCIATES PTY LIMITED	145 980 776 128 907 111
CERAMIC STYLES PTY LTD	111 096 265
CHAOTIC TRANSPORT PTY LTD	147 911 724
CHARMANT NUMBER 1 PTY LTD	139 062 052
CHECK-IT AUSTRALIA PTY. LTD.	081 772 956
CHECKNET PTY. LIMITED	084 054 919
CHERRY LABOUR HIRE PTY. LTD.	139 409 902
CHUNG YAT HOLDINGS PTY LTD	140 638 419
CHURCH STREET MALL MEDICAL CENTRE & HEALTH SERVICES PTY LTD	115 171 063
CITRAB PTY. LIMITED	050 064 965
CITY WEST CONSTRUCTIONS PTY. LTD.	117 502 342
CIVITEC DEVELOPMENTS PTY. LIMITED	009 596 301
CNS PROPERTY GROUP (NSW) PTY LTD	133 138 011
CODNER & CO PTY LTD	000 537 119
COLIN J LONG PTY LIMITED	002 347 855
COLLINS ST FOOD COURT PTY. LTD.	138 703 107
CUTTING EDGE CUISINE AUSTRALIA PTY LTD	132 993 125
CYBERGROOVE ENTERTAINMENT PTY. LTD.	127 414 751
D.C. PARKER PTY. LIMITED	073 450 530
D & C ASSOCIATES WORLD WIDE PTY LTD	078 492 010
DELI-LICIOUS PTY LIMITED	143 564 578
DELISEN PTY LTD	117 681 302
DISTANTDRUMS PTY. LTD.	142 720 616
DIVERSE FITNESS SOLUTIONS PTY LTD	141 685 403
DNEIPER PASTORAL PTY LTD	094 737 416

Name of Company	ACN
DOMARK PLASTERING PTY. LTD.	007 295 721
DOODADS PTY LTD	127 288 340
DORROB PROPRIETARY LIMITED	004 439 338
DRUS TRANSPORT PTY LTD	140 607 254
DUC DANH SMASH REPAIRS PTY LTD	146 278 524
E & D (AUST) PTY LTD	119 295 055
EEENCEE PROJECTS PTY LTD	134 537 992
EL-BAKY & ZAZA INVESTMENTS PTY LTD	137 598 620
ELERS UNITED PTY LTD	145 670 846
EMERALD SUNNYBANK PTY LTD	117 576 888
ENERGY ASSESSOR PTY LTD	140 771 820
ENRICHED ENTERPRISES PTY LTD	126 603 405
ENTHONE (AUSTRALIA) PTY LTD	006 206 604
EURO PACIFIC INTERNATIONAL PTY. LTD.	125 896 773
EXQUISITE GETAWAYS PTY LTD	121 465 718
FACILITATE GROUP PTY LTD	117 122 273
FARAH & DUBRAVA PTY LTD	092 021 460
FIDELITY ENERGY LTD	147 924 301
FIRST-LINE PROPERTIES PTY LTD	089 530 503
FIRST RATE INTERNATIONAL PTY LTD	147 928 550
FLOHR MACHINERY PTY. LTD.	076 599 749
FLORAL PTY LTD	057 248 932
FONE MAN PTY LTD	147 142 470
FOOTPRINTS ENVIRONMENTAL CONSULTANTS PTY LTD	127 254 166
FORMATION AND CORPORATE COMPLIANCE SERVICES PTY LTD	101 186 869
FOX SPORTS AUSTRALIA INVESTMENTS PTY LIMITED	065 420 046
FRANCIS PARK HOLDINGS PTY. LTD.	147 012 262
FUSEGROUP PTY LTD	123 168 463
G. & P. HALKIAS PTY. LTD.	006 028 173
G&T CENTURY PTY LTD	140 514 441
GEORGIADIS CONSULTING PTY. LTD.	126 922 021
GGMC PTY LTD	093 263 891
GILPER PTY. LTD.	057 584 988
GIOVANNI MANAGEMENT PTY LTD	136 399 105
GISHEN PTY LTD	128 953 266
GLENROY CYCLES PTY LTD GLOBAL PRODIGY PTY LIMITED	057 209 195
	109 057 687
GOLD TULIP INVESTMENTS PTY LTD GOOD DEAL PTY LTD	145 267 189 095 182 871
GROUP NO.1 CLEANING SERVICE PTY LTD	088 301 482
GRT AGENCIES PTY LTD	131 685 071
GT INVESTMENTS NOMINEES PTY. LTD.	117 893 482
GUERIN PTY LTD	127 607 256
GULIV NO. 2 PTY LTD	121 483 789
GULIV PTY LTD	090 375 205
HEDLAND FOOD WORKS PTY LTD	146 179 035
	1-0 170 000

Name of Company	ACN
HEPONI PTY LTD	001 519 928
HHA AUTO WRECKERS PTY. LTD.	125 275 407
HISLOP WA PTY LTD	135 301 610
HOME RETAIL GROUP PTY LTD	125 140 838
HONEYMORE PTY. LTD.	006 641 256
HOWGRA PTY LTD	001 497 678
HUMANITARIAN PURSUITS PTY LTD	139 437 200
HXOS PTY. LTD.	005 146 181
I'LL DRINK TO THAT PTY LIMITED	132 560 091
I BALTINS PTY LIMITED	126 014 079
IDA MAY INVESTMENTS PTY LTD	081 032 617
IDOK PTY LTD	141 683 650
INTEGRAL CORPORATE MANAGEMENT PTY LTD	140 703 260
INTERGRATED BUILDING CONSULTING PTY LTD	127 701 035
INTERNATIONAL THOROUGHBRED BLOODSTOCK INVESTMENT PTY LIMITED	098 684 247
ISS STONE MASONRY PTY LTD	117 534 942
JADE RABBIT PTY LTD	141 815 778
JAFFY'S PTY LTD	106 379 408
JAMMEH TRANSPORT PTY LTD	121 588 921
JB ADANZA CONSULTING PTY. LTD.	123 955 466
JEKK PROPERTY PTY LTD	141 503 068
JIIIAN MOTORS PTY LTD	134 171 574
JIN SHAN TRADING PTY LTD	128 156 221
JMH PLASTA PTY LTD	146 989 639
JOGIA HOLDINGS PTY LTD	146 741 942
JUPITER INTEGRATION PTY. LTD.	147 609 863
KAMRAN NOMINEES PTY. LTD.	005 373 731
KASAMELTI PTY LTD	108 289 596
KAUSSIE INDUSTRIES PTY LTD	125 244 635
KEIBA AUSTRALIA PTY LTD	074 300 315
KELSO DUNVEGAN PTY LTD	123 040 648
KEY INCORPORATION HOLDINGS PTY LTD	090 566 831
KEYNS FAMILY PTY LTD	147 718 910
KGR CONSTRUCTIONS PTY. LTD.	145 332 127
KHAN'S MANAGEMENT GROUP PTY. LTD.	103 539 224
KINETIC CHIROPRACTIC PTY LTD	081 015 858
KLAGIDHAR MAHARAJ PTY LTD	147 090 060
KRUSTY NOMINEES PTY LTD	147 392 005
KUNDA PARK DEVELOPMENT PTY. LTD.	098 073 897
LA VANITE BEAUTY PTY LTD	146 350 218
LE MANAMEA SAMOA PTY LTD	144 850 500
LINNIC PARTIES PTY LTD	122 839 436
LISBART NOMINEES PTY LTD	147 381 815
LL DIAMOND TOOLS PTY LTD	126 432 433
LLOYD JENMAN REAL ESTATE PTY LTD	129 823 418

Name of Company	ACN
LOTUS EDUCATIONAL FUND LTD	130 576 199
LOTUS INDUSTRIES PTY LTD	107 058 248
LPJG PTY LTD	118 822 003
LUNCH BOX ON CARLINGFORD PTY LIMITED	117 936 115
M & M LOMBARDI PTY LTD	127 679 172
MADETHHAY PTY LTD	122 593 408
MAINLAND ROOFING PTY LTD	126 630 984
MAMET SUPER PTY LTD	135 191 865
MANCKA ENTERPRISES PTY LTD	140 226 982
MANNING ROAD PRACTICE SERVICES PTY LIMITED	100 721 597
MARMARWAL NOMINEES PTY. LTD.	005 181 420
MASTERS MANUFACTURES PTY LTD	121 905 502
MELREN FARMS PTY. LTD.	050 030 487
MFRN HOLDINGS PTY LTD	146 332 505
MILLENNIUM TRADING GROUP PTY LIMITED	103 585 897
MISFIT GROUP PTY LIMITED	141 191 782
MJ & ED EXECUTIVE CLEANING PTY. LTD.	130 663 188
MODERN DECORATION PTY LTD	146 712 594
MOON PROPERTY GROUP PTY. LTD.	101 891 963
MORNINGTON PENINSULA PALLETT & CASE PTY LTD	095 431 020
MPB CONCRETEING PTY LTD	108 476 295
MUPH HOSPITAL PTY LIMITED	127 854 120
MW SOLAR PTY LTD	148 024 435
MYSTIC PTY LTD	105 345 855
MYSTIQUE HOLDINGS PTY LTD	090 412 427
N.J. & J.B. FURNESS PTY LTD	114 502 797
N & N LOGISTICS PTY LTD	143 740 658
NAWAZ INTERNATIONAL MACHINERY PTY. LTD.	131 332 164
NELDAK DESIGN & DEVELOPMENT PTY LTD	093 388 393
NEOPERANDI PTY LTD	096 093 891
NEW AGE JOINERY PTY LTD	083 002 055
NICKOLAS NOMINEES PTY LTD	110 398 091
NJT PROPERTIES PTY LTD	100 680 733
NO LIMIT CONCRETE PUMPING PTY LTD	137 638 290
NSRS GROUP PTY LTD	102 609 638
OLD MACDONALD PASTORAL PTY LTD OREA NO:19 PTY LIMITED	094 737 621 113 538 935
OUTLOOK PARK PTY. LTD.	006 725 355
OZI PTY LTD	083 866 335
PASSIVE DREAMS PTY LTD	127 589 799
PATCHLEADS PTY. LTD.	053 974 477
PATHOLD NO. 142 PTY. LIMITED	065 423 627
PATRONI PROPERTY HOLDINGS PTY LTD	132 625 373
PEARL CANVAS PTY LTD	115 712 402
PG RICHARDSON PTY LTD	140 557 286
PIALLIGO PTY LTD	008 914 092
	300 0 17 002

Name of Company	ACN
PIKININI HAUS PTY. LTD.	116 658 452
PINTRADE PTY LTD	083 739 306
PIPICUS HOLDINGS PTY LTD	118 177 736
PJG WAREHOUSING & DISTRIBUTION PTY LTD	074 677 580
PLATINUM CONSULTANTS AUSTRALIA PTY LTD	131 322 051
PLUS ADVISORY (SYDNEY) PTY LIMITED	085 213 949
PRICIMA PTY LIMITED	148 874 284
PROPERTY AND GEARING.COM PTY LTD	137 125 921
PROTOCON PROJECT MANAGEMENT PTY LTD	129 179 755
P V TOWING PTY LTD	122 331 122
QUALITY & BUSINESS IMPROVEMENT PTY LTD	090 096 647
QUARRY INVESTMENTS PROPRIETARY LIMITED	004 282 099
QUICKMATCH BELL PTY LTD	094 642 396
RAGYMAN PTY. LTD.	112 840 016
REAL DEAL ENTERPRISES PTY. LTD.	137 578 771
REALTY FX PTY. LTD.	141 616 766
RESPONSIVE SOLUTIONS PTY LTD	127 161 326
RICKARD STANHOPE PTY. LIMITED	058 420 447
RILASH PTY LTD	139 284 834
RINTAG PTY LTD	056 571 343
RIVERLANDS KENNELS PTY LTD	148 810 673
RIVERLEIGH CORPORATION PTY LIMITED	114 791 034
RM KHALIFE PTY LTD	143 115 735
RMSB PTY LTD	141 463 685
ROWOOD PTY. LTD.	005 754 978
RSM MANAGEMENT PTY. LTD.	116 461 771
SAIGON ORCHID PTY. LTD.	006 882 186
SALES PARADIGM PTY LTD	125 728 627
SALZ CAFE PTY. LTD.	148 936 369
SAMARAS AUSTRALIA PTY LTD SANGOR INVESTMENTS PTY LTD	100 854 911
	092 158 346
SANS SOUCI STRATA HOLDINGS PTY. LTD. SARAYA ENTERPRISES PTY LTD	086 666 480
SCOTTS WALTERS PTY LTD	143 204 808 139 487 880
SENDAI KARATE BURWOOD PTY. LTD.	054 240 587
SHADFORTH INITIATIVE PTY LTD	101 011 401
SHANE J BREWER & ASSOCIATES PTY LTD	010 100 455
SIMTAS PTY LTD	117 069 806
SINIA PTY LTD	063 889 412
SK & LK PTY LTD	138 382 215
SKYMASTER AIR SERVICES PTY LIMITED	096 970 731
SKYSERLIMIT PROPERTY INVESTMENTS PTY. LTD	059 589 098
SL ENTERPRISES PTY LTD	111 907 094
SMALKA PTY LTD	130 617 382
SODHI PTY LTD	146 525 948
SOUL LIFE PTY LTD	069 205 849
	222 200 0 10

Name of Company	ACN
SOUTHERN ENDOCRINE PTY LIMITED	078 350 133
SPIRE PARTNERS PTY. LTD.	133 849 144
SRM CONSULTING SERVICES PTY LTD	081 856 126
STRICKLAND'S STONE INSTALLATION PTY LTD	145 718 850
STUART BEVAN POOLS PTY LTD	134 737 474
SUCCESS BUDDIES PTY LTD	111 516 397
SUMMIT VIEW PLACE PTY LTD	141 301 199
SUN FAMILY INVESTMENTS PTY. LTD.	138 257 904
SUNNYBANK CHIROPRACTIC PTY LTD	107 764 116
SUNWATT AUST PTY LTD	117 611 908
SWAMP PTY LTD	140 584 532
SW INVESTMENTS PTY LIMITED	100 467 534
T.G. & J. HAULAGE PTY LTD	080 104 963
T & D WHITEHEAD CONSULTANTS PTY LTD	112 978 337
TANDOORI CORNER TAKE AWAY AND DINE IN PTY LTD	147 223 130
TANNER DRILLING AND MARINE PTY. LTD.	006 815 694
TDJ HOLDINGS PTY LTD	119 632 441
T F E CONSTRUCTION PTY LTD	119 578 675
THE ALCHEM1ST GROUP PTY LTD	146 949 564
THE INTELLIGENT FOOD CORPORATION PTY LTD	130 933 210
THE K COMPANY PTY LTD	122 437 567
TONG HING HOLDINGS PTY LTD	122 281 903
TOP GUN BUSINESS ACADEMY INTERNATIONAL PTY LTD	115 054 978
TOTAL MANAGED SERVICES PTY LTD	140 644 006
TOWARRI TRADING PTY LTD	137 430 712
TRS SUPER PTY LTD	117 321 105
TYRE FACTORY DISCOUNTERS PTY. LTD.	131 585 807
V & V MYSTIC KNOT PTY LTD	133 617 697
VAN BITS PTY. LTD.	005 229 649
VICTORIA ROADS PROPRIETARY LIMITED	004 108 221
VINYL GOLD PTY LTD	088 957 448
VISIBLE SYSTEMS AUSTRALIA PTY LTD	087 327 875
WASPZ PTY LTD	092 886 274
WA SUPER SOLUTIONS PTY LTD WATERDALE TRADING PTY LTD	106 910 990 098 047 075
WATERVIEW HOLDINGS PTY. LTD.	009 782 094
WEAVE LOC FLOOR COVERINGS PTY LTD	147 693 294
WELLCOME AUSTRALIA HOLDINGS PTY LTD	136 362 617
WHARTON JAMES HOLDINGS PTY LTD	129 180 589
WHITE KNIGHT ENTERPRISES PTY LTD	121 177 133
WIFIRE MEDIA PTY LTD	147 360 503
WIGGED WARRIOR PTY LIMITED	147 987 380
WILLNER & ULRICH ENTERPRISES PTY. LTD.	121 895 252
WINER HOLDINGS PTY LTD	002 281 638
WINNERS CIRCLE GROUP PTY LTD	073 776 155
WORMALD (ES) PTY. LIMITED	000 038 120

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Name of Company	ACN
YAS CORP CIVIL PTY LTD	136 364 013
YOUNG FINANCIAL GROUP PTY LTD	128 644 977
YUMMY NOODLE HOUSE PTY LTD	121 214 702
ZEL MANAGEMENT PTY LTD	141 032 082
1800 RENT A CAR PTY LTD	138 001 386
24/7 IT SOLUTIONS NETWORK PTY LTD	135 214 587
4FRONT MARKETING SERVICES PTY LIMITED	083 734 285

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CORPORATIONS ACT 2001 Section 601CC(3)

ASIC will strike the companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this fifth day of July 2013

Rosanne Bell DELEGATE OF THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company ARBN

THE GNOSTIC MOVEMENT INCORPORATED 096 846 178

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CORPORATIONS ACT 2001 Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this fifth day of July 2013

Name of Company	ARBN
ARTIO GLOBAL INVESTORS (AUSTRALIA) LLC	152 080 487
ARTIO GLOBAL MANAGEMENT LLC	152 081 519
CANADA LAND LIMITED	065 191 782
RUDOLF GMBH & CO. KG CHEMICAL FACTORY	105 765 044
SAXO BANK A/S	109 605 610

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CORPORATIONS ACT 2001 Section 601CL(5)

ASIC has struck the foreign companies listed below off the register.

Dated this fifth day of July 2013

Name of Company	ARBN
GLOBAL POWER SOURCE PTE. LTD.	143 077 196
INTERNET CORPORATION FOR ASSIGNED NAMES AND NUMBERS	131 750 000
JONEX LIMITED	135 644 370
LIMELIGHT NETWORKS, INC.	130 476 354
VALLID SECURITY TECHNOLOGY LIMITED	105 323 957

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CORPORATIONS ACT 2001 Subsection 601PA(3)

ASIC may deregister the managed investment scheme(s) listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this fifth day of July 2013

Rosanne Bell DELEGATE OF THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Scheme ARSN

CENTRO PREMIUM FUND NO 1 123 245 901

WMHL FUND 160 209 932

CORPORATIONS ACT 2001 Subsection 601PB(2)

ASIC may deregister the managed investment schemes listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this fifth day of July 2013

Name of Scheme	ARSN
ASHTON PERFORMANCE FUND	132 769 101
ASHTON SELECT FUND	132 768 739
CIF INVESTMENT TRUST 1	114 139 703
CIF INVESTMENT TRUST 2	114 139 632
LEGG MASON BRANDYWINE NZ GLOBAL FIXED INCOME TRUST	144 479 194
LEGG MASON BRANDYWINE NZ GLOBAL OPPORTUNISTIC FIXED INCOME TRUST	144 479 336
MACQUARIE WINTON GLOBAL OPPORTUNITIES TRUST	116 206 190
METROPOLITAN FIRST MORTGAGE FUND	140 998 901
PRIVATE EQUITY FUND 2000	092 729 943

Change of company type

Corporations Act 2001 Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

#### **ENERGY BOTSWANA LIMITED**

ACN 127 800 891 will change to a proprietary company limited by shares. The new name will be ENERGY BOTSWANA PTY LTD ACN 127 800 891.

#### **KUMARINA RESOURCES LIMITED**

ACN 142 774 150 will change to a proprietary company limited by shares. The new name will be KUMARINA RESOURCES PTY LTD ACN 142 774 150.

**OPEN4SALE PTY LTD** ACN 119 754 933 will change to a public company limited by shares. The new name will be OPEN4SALE LTD ACN 119 754 933.

ROE MINING LIMITED ACN 147 815 558 will change to a proprietary company limited by shares. The new name will be ROE MINING PTY LTD ACN 147 815 558.

**SOURCE CO LIMITED** ACN 124 857 736 will change to a proprietary company limited by shares. The new name will be SOURCE CO PTY LTD ACN 124 857 736.

## WHITEHAVEN PRIVATE PORTFOLIOS PTY

**LTD** ACN 109 808 577 will change to a public company limited by shares. The new name will be WHITEHAVEN PRIVATE PORTFOLIOS LTD ACN 109 808 577.

## **WORLDWIDE MINING PROJECTS LIMITED**

ACN 145 367 862 will change to a proprietary company limited by shares. The new name will be WORLDWIDE MINING PROJECTS PTY LTD ACN 145 367 862.

#### **GROWTH EQUITIES CORPORATION LIMITED**

ACN 100 570 723 will change to a proprietary company limited by shares. The new name will be GROWTH EQUITIES CORPORATION PTY LTD ACN 100 570 723.

MERCURIEN LIMITED ACN 140 443 198 will change to a proprietary company limited by shares. The new name will be MERCURIEN PTY LTD ACN 140 443 198.

R G MUTUAL LIMITED ACN 078 766 542 will change to a proprietary company limited by shares. The new name will be R G MUTUAL PTY LTD ACN 078 766 542.

#### SIGNET COKING COAL LTD

ACN 151 006 227 will change to a proprietary company limited by shares. The new name will be SIGNET COKING COAL PTY LTD ACN 151 006 227.

**TIMOR OIL LIMITED** ACN 000 189 373 will change to a proprietary company limited by shares. The new name will be TIMOR OIL PTY LIMITED ACN 000 189 373.

## **WILDWOOD RESOURCES LIMITED**

ACN 161 730 749 will change to a proprietary company limited by shares. The new name will be WILDWOOD RESOURCES PTY LTD ACN 161 730 749.