



**ASIC**

Australian Securities &  
Investments Commission

Commonwealth of Australia Gazette  
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# ASIC Gazette

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#### RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review* (RG57) and Information Sheet *ASIC decisions – your rights* (INFO 9) to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at [www.asic.gov.au](http://www.asic.gov.au) or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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14-0461

**ASIC**

Australian Securities & Investments Commission

**Australian Securities & Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

**TO:** Akele Consulting Group Pty Ltd  
ACN 001629689 ("the Licensee")  
33 Wayne Ave  
Lugarno NSW 2210

Pursuant to section 915B of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Licence Number 308544 held by the Licensee with effect from the date on which this notice is given to the Licensee.

Dated this 26<sup>th</sup> June 2014

Signed .....

Joyce Krashow, a delegate of the Australian Securities and Investments Commission

14-0493

**Australian Securities and Investments Commission  
Corporations Act 2001 — Subsection 601QA(1) — Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsection 601QA(1) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 14-0493.

**Commencement**

3. This instrument commences on the day it is signed.

**Declaration**

4. Chapter 5C of the Act applies to Australian Unity Property Limited ACN 079 538 499 (the *responsible entity*) in its capacity as responsible entity of the schemes referred to in the Schedule (each, the *scheme*), as if section 601FL were modified or varied as follows:
  - (a) in subsection (1) omit all the text after the word “it”, and substitute:

“must either:

    - (a) call a members’ meeting to explain its reason for wanting to retire and to enable the members to vote on a resolution (which must be an extraordinary resolution if the scheme is not listed) to choose a company to be the new responsible entity; or
    - (b) propose a related body corporate of the responsible entity to be the new responsible entity in accordance with subsection (1A).”;
  - (b) after subsection (1) insert:

“(1A) The requirements for proposing a related body corporate (the *proposed responsible entity*) to be the new responsible entity are as follows:

    - (a) The responsible entity must give members of the scheme notice of a proposal to choose the proposed

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responsible entity, to be the scheme's new responsible entity.

- (b) The notice to members must:
- (i) set out the following information:
    - (A) the responsible entity's reasons for wanting to retire;
    - (B) such information as can reasonably be expected to be material to a member in forming a view as to the choice of the proposed responsible entity;
    - (C) information about the proposed timing of the retirement of the responsible entity and the manner in which that retirement will occur;
    - (D) how members can access on the responsible entity's website current information about the proposed timing of the retirement of the responsible entity and the manner in which that retirement will occur; and
  - (ii) state prominently that if:
    - (A) members who together hold at least 5% of the total value of the interests held by members; or
    - (B) 100 members,  
who would be entitled to vote if the proposal were put as a proposed resolution to a meeting of members under paragraph (1)(a), ask for a vote on the choice of the proposed responsible entity by giving written notice received by the responsible entity within 21 days from the date the notice is sent, the responsible entity will either arrange a postal vote or convene a meeting to vote on a resolution for the choice of the proposed responsible entity; and
  - (iii) be accompanied by a form which can be ticked to ask for a vote; and

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- (iv) state prominently a reply paid address of the responsible entity to which the form may be sent.
  - (c) The responsible entity must prominently disclose on its website current information about the proposed timing of the retirement of the responsible entity and the manner in which that retirement will occur.
  - (d) If sufficient members ask for a vote in accordance with the notice, the responsible entity must arrange for a postal vote or, if the responsible entity chooses, convene a meeting in accordance with Part 2G.4 to vote on the choice of the proposed responsible entity as soon as possible.
  - (e) If there is a postal vote:
    - (i) a voting paper must be sent to each member stating a reply paid address of the responsible entity to which the voting paper may be sent; and
    - (ii) the responsible entity must notify the members in, or in a document accompanying, the voting paper that:
      - (A) the proposed responsible entity will be chosen as the new responsible entity if at least 50% of the total votes that may be cast by members that would be eligible to vote at a meeting under paragraph (1)(a) are cast in favour of the choice; and
      - (B) only votes received by the responsible entity within 28 days after the sending of the voting paper will be counted.
  - (f) If a meeting is convened the resolution to choose the new responsible entity must be an extraordinary resolution if the scheme is not listed.”;
- (c) after subsection (2) insert:
- “(2A) If a postal vote is arranged under paragraph (1A)(d) and at least 50% of the total votes that may be cast by members that would be eligible to vote at a meeting under paragraph (1)(a) are cast in favour of the proposed responsible entity, that entity is taken for the purposes of subsection (2) to have been chosen by a

## 14-0493

resolution of members on the last day on which postal votes may be received in order to be counted.

(2B) If:

- (a) a related body corporate is proposed to be the new responsible entity in accordance with subsection (1A); and
- (b) insufficient members ask for a vote to choose the entity in accordance with the notice referred to in paragraph (1A)(b); and
- (c) the entity has consented in writing to becoming the schemes' responsible entity,

then:

- (d) as soon as practicable and in any event within 2 business days after the end of the period in which a member may ask for such a vote, the current responsible entity must lodge a notice with ASIC asking it to alter the record of the scheme's registration to name the proposed responsible entity as the scheme's responsible entity; and
- (e) the notice must be accompanied by a certificate from the current responsible entity that it reasonably considers that the appointment of the proposed responsible entity would be in the best interests of members with a summary of the responsible entity's reasons as to why this is the case."

**Where this declaration applies**

6. This declaration applies where Australian Unity Funds Management Limited ACN 071 497 115 has consented in writing to becoming the new responsible entity of the scheme.
7. This declaration ceases to apply on 31 August 2014.

**Schedule**

8. Australian Unity Retail Property Fund ARSN 133 632 765
9. Australian Unity Retail Property Trust ARSN 086 218 199

14-0493

10. Australian Unity Property Syndicate – East West Retail ARSN 091 941 061
11. Australian Unity Gilles Street Trust ARSN 103 267 447

Dated this 28th day of May 2014



Signed by Therese Brabet

as a delegate of the Australian Securities and Investments Commission

14-0494

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 601QA(1)(b) – Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsection 601QA(1)(b) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 14-0494.

**Commencement**

3. This instrument commences on the day it is signed.

**Declaration**

4. Chapter 5C of the Act applies to Australian Unity Property Limited ACN 079 538 499 (the *responsible entity*) in its capacity as the responsible entity of the Australian Unity Retail Property Trust – Sunshine Homemaker Centre ARSN 099 538 440 and the Australian Unity Property Syndicate – Wyong ARSN 110 111 530 (each, the *scheme*), as if section 601FL of the Act were modified or varied as follows:
  - (a) in subsection (1) omit all the text after the word “it”, substitute:

"must either:

    - (a) call a members' meeting to explain its reason for wanting to retire and to enable the members to vote on a resolution (which must be an extraordinary resolution if the scheme is not listed) to choose a company to be the new responsible entity; or
    - (b) where there is only one member in the scheme, propose a related body corporate (the *proposed responsible entity*) of the responsible entity to be the new responsible entity in accordance with subsection (1A).";
  - (b) after subsection (1), insert:

"(1A) The responsible entity can retire and the proposed responsible entity can become the new responsible entity if all of the following requirements are met:



14-0494

- (a) the proposed responsible entity must provide its consent in writing to become the scheme's responsible entity; and
- (b) the responsible entity must provide each member with:
  - (i) a notice of proposal to choose the proposed responsible entity to be the scheme's new responsible entity; and
  - (ii) sufficient information to explain its reason for wanting to retire and to enable each member to decide whether to consent to the appointment of the proposed responsible entity as the new responsible entity; and
- (c) all members must consent in writing to the retirement of the responsible entity and the appointment of the proposed responsible entity as the new responsible entity; and
- (d) as soon as practicable and in any event within 2 business days after the member has given its written consent, the responsible entity must lodge a notice with ASIC asking it to alter the record of the scheme's registration to name the proposed responsible entity as the scheme's responsible entity; and
- (e) if the current responsible entity does not lodge the notice required by paragraph (c), the proposed responsible entity may lodge that notice; and
- (f) ASIC must comply with the notice when it is lodged."

**Where this declaration applies**

5. This declaration applies where:
- (a) Australian Unity Funds Management Limited ACN 071 497 115 (the *proposed responsible entity*) has consented in writing to becoming the new responsible entity of the scheme; and
  - (b) The only member of the scheme is Trust Company Limited ACN 004 027 749 (*Trust Co*) as custodian for the Australian Unity Retail Property Trust ARSN 086 218 199, and Trust Co consents in writing to the proposed appointment of the proposed responsible entity
-

14-0494

6. This declaration ceases to apply on 31 August 2014.

Dated this 28th day of May 2014



Signed by Therese Brabet  
as a delegate of the Australian Securities and Investments Commission

**ASIC**

Australian Securities &amp; Investments Commission

**14-0513****Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B****Notice of Cancellation of an Australian Financial Services Licence**

TO: Johnter Pty Ltd  
ACN 154 824 727 ("the Licensee")  
88 Durango Turn  
Aubin Grove WA 6164

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 416397 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated this 30 June 2014

Signed .....

Joyce Krashow  
A delegate of the Australian Securities and Investments Commission



**ASIC**

Australian Securities & Investments Commission

**14-0540**

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Fisher Graham Wealth Private Pty Ltd  
ACN 102 724 952 ("the Licensee")  
Level 2/395 Collins Street  
Melbourne Vic 3000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 226244 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 26 June 2014

A handwritten signature in black ink, appearing to be 'Joyce Krashow', written over a horizontal line.

Signed .....

Joyce Krashow  
A delegate of the Australian Securities and Investments Commission

14-0577

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 601QA(1)(b) – Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission (ASIC) makes this instrument under Paragraph 601QA(1)(b) of the *Corporations Act 2001* (the Act).

**Title**

2. This instrument is ASIC Instrument No. 14-0577.

**Commencement**

3. This instrument commences on 2 July 2014.

**Declaration**

4. The Australian Securities and Investments Commission (ASIC) declares that Chapter 5C of the Act applies to Macquarie Investment Management Limited ACN 002 867 003 (MIML) in its capacity as responsible entity of the Macquarie True Index Emerging Markets Fund ARSN 164 557 386 (the Fund) as if section 601LC of the Act were modified or varied as follows:
  - (a) in notional paragraph 208(1)(d)(i), omit “the approval of the scheme’s members in the way set out in sections 217 to 227” and substitute “the written consent of each of the members of the scheme”; and
  - (b) after notional paragraph 208(3), insert:
    - “(4) If the giving of a benefit is approved in accordance with subparagraph (1)(d)(i), within 14 days after the written consent is given, the responsible entity must lodge a notice setting out the text of the written consent given by the members of the scheme together with a copy of an explanatory statement that sets out the information that would satisfy section 219 as if references in section 219 to a proposed resolution were references to the proposal to which the written consent relates.
    - (5) If the giving of a benefit is approved in accordance with subparagraph (1)(d)(i), the responsible entity must not issue an interest in the scheme unless the explanatory statement required by subsection (4) has been lodged and the applicant for the interest:
      - (a) has received the explanatory statement required by subsection (4), and
      - (b) has consented in writing to the giving of the benefit.

14-0577

- (6) If the giving of a benefit is approved in accordance with subparagraph (1)(d)(i), the responsible entity must not issue an interest in the scheme that requires the giving of a Product Disclosure Statement under Division 2 of Part 7.9.”

**Where this order applies**

5. A benefit (***Benefit***) is given by MIML to Macquarie Life Limited ACN 003 963 773 (***MLL***) as a result of MIML and MLL entering into the following contracts in relation to the Fund that are effective on or around 4 July 2014:
- (a) Master Agreement of International Swap and Derivatives Association Inc; and
  - (b) Risk and Profit Share Agreement.
6. MIML provides each person that makes an application for an interest in the Fund (***Applicant***) with an explanatory statement that:
- (a) would satisfy section 219 of the Act (the ***Explanatory Statement***) as if references in section 219 to a proposed resolution were references to the proposal to which the written consent relates, and
  - (b) specifies the contracts under which the Benefit is to be given, before the Applicant gives their consent to the Benefit.
7. MIML states in the Explanatory Statement provided to each Applicant that MIML considers that the Benefit is in the best interests of the members as members of the Fund.

Dated: 2 July 2014

.....  
Signed by Gerald Yip

As a delegate of the Australian Securities and Investments Commission

14-0582



ASIC

Australian Securities & Investments Commission

**Australian Securities & Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

**TO:** Backwell Lombard Capital Pty Ltd  
ACN 103 267 901("the Licensee")  
Level 13, 175 Eagle Street  
Brisbane QLD 4001

Pursuant to section 915B of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Licence Number 308699 held by the Licensee with effect from the date on which this notice is given to the Licensee.

Dated this 30th day of June 2014

Signed .....

Joyce Krashow, a delegate of the Australian Securities and Investments Commission



**ASIC**

Australian Securities & Investments Commission

**14-0583**

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: DMA Insurance Brokers Pty Ltd  
ACN 080 202 179 ("the Licensee")  
Steadfast Group Limited  
Level 3/97-99 Bathurst Street  
Sydney NSW 2000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 246745 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 30 June 2014

Signed .....

A handwritten signature in black ink, appearing to read 'Joyce Krashow'.

Joyce Krashow  
A delegate of the Australian Securities and Investments Commission





**ASIC**

Australian Securities & Investments Commission

**14-0584**

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Wagland Salter & Associates Pty Limited  
ACN 001 651 574 ("the Licensee")  
Steadfast Group Limited  
Level 3 97-99 Bathurst Street  
Sydney NSW 2000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 243626 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 30 June 2014

A handwritten signature in black ink, appearing to be 'JK' followed by a long horizontal stroke.

Signed .....

Joyce Krashow  
A delegate of the Australian Securities and Investments Commission

14-0615

**Australian Securities and Investments Commission  
Corporations Act 2001 – Subsection 601CK(7) – Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission makes this instrument under subsection 601CK(7) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 14-0615.

**Commencement**

3. This instrument commences on gazettal.

**Declaration**

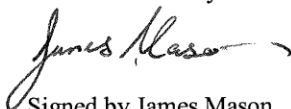
4. Section 601CK of the Act does not apply to Spur Ventures Inc. ARBN 600 024 397 (*Spur*), a corporation existing under the laws of British Columbia, Canada.

**Where this instrument applies**

5. This instrument applies where at least once every calendar year and at intervals of not more than 15 months, Spur gives to ASX Limited ACN 008 624 691 a copy of its:
  - (a) balance-sheet made up to the end of its last financial year;
  - (b) cash flow statement for its last financial year; and
  - (c) profit and loss statement for its last financial year

in such form and containing such particulars and including copies of such documents as Spur is required to prepare under the British Columbia *Securities Act* and publicly release in connection with its listing on the TSX Venture Exchange and in accordance with International Financial Reporting Standards as issued by the International Accounting Standards Board.

Dated this 24<sup>th</sup> day of June 2014



Signed by James Mason  
as a delegate of the Australian Securities and Investments Commission

14-0616

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 741(1)(b) – Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 741(1)(b) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 14-0616.

**Commencement**

3. This instrument commences on 24 June 2014.

**Declaration**

4. Chapter 6D of the Act applies to each holder of CDIs as if section 707 were modified or varied by omitting subsections 707(3) and (4) and substituting:
  - “(3) An offer of a body's securities for sale within 12 months after their issue needs disclosure to investors under this Part if the body issued the securities:
    - (a) without disclosure to investors under this Part; and
    - (b) with the purpose of the person to whom they were issued:
      - (i) selling or transferring them; or
      - (ii) granting, issuing or transferring interests in, or options or warrants over, them;and section 708 or 708A does not say otherwise.
  - (4) Unless the contrary is proved, a body is taken to issue securities with the purpose referred to in paragraph 3(b) if any of the securities are subsequently sold, or offered for sale, within 12 months after their issue.”

**Where this instrument applies**

5. This instrument applies to an offer for the sale of CDIs in relation to securities in Spur that are issued by CDN:
  - (a) pursuant to the Scheme as disclosed in the Explanatory Statement;
  - (b) pursuant to an Optionholder Agreement as disclosed in the Explanatory Statement;
  - (c) upon issue or transfer of a New Spur Common Share or New Spur Share Purchase Warrant to CDN;
  - (d) by reason of the exercise of New Spur Share Purchase Warrants; or

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- (e) by reason of the exercise of Spur Options.

### Interpretation

6. In this instrument:

*Atlantic* means Atlantic Gold NL ACN 062 091 909.

*CDI* means equitable interests in securities in Spur that:

- (a) are issued by CDN for the purpose of enabling beneficial ownership of securities in Spur to which the interests relate, to be recorded and transferred through CHES;
- (b) are described in the operating rules of the ASX Settlement and Transfer Corporation Pty Limited ACN 008 504 532 as CHES Depository Interests or CDIs; and
- (c) are included in the official list of the financial market operated by ASX Limited ACN 008 624 691.

*CDN* means CHES Depository Nominees Pty Ltd ACN 071 346 506.

*CHES* means the Clearing House Electronic Subregister System operated by ASX Settlement and Transfer Corporation Pty Limited ACN 008 504 532.

*Explanatory Statement* means the explanatory statement sent to holders of ordinary shares in the capital of Atlantic under subsection 412(1) of the Act in relation to the Scheme.

*New Spur Common Share* means a fully paid common share in the capital of Spur to be issued under the Scheme.

*New Spur Share Purchase Warrant* means a warrant in respect of an unissued fully paid common share in the capital of Spur to be issued under the Scheme.

*Optionholder Agreement* means agreements made between Spur, Atlantic and holders of unlisted options in Atlantic, the terms of which are described in the Explanatory Statement.

*Scheme* means the proposal by Atlantic under which shares in Atlantic are to be transferred to Spur in consideration for:

- (a) the issue of New Spur Common Shares (either in the form of CDIs or New Spur Common Shares) to the holders of Atlantic shares; and
  - (b) the issue of New Spur Share Purchase Warrants (either in the form of CDIs or New Spur Share Purchase Warrants) to the holders of Atlantic shares,
- as a result of a court approved scheme of arrangement between Atlantic and its members under Part 5.1 of the Act.

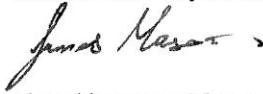
*Spur* means Spur Ventures Inc. ARBN 600 024 397.

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*Spur Option* means an unlisted option to acquire an unissued fully paid common share in the capital of Spur granted under the Spur Option Plan.

*Spur Option Plan* means the Spur Ventures Inc. 2007 Stock Option Plan.

Dated this 24<sup>th</sup> day of June 2014



Signed by James Mason  
as a delegate of the Australian Securities and Investments Commission

14-0617

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraphs 601QA(1)(a), 911A(2)(l) and 1020F(1)(a) –  
Exemptions**

**Enabling legislation**

1. The Australian Securities and Investments Commission makes this instrument under paragraphs 601QA(1)(a), 911A(2)(l) and 1020F(1)(a) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 14-0617.

**Commencement**

3. This instrument commences on gazettal.

**Exemptions**

4. Spur Ventures Inc. ARBN 600 024 397 (*Spur*) does not have to comply with:
  - (a) section 601ED of the Act in relation to the Sale Facility; and
  - (b) Divisions 2 to 5 of Part 7.9 of the Act in relation to an interest in the Sale Facility; and
  - (c) the requirement to hold an Australian financial services licence for the provision of the following financial services:
    - (i) dealing in an interest in the Sale Facility; and
    - (ii) the provision of general advice in relation to an interest in the Sale Facility.
5. To avoid doubt, to the extent Spur invites a participating holder to make an offer to sell Spur securities or CDIs (*Spur SOC*) through the Sale Facility, Spur does not have to comply with Division 5A of Part 7.9 of the Act.

**Where this instrument applies**

6. The exemptions in paragraphs 4 and 5 apply in relation to the Sale Facility where that facility satisfies all of the following:
  - (a) the financial products that may be sold through the facility are Spur SOC that will be admitted to quotation on a licensed market or TSXV;
  - (b) under the terms of the Sale Facility:
    - (i) the Spur SOC of participating holders to be sold through the facility are pooled; and

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- (ii) a Broker sells the Spur SOC in the ordinary course of trading on a licensed market or TSXV; and
- (iii) the proceeds of the sale net of expenses (to the extent (if any) that they are not met by Spur) are distributed to participating holders; and
- (iv) each participating holder is paid their proportion of the proceeds of sale as soon as practicable and, in any event, within 8 weeks after the later of the date on which Spur received the participating holder's election in relation to the facility and the Implementation Date.

**Conditions**

7. In order to rely on the exemptions in subparagraphs 4(a) or 4(b) or paragraph 5, Spur must:
  - (a) include the following information in the Explanatory Statement:
    - (i) information about the minimum and maximum number (if any) of Spur SOC a participating holder can sell through the Sale Facility; and
    - (ii) information about any expenses relating to the sale of Spur SOC that will be paid by the participating holders; and
    - (iii) information about how the proceeds of sale of Spur SOC sold through the facility will be allocated between participating holders; and
    - (iv) information about any other significant characteristics or features of the Sale Facility or of the rights and obligations of persons who participate in the Sale Facility; and
8. take reasonable steps to ensure the facility is not operated during any period where a control transaction has been publicly proposed and has not ended.

**Exclusion from reliance**

9. Spur is excluded from relying on subparagraph 4(c) of this instrument if it becomes aware of matters that give it reason to believe it has failed in a material respect to comply with a condition of this instrument and it does not give full particulars of the failure to ASIC in writing within 15 business days after becoming so aware.

**Interpretation**

6. In this instrument:

*Atlantic* means Atlantic Gold NL ACN 062 091 909.

*Broker* means a participant of a licensed market or TSXV with whom Spur has entered into arrangements for the operation of the Sale Facility.

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**CDIs** means equitable interests in securities in Spur that:

- (a) are issued by CDN for the purpose of enabling beneficial ownership of securities in Spur to which the interests relate, to be recorded and transferred through CHES;
- (b) are described in the operating rules of ASX Settlement Pty Limited ACN 008 504 532 as CHES Depository Interests or CDIs; and
- (c) are included in the official list of the financial market operated by ASX Limited ACN 008 624 691.

**CDN** means CHES Depository Nominees Pty Ltd ACN 071 346 506.

**CHES** means the Clearing House Electronic Subregister System operated by ASX Settlement Pty Limited ACN 008 504 532.

**control transaction** means a transaction or proposed transaction that affects the control, or potential control, of Spur.

**Explanatory Statement** means the explanatory statement sent to holders of ordinary shares in the capital of Atlantic under subsection 412(1) of the Act in relation to the Scheme.

**facility** has a meaning affected by section 762C of the Act.

**Ineligible Foreign Shareholder** has the meaning given in the Explanatory Statement.

**licensed market** has the meaning given by section 761A of the Act.

**New Spur Common Share** means a fully paid common share in the capital of Spur to be issued under the Scheme.

**New Spur Share Purchase Warrant** means a warrant in respect of an unissued fully paid common share in the capital of Spur to be issued under the Scheme.

**participating holder** means a person who is an Ineligible Foreign Shareholder.

**Sale Facility** means a facility operated by Spur through which a participating holder can sell a Spur SOC in accordance with the terms set out in the Explanatory Statement.

**Scheme** means the proposal by Atlantic under which shares in Atlantic are to be transferred to Spur in consideration for:

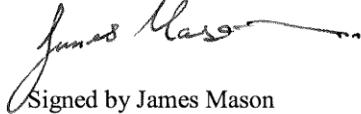
- (a) the issue of New Spur Common Shares (either in the form of CDIs or New Spur Common Shares) to the holders of Atlantic shares; and
  - (b) the issue of New Spur Share Purchase Warrants (either in the form of CDIs or New Spur Share Purchase Warrants) to the holders of Atlantic shares,
- as a result of a court approved scheme of arrangement between Atlantic and its members under Part 5.1 of the Act.



14-0617

*TSXV* means the TSX Venture Exchange.

Dated this 24<sup>th</sup> day of June 2014

A handwritten signature in black ink, appearing to read "James Mason", with a long horizontal flourish extending to the right.

Signed by James Mason  
as a delegate of the Australian Securities and Investments Commission

14-0618

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 741(1)(b) – Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 741(1)(b) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 14-0618.

**Commencement**

3. This instrument commences on 24 June 2014.

**Revocation**

4. ASIC Instrument 14-0616 is revoked on commencement of this instrument.

**Declaration**

5. Chapter 6D of the Act applies to each holder of CDIs as if section 707 were modified or varied by omitting subsections 707(3) and (4) and substituting:

“(3) An offer of a body's securities for sale within 12 months after their issue needs disclosure to investors under this Part if the body issued the securities:

- (a) without disclosure to investors under this Part; and
- (b) with the purpose of the person to whom they were issued:
  - (i) selling or transferring them; or
  - (ii) granting, issuing or transferring interests in, or options or warrants over, them;

and section 708 or 708A does not say otherwise.

- (4) Unless the contrary is proved, a body is taken to issue securities with the purpose referred to in paragraph 3(b) if any of the securities are subsequently sold, or offered for sale, within 12 months after their issue.”

**Where this instrument applies**

6. This instrument applies to an offer for the sale of CDIs in relation to securities in Spur that are issued by CDN:
  - (a) pursuant to the Scheme as disclosed in the Explanatory Statement;
  - (b) pursuant to an Optionholder Agreement as disclosed in the Explanatory Statement;

14-0618

- (c) upon issue or transfer of a New Spur Common Share or New Spur Share Purchase Warrant to CDN;
- (d) by reason of the exercise of New Spur Share Purchase Warrants; or
- (e) by reason of the exercise of Spur Options.

**Interpretation**

7. In this instrument:

*Atlantic* means Atlantic Gold NL ACN 062 091 909.

*CDI* means equitable interests in securities in Spur that:

- (a) are issued by CDN for the purpose of enabling beneficial ownership of securities in Spur to which the interests relate, to be recorded and transferred through CHES;
- (b) are described in the operating rules of the ASX Settlement Pty Limited ACN 008 504 532 as CHES Depository Interests or CDIs; and
- (c) are included in the official list of the financial market operated by ASX Limited ACN 008 624 691.

*CDN* means CHES Depository Nominees Pty Ltd ACN 071 346 506.

*CHES* means the Clearing House Electronic Subregister System operated by ASX Settlement Pty Limited ACN 008 504 532.

*Explanatory Statement* means the explanatory statement sent to holders of ordinary shares in the capital of Atlantic under subsection 412(1) of the Act in relation to the Scheme.

*New Spur Common Share* means a fully paid common share in the capital of Spur to be issued under the Scheme.

*New Spur Share Purchase Warrant* means a warrant in respect of an unissued fully paid common share in the capital of Spur to be issued under the Scheme.

*Optionholder Agreement* means agreements made between Spur, Atlantic and holders of unlisted options in Atlantic, the terms of which are described in the Explanatory Statement.

*Scheme* means the proposal by Atlantic under which shares in Atlantic are to be transferred to Spur in consideration for:

- (a) the issue of New Spur Common Shares (either in the form of CDIs or New Spur Common Shares) to the holders of Atlantic shares; and
  - (b) the issue of New Spur Share Purchase Warrants (either in the form of CDIs or New Spur Share Purchase Warrants) to the holders of Atlantic shares,
- as a result of a court approved scheme of arrangement between Atlantic and its members under Part 5.1 of the Act.

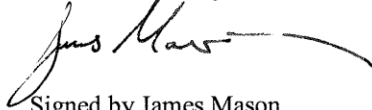
14-0618

*Spur* means Spur Ventures Inc. ARBN 600 024 397.

*Spur Option* means an unlisted option to acquire an unissued fully paid common share in the capital of Spur granted under the Spur Option Plan.

*Spur Option Plan* means the Spur Ventures Inc. 2007 Stock Option Plan.

Dated this 24<sup>th</sup> day of June 2014



Signed by James Mason  
as a delegate of the Australian Securities and Investments Commission

14-0619

**Australian Securities and Investments Commission  
Corporations Act 2001 — Paragraph 992B(1)(c) — Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 992B(1)(c) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument [14-0619].

**Commencement**

3. This instrument commences on 25 June 2014.

**Declaration**

4. Part 7.8 of the Act applies in relation to GFT Global Markets UK Limited ABN 66 046 598 320 (*GFT*) and GAIN Capital FOREX.COM Australia Pty Ltd ABN 97 138 414 605 (*GAIN*) as if that Part were modified or varied by, after section 981C, inserting:

**“981CA Transfer of client monies to related body corporate licensee**

- (1) A financial services licensee (the *paying licensee*) may, without the written direction of a person entitled to the money, withdraw money from an account maintained for section 981B and pay it to another financial services licensee (the *receiving licensee*) provided all of the following are satisfied:
  - (a) the paying licensee and the receiving licensee are related bodies corporate;
  - (b) not later than the day after the receiving licensee receives the payment, the receiving licensee pays the money into an account (the *receiving account*) of the receiving licensee maintained for section 981B;
  - (c) the receiving account must be designated as an account of the paying licensee for the purposes of section 981B.
- (2) The receiving licensee:
  - (a) must not pay any other money into the receiving account; and
  - (b) must not charge any fees in relation to the receiving account; and
  - (c) for as long as it holds money in the receiving account, must maintain on its website details of how persons entitled to the money can withdraw their money from the receiving account.
- (3) If money is held in the receiving account:

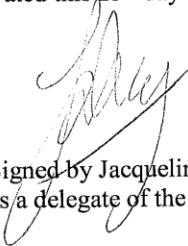
14 - 0619

- (a) the receiving licensee is entitled to the interest on the account; and
  - (b) the interest on the account is not required to be paid into the account.
- (4) Despite section 981D and anything in the regulations made for the purposes of section 981C, where money has been paid into the receiving account in accordance with this section, money may only be paid out of that account in the following circumstances:
- (a) making a payment to, or in accordance with the written direction of, a person entitled to the money; or
  - (b) paying the money to ASIC to be held as unclaimed monies in accordance with subsection (5).
- (5) All remaining monies held in the receiving account in accordance with this section must be paid to ASIC, within 7 days after the occurrence of any of the following events, to be held as unclaimed monies under Part 9.7:
- (a) the receiving licensee ceases to carry on a financial services business;
  - (b) the receiving licensee becomes an externally-administered body corporate;
  - (c) there is a change in control of the receiving licensee.”.

**Where this instrument applies**

5. This instrument applies in relation to the payment of monies held by GFT in an account to which section 981B of the Act applies to GAIN to be held in an account to which section 981B of the Act applies, in connection with an application to cancel the Australian financial services licence of GFT.

Dated this 25<sup>th</sup> day of June 2014



Signed by Jacqueline Cochrane  
as a delegate of the Australian Securities and Investments Commission



14-0629

ASIC

Australian Securities & Investments Commission

**Australian Securities & Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

**TO:** Pro Trader Securities Pty Limited  
ACN 112 088 089 ("the Licensee")  
Unit 11, 15 Rosslyn Street  
West Leederville WA 6007

Pursuant to section 915B of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Licence Number 293645 held by the Licensee with effect from the date on which this notice is given to the Licensee.

Dated this 27 June 2014

Signed .....

Joyce Krashow, a delegate of the Australian Securities and Investments Commission

14-0630

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 926A(2)(c) – Variation**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 926A(2)(c) of the *Corporations Act 2001 (Act)*.

**Title**

2. This instrument is ASIC Instrument 14-0630.

**Commencement**

3. This instrument commences on 30 June 2014.

**Variation**

4. ASIC instrument 12-1525 is varied by
  - (a) in paragraph 4:
    - (i) deleting the words “as if paragraph 912AA(4)(d) of the Act (as notionally inserted by ASIC Class Order [CO 11/1140]” and
    - (ii) replacing them with the words “as if paragraph 912AA(3)(b) of the Act (as notionally inserted by ASIC Class Order [CO 13/760]”.

Dated this 30th day of June 2014



Signed by Gerald Yip  
as a delegate of the Australian Securities and Investments Commission





ASIC

Australian Securities &amp; Investments Commission

[14-0634]

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Independent Commodity Services Pty Ltd  
ACN 078 454 832 ("the Licensee")  
PO BOX 5757  
61C Baylis Street  
Wagga Wagga NSW 2650

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 223519 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated: 26 June 2014

Signed

A handwritten signature in black ink, appearing to read 'John Connor', written over a dotted line.

John Connor  
A delegate of the Australian Securities and Investments Commission

**ASIC**

Australian Securities &amp; Investments Commission

**[14-0635]****Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B****Notice of Cancellation of an Australian Financial Services Licence**

TO: Perks Corporate Finance Pty Ltd  
ACN 096 352 822 ("the Licensee")  
180 Greenhill Road  
Parkside SA 5063

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 253126 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated: 30 June 2014

Signed

A handwritten signature in black ink, appearing to read 'John Connor', written over a horizontal dotted line.

John Connor  
A delegate of the Australian Securities and Investments Commission



**ASIC**

Australian Securities & Investments Commission

**14-0636**

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Indemnity Corporation Pty Ltd  
ACN 002 785 426 ("the Licensee")  
Steadfast Group Limited  
Level 3 97-99 Bathurst Street  
Sydney NSW 2000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 234578 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 30 June 2014

Signed .....

Joyce Krashow  
A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities &amp; Investments Commission

**[14-0639]****Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B****Notice of Cancellation of an Australian Financial Services Licence**

TO: Swan Hill Credit Union Ltd  
ACN 087 651 714 ("the Licensee")  
42 McCallum Street  
SWAN HILL VIC 3585

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 238190 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated: 26 June 2014

Signed

A handwritten signature in cursive script, appearing to read 'John J. Connor', written over a dotted line.

John Connor  
A delegate of the Australian Securities and Investments Commission



14-0640

**ASIC**

Australian Securities & Investments Commission

**Australian Securities & Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

**TO:** Omni Credit Advisors Pty Ltd  
ACN 160 364 643 ("the Licensee")  
12 Harris Street  
HAWTHORNE QLD 4171

Pursuant to section 915B of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels AFS Licence Number 428458 held by the AFS Licensee with effect from the date on which this notice is given to the Licensee.

Dated this 26 June 2014.

Signed .....

Joyce Krashow, a delegate of the Australian Securities and Investments Commission

14-0641

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 601QA(1) – Exemption and Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 601QA(1) of the *Corporations Act 2001 (Act)*.

**Title**

2. This instrument is ASIC Instrument [14- 0641].

**Commencement**

3. This instrument commences on the day it is signed.

**Exemption**

4. Australand Investments Limited ACN 086 673 092 (*Australand Investments*) in its capacity as responsible entity of Australand Property Trust No. 4 ARSN 108 254 413 (*APT 4*) and Australand Property Trust No. 5 ARSN 108 254 771 (*APT 5*) does not have to comply with paragraph 601FC(1)(d) of the Act.

**Declaration**

5. Chapter 5C of the Act applies to Australand Investments in its capacity as the responsible entity of APT 4 and APT 5 as modified or varied as follows:
  - (a) omit "; and" and substituting "." in paragraph 601GA(4)(a);
  - (b) omit paragraph 601GA(4)(b), paragraph 601GA(4)(c) and the final sentence in section 601GA(4);
  - (c) omit "601KE" at the end of paragraph 601KA(3)(b) and substitute "601KEA";
  - (d) insert after section 601KE:

**"601 KEA Withdrawal in relation to unstapled interests in a scheme**

- (1) Where some interests in a registered scheme form part of stapled securities, a right of withdrawal for unstapled interests in the registered scheme may be exercised in accordance with the procedures specified in the scheme's constitution.

14-0641

(2) The amount that will be paid to a member making a withdrawal must be determined in accordance with the formula specified in the constitution.

(3) For the purpose of this section:

*stapled security* means two or more financial products including at least one interest in a registered scheme that under the constitution must be transferred together;

*unstapled interest* means an interest in the registered scheme which does not form a part of the stapled security."

#### **Where this instrument applies**

This instrument applies where:

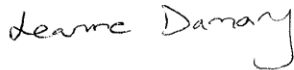
1. Australand Investments at the request of the Cross-holders redeems all interests held by the Cross-holders in APT 4 and APT 5 that are not component parts of Australand Stapled Securities (*relevant withdrawal*) without offering a right of withdrawal to any other holder of interests in APT 4 and APT 5;
2. Australand Investments has the power to make the relevant withdrawal under the constitution of APT 4 and the constitution of APT 5;
3. The withdrawal price paid to each of the Cross-holders in relation to the relevant withdrawal is based on a net asset value formula set out in the constitution of APT 4 and the constitution of APT 5;
4. The Cross-holders do not have any liability to Australand Investments as trustee of APT 4 and APT 5 as a result of holding the interests the subject of the relevant withdrawal and the holding of those interests would not give rise to any such liability in the future if the interests were not the subject of the relevant withdrawal;
5. All of the shares in Australand Holdings Limited ACN 008 443 696 (*AHL*) and all the units of Australand Property Trust ARSN 106 680 424 (*APT*) are component parts of Australand Stapled Securities; and
6. The relevant withdrawal is undertaken by Australand Investments by 30 September 2014.

14-0641

**Interpretation*****Cross-holders*** means:

- (a) AHL; and
- (b) Australand Property Limited ACN 105 462 137 in its capacity as responsible entity of APT.

***Australand Stapled Security*** means a share in AHL, an interest in APT, an interest in APT 4 and an interest in APT 5, which under the terms on which each is to be traded must only be transferred together.

Dated this 26<sup>th</sup> day of June 2014

Signed by Leanne Damary  
as a delegate of the Australian Securities and Investments Commission



14-0642

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 926A(2)(c) – Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 926A(2)(c) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument [14-0642].

**Commencement**

3. This instrument commences on 1 July 2014.

**Declaration**

4. Part 7.6 (other than Divisions 4 and 8) of the Act applies in relation to One Managed Investment Funds Ltd ACN 117 400 987 (the *Licensee*) as if section 912AA of the Act (as notionally inserted by ASIC Class Order [CO 13/760]) and section 912AC of the Act (as notionally inserted by ASIC Class Order [CO 13/761]) were omitted.

**Condition**

5. The Licensee must comply with the conditions of its Australian financial services licence (Licence No: 297042).

**Where this instrument ceases to apply**

6. This instrument ceases to apply at the end of 1 August 2014.

Dated this 30th day of June 2014



.....  
Signed by Anne Phelan  
as a delegate of the Australian Securities and Investments Commission

14-0643



ASIC

Australian Securities & Investments Commission

**Australian Securities & Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

**TO:** Eastern Wealth Management Pty Limited  
ACN 008 167 762 ("the Licensee")  
160 Payneham Road,  
Evandale SA 5069

Pursuant to section 915B of the Corporations Act 2001, the Australian Securities and Investments Commission hereby cancels Licence Number 244579 held by Eastern Wealth Management Pty Limited with effect from the date on which this notice is given to the Licensee.

Dated this 30 June 2014

A handwritten signature in black ink, appearing to be 'JK' or similar initials, written over a dotted line.

Signed .....

Joyce Krashow, a delegate of the Australian Securities and Investments Commission

14-0649

**Australian Securities and Investments Commission  
Corporations Act 2001 — Paragraph 911A(2)(l) — Exemption**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 911A(2)(l) of the *Corporations Act 2001 (Act)*.

**Title**

2. This instrument is ASIC Instrument 14-0649.

**Commencement**

3. This instrument commences on the date of its gazettal.

**Exemption**

4. A related body corporate of Caissa Holdings Pty Limited ACN 163 556 947 (*Caissa*) that is a trustee of a wholesale real property scheme (*trustee*) does not have to comply with the requirement to hold an Australian financial services licence (*AFS licence*) for the provision of the following financial services (*wholesale real property financial services*):
  - (a) dealing in general insurance products that form part of the trust property of the wholesale real property scheme;
  - (b) dealing in derivative products limited to only those that manage interest rate risk for a trustee that is a related body corporate of Caissa; and
  - (c) providing a custodial or depository service in relation to the products mentioned in paragraph (a) & (b).

**Where this exemption applies**

5. The exemption in paragraph 4 is only available where all of the following apply:
  - (a) Caissa holds an AFS licence that:

## 14-0649

- (i) authorises Caissa to provide wholesale real property financial services; and
- (ii) contains conditions to the effect that Caissa must:
  - (A) as far as possible, comply with the Act as if the trustee was providing wholesale real property financial services as Caissa's representative; and
  - (B) have in place a deed poll that contains enforceable, unlimited and irrevocable covenants, for the benefit of each person (*beneficiary*) to whom the trustee provides a wholesale real property financial service, to the effect that Caissa will indemnify the beneficiary in relation to any liability (other than an exempt liability) that arises from the trustee's provision of a wholesale real property financial service to the beneficiary; and
  - (C) for the purposes of the relevant financial conditions of Caissa's AFS licence — treat the assets, liabilities, cash inflows and cash outflows of the trustee as though they were included in the assets, liabilities, cash inflows and cash outflows of Caissa; and
- (b) either of the following applies:
  - (i) the trustee and Caissa have only issued any interests in the wholesale real property scheme to a person as a wholesale client and have, from the later of the date of this instrument and when the trustee or Caissa first issue an interest in the scheme, taken all reasonable steps to ensure that interests in the scheme are only acquired by a person as a wholesale client; or
  - (ii) if the trustee or Caissa becomes aware or should reasonably have become aware of matters that give it reason to believe that it has failed, other than in an immaterial respect, to comply with subparagraph (i):
    - (A) 10 business days have not elapsed without full particulars of the failure having been provided to ASIC in writing (to the extent that the trustee or Caissa knows those particulars or

14-0649

would have known them if it had undertaken reasonable enquiries); and

- (B) 30 business days have not elapsed from ASIC receiving those particulars from the trustee or Caissa without ASIC having notified the trustee or Caissa in writing that the trustee may continue to rely on this instrument; and
- (c) if the trustee or Caissa becomes aware that, if the trustee held an AFS licence that contained the following conditions:
  - (i) a condition to the effect of condition 13 of ASIC Pro Forma [PF 209] *Australian financial services licence conditions (PF 209)* as published at the date of this instrument; and
  - (ii) conditions to the effect of those parts of condition 28 of PF 209 (other than condition 28(a) and conditions 28(d)(iii), (iv) and (v)) that relate to a report by an auditor relating to condition 13; and
  - (iii) a condition that the trustee must lodge with ASIC the report by an auditor that would be required by those parts of condition 28 of PF 209 within 15 months of the time the trustee first relies on this instrument and then within each period of 13 months thereafter and relating to a period that commences:
    - (A) at the date of that first reliance; or
    - (B) immediately after the end of the period to which the last previous report lodged under this requirement relates, and ends not more than 3 months before the date of lodgment of the report, as if:
      - (iv) references to a responsible officer in condition 13 of PF 209 were to an officer; and
      - (v) references to the licensee's annual audit report under condition 28 of the licence in condition 13 of PF 209 were to the report lodged under subparagraph 5(c)(iii) of this instrument; and

## 14-0649

- (vi) references to conditions of the licence in condition 28 of PF 209 were to the condition mentioned in subparagraph 5(c)(i) of this instrument,

it would be in breach, other than in an immaterial respect, of any of those conditions; and

- (vii) 10 business days have not elapsed without the matter being notified to ASIC in writing; and
- (viii) 30 business days have not elapsed from ASIC receiving the notice from the trustee or Caissa without ASIC having notified the trustee in writing that the trustee may continue to rely on this instrument.

**Exclusion from this instrument**

- 6. The exemption in paragraph 4 does not apply to a person who has been notified in writing by ASIC that the person is excluded from relying on this instrument.

**Interpretation**

- 7. In this instrument:

*custodial or depository service* has the meaning given by section 766E of the Act.

*derivative* has the meaning given by section 761D of the Act.

*exempt liability* means, in relation to a wholesale real property scheme, a liability arising from any act or omission relating to a wholesale real property financial service for which the trustee of the scheme may be indemnified from the trust property.

*incidental property*, in relation to a wholesale real property scheme, means cash, deposits or current accounts with an Australian ADI or interests in a cash management trust that are held for no more than three months pending expenditure or distribution to members.

*general insurance products* has the meaning given by section 761A of the Act.

*relevant financial conditions*, in relation to an AFS licence, means conditions to the effect of conditions 13 (base level financial requirements), 21 (financial requirements for holding client money or property), 22 (financial requirements for

14-0649

licensee transacting with clients) and 28 (audit opinion on financial requirements) of PF 209 (as published at the date of this instrument).

*representative* has the meaning given by section 910A of the Act.

*wholesale client* has the meaning given by section 761G of the Act.

*trust property* of a wholesale real property scheme means:

- (a) contributions of money or money's worth to the scheme; and
- (b) money borrowed or raised by the trustee for the purposes of the scheme; and
- (c) property acquired, directly or indirectly, with, or with the proceeds of, contributions or money referred to in paragraph(a) or (b); and
- (d) income and property derived, directly or indirectly, from contributions, money or property referred to in paragraph (a), (b) or (c).

*wholesale real property scheme* means a managed investment scheme to which all of the following apply:

- (a) the scheme is not registered, and is not required to be registered, because of subsection 601ED(2) of the Act; and
- (b) all of the trust property (other than incidental property and general insurance products) consists of real property.

**Dated this 29<sup>th</sup> day of June 2014**



**Signed by Tony Tran  
as a delegate of the Australian Securities and Investments Commission**



14-0652

ASIC

Australian Securities & Investments Commission

**Australian Securities & Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

**TO:** Abatement Solutions – Asia Pacific, Pty Ltd  
ACN 120 544 256("the Licensee")  
c/o Hammond and Warters Pty. Limited  
98A Great North Road  
Five Dock NSW 2046

Pursuant to section 915B of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Licence Number 425543 held by the Licensee with effect from the date on which this notice is given to the Licensee.

Dated this 30th day of June 2014

Signed .....

Joyce Krashow, a delegate of the Australian Securities and Investments Commission





14-0654

**ASIC**

Australian Securities & Investments Commission

**Australian Securities & Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

**TO:** Affinity Planning Pty Ltd  
ACN 052 499 377 ("the Licensee")  
3 Kent Grove  
Caulfield North VIC 3161

Pursuant to section 915B of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Licence Number 253099 held by the Licensee with effect from the date on which this notice is given to the Licensee.

Dated this 30th day of June 2014

Signed .....

Joyce Krashow, a delegate of the Australian Securities and Investments Commission



14-0655

ASIC

Australian Securities & Investments Commission

**Australian Securities & Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

**TO:** ARKX Investment Management Pty Ltd ("The Licensee")  
A.C.N. 125 684 166  
31 Inverallan Avenue  
Pymble  
NSW 2073

Pursuant to section 915B of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Licence Number 317837 held by the Licensee with effect from the date on which this notice is given to the Licensee.

Dated this 30<sup>th</sup> day of June 2014

Signed .....

Joyce Krashow, a delegate of the Australian Securities and Investments Commission

14-0658

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraphs 655A(1)(b) and 673(1)(b) – Declarations**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 655A(1)(b) and 673(1)(b) of the *Corporations Act 2001 (Act)*.

**Title**

2. This instrument is ASIC Instrument 14-0658.

**Commencement**

3. This instrument commences on 1 July 2014.

**Declarations**

4. Chapter 6 of the Act applies to 3P Learning Limited ACN 103 827 836 (the *Company*) as if section 609 were modified or varied by inserting the following subsection after subsection 609(13), as notionally inserted by ASIC Class Order [CO 13/520]:

“(14) A person does not have a relevant interest in its own securities merely because under an escrow agreement entered into by the person, the person applies restrictions on the disposal of the securities by the holder.”.

5. Chapter 6C of the Act applies to the Company as if Part 6C.1 were modified or varied by inserting the following section after subsection 671B(7), as notionally varied by ASIC Class Order [CO 13/520]:

**“671BA Escrow Agreements**

For the purposes of section 671B and the definition of *substantial holding* in section 9, a person has a relevant interest in securities if the person would have a relevant interest in the securities but for subsection 609(14).”.

**Where this instrument applies**

6. This instrument applies to an acquisition of a relevant interest in securities in the Company (*Escrowed Shares*) arising as a result of the entry into one or more voluntary escrow agreements (each, an *Escrow Agreement*) between the Company and each Escrowed Shareholder where each Escrow Agreement:
  - (a) is in connection with the Company being admitted to the official list of the financial market operated by ASX Limited ACN 008 624 691 (*ASX*);

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- (b) restricts disposal of, but not the exercise of voting rights attaching to, the Escrowed Shares;
- (c) terminates no later than the first day of trading of the Shares on the ASX following the public announcement by the Company of its preliminary final report with respect to the financial year ending 30 June 2015;
- (d) allows each Escrowed Shareholder to accept into a takeover bid for some or all of their Shares where:
  - (i) holders of at least half of the bid class securities that are not the subject of an Escrow Agreement to which the offer under the bid relates have accepted; and
  - (ii) the Escrow Agreement requires that the Escrowed Shares be returned to escrow if the bid does not become unconditional;
- (e) allows the Escrowed Shares to be transferred or cancelled as part of an arrangement under Part 5.1 of the Act; and
- (f) is in substantially the same form provided to ASIC on 12 June 2014.

**Interpretation**

7. In this instrument:

- (a) *Escrowed Shareholder* means each of the Existing Employees and the Existing Shareholders;
- (b) *Existing Employees* means:
  - (i) those employees of the Company who:
    - (A) will be transferred Shares as a result of the closing out of the 3P Employee Share Trust as a part of the IPO arrangements;
    - (B) currently hold Shares and will not sell all of those Shares into the IPO; or
    - (C) will subscribe for Shares under the IPO;
  - (ii) those employees of Into Science, who as part of the acquisition of Into Science by the Company and the closing out of the Into Science Employee Share Trust, have agreed to subscribe for Shares under the IPO;
- (c) *Existing Shareholders* means those persons who will hold Shares, immediately prior to the issue or transfer (as applicable) of Shares to applicants under the IPO, and who will not sell all of those Shares into the

14-0658

IPO;

- (d) **Into Science** means Into Science Pty Ltd ACN 149 907 899, a wholly owned subsidiary of the Company;
- (e) **IPO** means the sale and issue of Shares under a prospectus lodged with ASIC on 19 June 2014; and
- (f) **Shares** means fully paid ordinary shares in the Company.

Dated this 1<sup>st</sup> day of July 2014



Signed by Melissa Liu  
as a delegate of the Australian Securities and Investments Commission

14-0661

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraphs 911A(2)(l), 992B(1)(a), 1020F(1)(a) and  
1020F(1)(b) – Exemptions**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 911A(2)(l), 992B(1)(a), 1020F(1)(a) and 1020F(1)(b) of the *Corporations Act 2001 (Act)*.

**Title**

2. This instrument is ASIC Instrument 14-0661.

**Commencement**

3. This instrument commences on gazettal.

**Exemptions**

4. The issuer does not have to comply with Part 7.9 of the Act where the issuer:
  - (a) makes an eligible incentive plan offer;
  - (b) offers to arrange for the issue of financial products under an eligible incentive plan offer; or
  - (c) issues a financial product under an eligible incentive plan offer;on the conditions set out in this instrument and for so long as the conditions are met.
5. Where the issuer is exempt from Part 7.9 in relation to an eligible incentive plan offer because of the exemption in paragraph 4, the issuer is also exempt from the requirement to hold an Australian financial services licence for the provision of the following financial services:
  - (a) a financial service consisting of general advice reasonably given in connection with the eligible incentive plan offer (including any general advice given in the offer document) where the issuer ensures that the offer document for the offer includes a statement to the effect that any advice given by the issuer in connection with the offer is general advice only, and that employees should consider obtaining their own financial product advice from an independent person who is licensed by ASIC to give such advice;

## 14-0661

- (b) a custodial or depositary service in connection with an eligible incentive plan offer where the issuer performs their duties in good faith and has sufficient resources to perform those duties;
  - (c) dealing in a financial product in the course of providing a custodial or depositary service covered by paragraph (b);
  - (d) issuing a financial product under an eligible incentive plan offer covered by paragraph 4 of this instrument; and
  - (e) dealing in a financial product in connection with an eligible incentive plan offer where any acquisition by purchase or disposal of the product by the issuer occurs either:
    - (i) through a person who holds an Australian financial services licence authorising the holder to deal in financial products; or
    - (ii) outside this jurisdiction and through a person who is licensed or otherwise authorised to deal in financial products in the relevant place.
6. Where the issuer is exempt from Part 7.9 in relation to an eligible incentive plan offer because of the exemption in paragraph 4, the issuer also does not have to comply with section 992A of the Act in relation to an eligible incentive plan offer made in the course of, or because of, unsolicited meetings or telephone calls reasonably held or made in connection with the offer.
7. ASIC exempts a financial product that is the subject of an eligible incentive plan offer from Part 7.9 of the Act where:
- (a) a recommendation is made by a person (other than the issuer) that a person to whom an eligible incentive plan offer has been made, acquire the financial product as a retail client; and
  - (b) the person who made the recommendation is not aware, and ought not reasonably to be aware, that any of the conditions set out in this instrument have not been met;
- but only in relation to the recommendation by the person.

**Where this instrument applies**

8. This instrument applies where the issuer:
- (a) meets the requirements (including, for the avoidance of doubt, definitions) of the class order, except the definitions of:
    - (i) “eligible offer” in paragraph 9 of the Interpretation; and

## 14-0661

- (ii) “issuer” in paragraph 12 of the Interpretation; and
- (b) would meet the requirements of the class order if:
  - (i) the definition of an “eligible offer” in paragraph 9 of the Interpretation were to read:

“9. “eligible offer” means an offer for issue or sale of performance rights in relation to fully-paid shares in an issuer in the same class as shares which will have been quoted on the financial market operated by the New York Stock Exchange for at least a 3 month period immediately before the first vesting of any performance rights without suspension for more than a total of 5 trading days during that period made under an employee share scheme extended only to eligible employees of the issuer;” and
  - (ii) in the Interpretation, the following definition were inserted:

“9A. “employee share scheme”, for a body corporate, means a scheme under which shares (or units of shares or options to acquire unissued shares or performance rights) in the body or a related body corporate may be acquired:

    - (a) by, or for the benefit of:
      - (i) employees of the body, or of the related body corporate; or
      - (ii) directors of the body, or of a related body corporate, who hold a salaried employment or office in the body or in a related body corporate; or
    - (b) by a corporation all of whose members are:
      - (i) employees of the body, or of a related body corporate; or
      - (ii) directors of the body, or of a related body corporate, who hold a salaried employment or office in the body or in a related body corporate;” and
- (iii) in the definition of an “offer document” in paragraph 15 of the Interpretation, subparagraphs (c) and (d) were to read:

“(c) specifies in respect of the performance rights or the shares to which the performance rights relate:

  - (i) the acquisition price in Australian dollars;
  - (ii) where the acquisition price is specified in a foreign currency, the Australian dollar equivalent of that price at the date of the eligible offer; or



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- (ii) “issuer” in paragraph 12 of the Interpretation; and
- (b) would meet the requirements of the class order if:
  - (i) the definition of an “eligible offer” in paragraph 9 of the Interpretation were to read:

“9. “eligible offer” means an offer for issue or sale of performance rights in relation to fully-paid shares in an issuer in the same class as shares which will have been quoted on the financial market operated by the New York Stock Exchange for at least a 3 month period immediately before the first vesting of any performance rights without suspension for more than a total of 5 trading days during that period made under an employee share scheme extended only to eligible employees of the issuer;”;
  - (ii) in the Interpretation, the following definition were inserted:

“9A. “employee share scheme”, for a body corporate, means a scheme under which shares (or units of shares or options to acquire unissued shares or performance rights) in the body or a related body corporate may be acquired:

    - (a) by, or for the benefit of:
      - (i) employees of the body, or of the related body corporate; or
      - (ii) directors of the body, or of a related body corporate, who hold a salaried employment or office in the body or in a related body corporate; or
    - (b) by a corporation all of whose members are:
      - (i) employees of the body, or of a related body corporate; or
      - (ii) directors of the body, or of a related body corporate, who hold a salaried employment or office in the body or in a related body corporate;”;
  - (iii) in the definition of an “offer document” in paragraph 15 of the Interpretation, subparagraphs (c) and (d) were to read:

“(c) specifies in respect of the performance rights or the shares to which the performance rights relate:

    - (i) the acquisition price in Australian dollars;
    - (ii) where the acquisition price is specified in a foreign currency, the Australian dollar equivalent of that price at the date of the eligible offer; or

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- (i) the number of shares in the same class which would be issued were every other outstanding offer with respect to shares, units of shares, options to acquire unissued shares and performance rights under every other employee share schemes of the issuer to vest or to be accepted or exercised;
- (ii) the number of shares in the same class issued during the previous 5 years pursuant to:
  - (A) an eligible incentive plan offer extended only to eligible employees; and
  - (B) any other employee share scheme extended only to eligible employees of the issuer;

but disregarding any offer made, option or right acquired or share issued by way of or as a result of:

- (iii) an offer to a person situated at the time of receipt of the offer outside this jurisdiction; or
- (iv) an offer that did not need disclosure to investors because of section 708 of the Act; or
- (v) an offer that did not require the giving of a Product Disclosure Statement because of section 1012D of the Act; or
- (vi) an offer made under a disclosure document or Product Disclosure Statement,

must not exceed 5% of the total number of issued shares in that class of the issuer as at the time of the offer.

**Interpretation**

10. In this instrument:

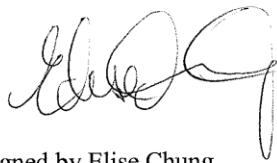
- (a) a word and phrase used in this instrument has the same meaning as it has in the class order unless the word or phrase is defined in paragraph 8 of this instrument in which case the word or phrase has that meaning;
- (b) *class order* means ASIC Class Order [CO 03/184] as in force on the date of this instrument and as amended from time to time by a disallowable legislative instrument within the meaning of the Legislative Instruments Act 2003;
- (c) *eligible incentive plan offer* means an offer for the issue or sale of performance rights, made under an arrangement known as the Zendesk, Inc. 2014 Stock Option and Incentive Plan (under which the performance rights are referred to as restricted stock units), the Offer Document and the Restricted Stock Unit Award

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Agreement, the terms of which are substantially in the same form as those provided to ASIC on 22 April 2014.

- (d) *issuer* means Zendesk, Inc., a foreign company incorporated under the laws of the State of Delaware, United States of America and any related body corporate.

Dated this 2<sup>nd</sup> day of July 2014



Signed by Elise Chung  
as a delegate of the Australian Securities and Investments Commission

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**Australian Securities and Investments Commission  
Corporations Act 2001 — Paragraphs 601QA(1)(a) and 1020F(1)(a) — Exemptions**

**Enabling legislation**

1. The Australian Securities and Investments Commission (ASIC) makes this instrument under paragraphs 601QA(1)(a) and 1020F(1)(a) of the *Corporations Act 2001* (the Act).

**Title**

2. This instrument is ASIC Instrument 14-0662.

**Commencement**

3. This instrument commences on the day it is signed.

**Exemption**

4. ASX Clear (Futures) does not have to comply with section 601ED of the Act in relation to the Client Clearing Arrangements.
5. ASX Clear (Futures) does not have to comply with Divisions 2 to 5 of Part 7.9 of the Act in relation to interests in the Client Clearing Arrangements.

**Interpretation**

For the purposes of this instrument:

*ASX 24 Exchange Traded Derivatives* means derivatives traded on the licensed financial market operated by Australian Securities Exchange Limited ACN 000 943 377.

*ASX Clear (Futures)* means ASX Clear (Futures) Pty Limited ACN 050 615 864.

*clearing participant* means a participant of ASX Clear (Futures).

*Client Clearing Arrangements* means arrangements for clearing transactions in ASX 24 Exchange Traded Derivatives between ASX Clear (Futures) under its Australian CS facility licence, clearing participants, and clients of clearing participants that has the following features (without limitation):

- (a) for each Open Contract or Open Position held by a clearing participant on behalf of a client, each of ASX Clear (Futures), the clearing participant and the clearing participant's client are parties to and bound by those Open Contracts or Open Positions;
  - (b) clearing participants are liable to ASX Clear (Futures) as principal in relation to obligations under Open Contracts and Open Positions;
-

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- (c) ASX Clear (Futures) may calculate a clearing participant's initial margin obligation to ASX Clear (Futures) for its client-related business on an aggregate basis across all the clearing participant's client sub-accounts;
- (d) a clearing participant may use margin provided by its clients to meet the clearing participant's initial margin obligations (calculated by ASX Clear (Futures) on the basis set out in paragraph (c) above) to ASX Clear (Futures);
- (e) ASX Clear (Futures) treats the value of initial margin in respect of a clearing participant's client sub-accounts as belonging to the relevant client or clients (as applicable), however, those clients have no enforceable rights against ASX Clear (Futures) in respect of that initial margin value unless and until its clearing participant defaults;
- (f) ASX Clear (Futures) aggregates the initial margin provided to it by clearing participants and invests it, and may pay interest received on those investments to clearing participants; and
- (g) clearing participants may, but are not required to, pay some or all of the interest they receive from ASX Clear (Futures) under the arrangements described in paragraph (f) above, to their clients.

**Open Contract** has the meaning given by the operating rules of ASX Clear (Futures).

**Open Position** has the meaning given by the operating rules of ASX Clear (Futures).

Dated this 2<sup>nd</sup> day of July 2014



Signed by Hamish Ratten  
as a delegate of the Australian Securities and Investments Commission

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**Australian Securities and Investments Commission**  
**Corporations Act 2001 – Paragraphs 911A(2)(1), 992B(1)(a), 1020F(1)(a) and**  
**1020F(1)(b) – Exemptions**

**Enabling Legislation**

1. The Australian Securities and Investments Commission (**ASIC**) makes this instrument under paragraphs 911A(2)(1), 992B(1)(a), 1020F(1)(a) and 1020F(1)(b) of the *Corporations Act 2001 (Act)*.

**Title**

2. This instrument is ASIC Instrument 14-0666.

**Commencement**

3. This instrument commences on gazettal.

**Exemption**

4. The issuer does not have to comply with Part 7.9 of the Act where the issuer:
  - (a) makes an eligible incentive plan offer;
  - (b) offers to arrange for the issue of financial products under an eligible incentive plan offer; or
  - (c) issues a financial product under an eligible incentive plan offer;on the conditions set out in this instrument and for so long as the conditions are met.
5. Where the issuer is exempt from Part 7.9 in relation to an eligible incentive plan offer because of the exemption in paragraph 4, the issuer and associated body corporates is also exempt from the requirement to hold an Australian financial services licence for the provision of the following financial services:
  - (a) a financial service consisting of general advice reasonably given in connection with the eligible incentive plan offer (including any general advice given in the offer document) where the issuer ensures that the offer document for the offer includes a statement to the effect that any advice given by the issuer in connection with the offer is general advice only, and that eligible employees should consider obtaining their own financial

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- product advice from an independent person who is licensed by ASIC to give such advice;
- (b) a custodial or depositary service in connection with an eligible incentive plan offer where the issuer performs their duties in good faith and has sufficient resources to perform those duties;
  - (c) dealing in a financial product in the course of providing a custodial or depositary service covered by paragraph (b);
  - (d) issuing a financial product under an eligible incentive plan offer covered by paragraph 4 of this instrument; and
  - (e) dealing in a financial product in connection with an eligible incentive plan offer where any acquisition by purchase or disposal of the product by the issuer occurs either:
    - (i) through a person who holds an Australian financial services licence authorising the holder to deal in financial products; or
    - (ii) outside this jurisdiction and through a person who is licensed or otherwise authorised to deal in financial products in the relevant place.
6. Where the issuer is exempt from Part 7.9 in relation to an eligible incentive plan offer because of the exemption in paragraph 4, the issuer also does not have to comply with section 992AA of the Act in relation to an eligible incentive plan offer made in the course of, or because of, unsolicited meetings or telephone calls reasonably held or made in connection with the offer.
7. ASIC exempts a financial product that is the subject of an eligible incentive plan offer from Part 7.9 of the Act where:
- (a) a recommendation is made by a person (other than the issuer) that a person to whom an eligible incentive plan offer has been made, acquire the financial product as a retail client; and
  - (b) the person who made the recommendation is not aware, and ought not reasonably to be aware, that any of the conditions set out in this instrument have not been met;
- but only in relation to the recommendation by the person.

**Where this instrument applies**

8. This instrument applies where the issuer:
- (a) meets the requirements (including, for the avoidance of doubt, definitions) of the class order, except the definitions of:

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- (i) “eligible employee” in paragraph 9 of the Interpretation; and
  - (ii) “eligible offer” in paragraph 9 of the Interpretation; and
  - (iii) “issuer” in paragraph 12 of the Interpretation; and
  - (iv) “stapled security” in paragraph 17 of the Interpretation; and
- (b) would meet the requirements of the class order if:
- (i) the definition of an “eligible employee” in paragraph 9 of the Interpretation were to read:

“9. “eligible employee” means, in relation to an issuer:

    - (a) a person who is at the time of an offer under an employee share scheme a full or part-time employee or director of the issuer or of its related body corporates or their controlled entities; and
    - (b) a person who is at the time of an offer under an employee share scheme a person who has been in employment with the issuer or of its related body corporates or their controlled entities for more than one year and that the issuer regards as equivalent to either a full or part-time employee; and
    - (c) a corporation or trust one of whose members or beneficiaries is a person covered by paragraph (a) or (b) and which has no other members or beneficiaries other than a spouse, child or other relative of the first mentioned person; and
    - (d) a corporation, one of whose directors is a person covered by paragraph (a) or (b) and which has no other directors other than a spouse, child or other relative of the first mentioned person;” and
  - (ii) the definition of an “eligible offer” in paragraph 9 of the Interpretation were to read:

“9. “eligible offer” means an offer for issue or sale of performance rights in relation to fully-paid stapled securities in the same class as stapled securities which have been quoted on the financial market operated by ASX Limited ACN 008 624 691 throughout the 3 month period immediately before the offer without suspension for more than a total of 2 trading days during that period, made under an employee share scheme extended only to eligible employees of the issuer;” and
  - (iii) in the Interpretation, the following definition were inserted:



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- “9A. “employee share scheme”, means a scheme under which stapled securities (or performance rights) may be acquired by, or for the benefit of, eligible employees;”; and
- (iv) in the definition of an “offer document” in paragraph 15 of the Interpretation, subparagraphs (c) and (d) were to read:
- “(c) specifies in respect of the performance rights or the stapled securities to which the performance rights relate:
- (i) the acquisition price in Australian dollars;
- (ii) where the acquisition price is specified in a foreign currency, the Australian dollar equivalent of that price at the date of the eligible offer; or
- (iii) where the acquisition price is to be worked out in the future under a formula, the Australian dollar or Australian dollar equivalent of that price were the formula applied at the date of the eligible offer; and
- (d) includes an undertaking, and an explanation of the way in which, the issuer who has a registered office in this jurisdiction will, during the period in which an eligible employee may acquire the stapled security, within a reasonable period of the employee requesting, make available to the employee:
- (i) the current market price (or, where that price is denominated in a foreign currency, the Australian dollar equivalent of that price) of the stapled security to which the performance rights relate; and
- (ii) where sub-subparagraph (c)(ii) or (iii) applies, the information referred to in that subparagraph as updated to that date.”; and
- (vi) in the Interpretation, the following definition were inserted:
- “16A. “performance right” means a conditional right:
- (a) to be issued or transferred a fully-paid stapled security; or
- (b) to receive a cash amount equivalent to the value of a fully-paid stapled security; or
- (c) either to be issued or transferred a fully-paid stapled security or to receive a cash amount

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equivalent to the value of such a stapled security;  
or

- (d) in addition to (a), (b) or (c) to receive a cash amount, equivalent to the value of distributions paid in respect of a stapled security,

where the performance right is offered for no more than nominal monetary consideration;”.

- (vii) in the definition of an “stapled security” in paragraph 17 of the Interpretation were to read:

“17. *stapled security* means two or more financial products including at least one interest in a registered scheme where:

- (a) under the terms on which each of the products are to be traded, they must be transferred together; and
- (b) there are no financial products in the same class as those financial products which may be transferred separately.”.

**Conditions**

- 10. The issuer can only rely on the exemptions in this instrument if the issuer complies with the following conditions:
  - (a) the conditions of the class order (excluding the condition specified in paragraph 3 of the Schedule to the class order) as expressed to apply to them; and
  - (b) the issuer must take reasonable steps to ensure that the number of stapled securities that may be issued in connection with any eligible incentive plan offer, when aggregated with:
    - (i) the number of stapled securities in the same class which would be issued were every other outstanding offer with respect to stapled securities and performance rights under every other employee share scheme of the issuer to vest or to be accepted or exercised;
    - (ii) the number of stapled security in the same class issued during the previous 5 years pursuant to:
      - (A) an eligible incentive plan offer extended only to eligible employees; and
      - (B) any other employee share scheme extended only to eligible employees of the issuer;

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but disregarding any offer made, option or right acquired or share or stapled security issued by way of or as a result of:

- (iii) an offer to a person situated at the time of receipt of the offer outside this jurisdiction; or
- (iv) an offer that did not need disclosure to investors because of section 708 of the Act; or
- (v) an offer that did not require the giving of a Product Disclosure Statement because of section 1012D of the Act; or
- (vi) an offer made under a disclosure document or Product Disclosure Statement,

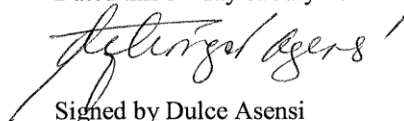
must not exceed 5% of the total number of issued stapled securities in that class of the issuer as at the time of the offer.

#### Interpretation

11. In this instrument:

- (a) a word and phrase used in this instrument has the same meaning as it has in the class order unless the word or phrase is defined in paragraph 8 of this instrument in which case the word or phrase has that meaning;
- (b) **class order** means ASIC Class Order [CO 03/184] as in force on the date of this instrument and as amended from time to time by a disallowable legislative instrument within the meaning of the Legislative Instruments Act 2003;
- (c) **eligible incentive plan offer** means an offer for the issue or transfer of performance rights, made under an arrangement known as the SCA Property Group Executive Incentive Plans (under which the Performance Rights are referred to as Rights), the terms of which are in substantially the same form as those provided to ASIC on or about 30 May 2014; and
- (d) **issuer** means Shopping Centres Australasia Property Group RE Limited ACN 158 809 851 in its capacity as the responsible entity of Shopping Centres Australasia Property Retail Trust ARSN 160 612 788 and Shopping Centres Australasia Property Management Trust ARSN 160 612 626.

Dated this 3<sup>rd</sup> day of July 2014.



Signed by Dulce Asensi  
as a delegate of the Australian Securities and Investments Commission



CORPORATIONS ACT 2001  
SECTION 601AH(1)& 601AH(2)

Notice is hereby given that the registration of  
the companies mentioned below have been reinstated.

Dated this second day of July 2014

Rosanne Bell  
DELEGATE OF  
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

<b>Name of Company</b>	<b>ARBN</b>
AAH INTERNATIONAL PTY LTD	129 448 013
ABACORP PTY LIMITED	149 647 932
ABC BUILDING SERVICES PTY LTD	117 144 993
ACN 000 044 244 PTY LTD	000 044 244
ACN 140 084 813 PTY LTD	140 084 813
AGA GROUP OF COMPANIES PTY LTD	133 131 174
AJL SAVVY GROUP PTY LTD	142 928 452
ALAW GROUP PTY LTD	131 181 096
ALLIANZ PROPERTY SERVICES PTY LTD	146 431 512
ALTAVISTA RESEARCH PTY LTD	144 966 165
AMLA PTY. LTD.	100 392 434
ANCHUNG PTY LTD	088 484 977
ANDON ENTERPRISES PTY LTD	090 578 126
ASIAN VILLAGE PTY LTD	153 292 623
ATLAS TRADE PTY LTD	154 442 958
AULOTUS PTY LTD	142 232 586
AUSTAR CORPORATE PTY LTD	145 428 904
AUSTRALIAN FRESH FOOD SOLUTIONS PTY LTD	143 590 498
AUSWIZE INTERNATIONAL PTY LTD	117 829 320
AUTO GROUP PARRAMATTA PTY LTD	090 730 126
A2Z LOGISTICS PTY LTD	155 079 075
B.A PENFOLD CARPENTRY PTY LTD	151 180 666
B. P. COMMUNICATIONS PTY. LTD.	007 445 589
BALAJI GROUP PTY LTD	141 583 691
BELMORGAN PROPERTIES PTY LIMITED	107 666 820

<b>Name of Company</b>	<b>ARBN</b>
BES (INTERNATIONAL) PTY LTD	141 382 096
BLU-RAY SERVICES PTY LTD	140 801 494
BONNIE WA PTY LTD	108 554 972
BORDERS AUSTRALIA PTY LTD	082 194 287
BRADFORD INSULATION (W.A.) LIMITED	070 397 107
BRAVO PROPERTY GROUP PTY. LTD.	149 875 632
BUILDING MATERIALS INTERNATIONAL PTY. LTD.	114 631 284
BUSINESS REVAMP PTY. LTD.	115 873 357
BYZANTIUM GROUP PTY LTD	087 694 853
C.A.R.E. EMPLOYMENT & TRAINING SERVICES PTY LTD	106 294 080
C & L PHILLIPS PTY LIMITED	150 402 903
CAPE SEAFARMS PTY LTD	072 279 933
CASEBROOK PTY LTD	007 444 626
CEDARBY PTY LTD	121 789 553
CHILL HOLDINGS PTY LTD	114 991 132
CHINCHILLA (AUSTRALIA) ENTERPRISES PTY LTD	154 897 757
COASTLINK DEVELOPMENTS PTY LTD	050 812 327
COAST TO COUNTRY CONCRETING PTY. LTD.	116 743 625
COTTAGE REAL ESTATE ACT PTY LIMITED	096 035 095
CREATIVE PATH GROUP PTY LTD	148 103 388
DAN LAWSON VISION PTY LTD	143 431 976
DC WELDING AND FABRICATION PTY LTD	133 637 895
DIRECT CABLE PTY LTD	121 020 791
DJ PARKER PLUMBING PTY LTD	132 563 672
D KINSELLA PTY LTD	145 548 367
DOINI CONSTRUCTIONS PTY LTD	134 638 252
DOM PTY LTD	009 358 507
DOOLAN'S CANOWINDRA PARK PTY. LIMITED	070 967 654
DREWDEV PTY LTD	150 518 862
DSM CABLING PTY LTD	134 045 864
DUROBLE PTY. LTD.	087 823 809
EASTLINK BUILDING GROUP PTY. LTD.	146 128 752
ECOSSE MEDICAL PTY LTD	147 894 291
ELECTRONIC PAYMENT SOLUTIONS PTY LTD	139 362 253
ELLEN LAKE PTY. LTD.	010 830 690
EMMCAL ELECTRICAL CONTRACTORS PTY LTD	092 748 895
EMRIC ENTERPRISES PTY LTD	150 880 149
ENERGISED TRAINING SOLUTIONS PTY LTD	154 229 606

<b>Name of Company</b>	<b>ARBN</b>
ENVISION CAPITAL GROUP PTY LTD	126 290 533
ERINCO PTY LTD	122 824 702
ETHEL DANE PTY LTD	147 956 438
EXTREME BODY WORKS VIC PTY LTD	152 448 229
EZEGO 99 PTY LTD	145 442 324
FINANCE HQ PTY. LTD.	147 684 722
FOLETCO LIMITED	004 094 106
FRD ENTERPRISES PTY LTD	147 789 437
FRESCO'S FRUIT PTY. LTD.	091 165 392
FTV IMPORTS & EXPORTS PTY LTD	106 165 477
FUTURISTIC IMPROVEMENTS PTY. LTD.	007 229 610
GAULTY & CO PTY. LTD.	141 660 004
GIBRAN HOLDINGS PTY. LTD.	076 383 689
GOLDEN EDGE HOMES (N.S.W.) PTY LTD	000 852 871
GOLDJET INVESTMENTS PTY LTD	084 318 892
GRAHAMS GOODS PTY LTD	143 806 357
GRH INVESTMENTS (MELBOURNE) PTY. LTD.	111 217 675
GRUMPY'S GREEN PTY LTD	154 269 824
HAWKESTONE INVESTMENTS PTY. LIMITED	004 421 676
HAWLEY SELF MANAGED SUPER INVESTMENTS PTY LTD	153 822 103
HAWLEY SELF MANAGED SUPER PTY LTD	151 009 095
HEAVY EARTHMOVING REPAIRS PTY LTD	131 530 286
HONE CONSTRUCTIONS PTY. LIMITED	090 964 480
HPFLSK PTY LTD	152 282 221
HRAICHIE PTY LTD	153 592 977
ICAD SERVICES PTY LTD	128 845 465
ICA PROPERTY DEVELOPMENT FUND NO.2 PTY LIMITED	099 021 628
IMEX INDUSTRIES PTY LTD	104 606 402
IMPRESSIVE SOLUTION PTY LTD	124 552 932
INHAUS PTY. LIMITED	002 834 657
INNER PERSPECTIVE PTY LTD	132 131 429
INNOVATIF DEVELOPMENTS PTY LTD	102 727 391
INTECH ENTERPRISES PTY LTD	129 221 870
INTELLIGENTFX PTY. LTD.	139 407 373
INTERNATIONAL BRANDS AUSTRALASIA PTY LTD	147 980 005
INTERNATIONAL COMPANY SERVICES PTY LTD	149 431 916
IRONWOOD (NT) PTY LTD	009 616 639
J & B (GLADSTONE) PTY. LTD.	098 435 726

<b>Name of Company</b>	<b>ARBN</b>
J & LEE PROPERTY INVESTMENT GROUP PTY. LTD.	130 546 342
JACINTA INVESTMENTS PTY LTD	106 912 252
JAKOVIC CONSTRUCTION PTY LTD	067 298 757
JESIDOL PTY LTD	079 600 436
JIMALONG PTY LTD	133 878 065
JNS DIGITAL SOLUTIONS PTY. LTD.	123 656 324
JOHNSON INSPECTION MANAGEMENT PTY LTD	155 190 604
JOINWELL FINANCE (AUSTRALIA) PTY LTD	119 411 415
JRB PROTECTION PTY LTD	113 443 397
JT REALTY ADVISORY PTY LIMITED	153 247 691
KAGEY INVESTMENTS PTY LTD	076 641 917
K A HAULAGE PTY. LTD.	130 002 498
KAJALU PTY. LTD.	080 769 240
KALAGROVE PTY. LTD.	071 116 482
K AND D AUTO REPAIR PTY LIMITED	083 718 325
KEKPEK PTY LTD	116 470 467
KELLY HART HAIR PTY LTD	152 185 156
KEMBER-IMRIE ENTERPRISES PTY LTD	099 664 310
KORTRUS PTY LTD	102 597 624
LABRADOR MOTOR WRECKERS PTY LTD	050 404 692
LA GARDIA PTY. LTD.	145 497 141
LAWS HOLDINGS INVESTMENTS PTY LTD	105 207 716
LEASE ME PTY LTD	124 496 359
LEVERAGE PROPERTY PTY. LTD.	138 452 605
LIEV DEVELOPMENTS PTY. LTD.	122 989 011
LIONGATE ICP PTY LIMITED	151 294 610
LLOYD JOHNSON & ASSOCIATES PTY. LTD.	010 845 780
LUGGS CARPENTRY PTY LTD	081 052 959
MANDARIKI PTY LTD	118 228 532
MAZU ALLIANCE LIMITED	077 226 183
MEEKIN WARD & MATTHIAS PTY. LTD.	009 659 456
MERRILL DESIGN AUSTRALIA PTY LTD	111 487 864
MIHADAKA PTY LTD	133 959 592
MINTMORE PTY. LIMITED	061 106 554
MIRRIMAGE PTY LTD	144 914 509
MN SUCCESS PTY LTD	130 019 359
MOMTAZ TRADER PTY LTD	145 417 376
MONNIG PTY LTD	095 864 270



<b>Name of Company</b>	<b>ARBN</b>
MOSMAN MEDIA PTY LTD	145 472 500
MOUNT VIEW MEDICAL CENTRE PTY LTD	147 869 618
MPE ENTERPRISES PTY LTD	153 865 555
MR EXTREME CLEAN PTY LTD	142 086 388
MT VICTORIA PTY LTD	108 984 854
NAVIS PTY LTD	140 122 014
NEAL MEHARG NOMINEES PTY LIMITED	086 553 577
NEWHAVEN ASSETS PTY. LTD.	145 509 851
NEWINGTON ENTERPRISES PTY LTD	077 884 014
NEWLAND EQUITY PTY LIMITED	109 185 579
NORANI PTY. LTD.	062 548 805
NORTHERN RURAL MANAGEMENT PTY. LIMITED	058 483 631
NORTH QUEENSLAND LAND DEVELOPMENT PTY LTD	125 265 358
NSSA WESTERN STAR HOMES PTY LTD	153 786 335
O'ROURKE RADIOLOGY SERVICES PTY LTD	142 501 531
O"CONNOR MANUFACTURING PTY. LTD.	008 008 580
OAKDALE FINANCE PTY. LTD.	091 297 924
O D G PROPERTIES (N S W) PTY LTD	000 006 897
ONE SOLUTION FORMWORK PTY LTD	138 383 507
ONE 3RD HOSPITALITY GROUP PTY LTD	153 361 767
P. FEUERHERM PTY LTD	143 482 384
P. Z. INSTALLATION PTY LTD	075 728 291
P & C ASBESTOS REMOVAL & DEMOLITION PTY. LTD.	132 605 335
PALMA CORPORATION PTY. LTD.	058 753 245
PANDA TRANSPORT CO PTY LTD	112 436 905
PAPAS & SON PTY LTD	083 174 994
PARADISE POINT ONE HOUR PHOTOS PTY LTD	070 108 208
PAULDING INVESTMENTS PTY LTD	092 078 067
PCS HOLDINGS PTY LTD	088 139 771
PEARL BEACH PROPERTY ADMINISTRATION PTY LTD	147 951 871
PEARLBUTTON PTY LTD	081 690 146
PETCH ENTERPRISES PTY LTD	116 022 578
PGM WIND DOWN PTY LTD	072 983 272
PHO ANN PTY LTD	149 572 252
PINO FAMILY PTY LTD	138 353 125
PINO TEDESCO PTY LTD	137 915 247
P JENKINS & D JOHNSON PTY LTD	151 840 505
PMS VENTURES PTY LTD	142 549 013

<b>Name of Company</b>	<b>ARBN</b>
POTTER DESIGN AND CONSTRUCT PTY LIMITED	147 843 829
PROFESSIONAL PROPERTY PERSONNEL PTY LTD	145 346 489
PRO LIVE SOUND PTY LTD	128 297 272
PROTEK POOLS PTY LIMITED	120 532 523
R.C.G. PTY LTD	008 781 539
R & J FOOD SERVICES PTY LTD	146 828 024
REAL WORLD MUSIC PTY LIMITED	105 295 225
REBTORIA PTY LTD	088 689 767
RED CLIFF MANAGEMENT PTY LTD	124 019 085
ROBINIA BUILDING PTY LTD	085 044 417
ROMAD FINANCIAL SERVICES PTY LTD	071 434 494
RON OF ARGYLL PTY LIMITED	122 044 759
ROSA GAME PTY LIMITED	003 345 319
ROYAL HOME CARE PTY LTD	131 994 888
S & H MAINTENANCE GROUP PTY LTD	075 633 653
S & J TEAM PTY LTD	141 679 754
S & S HICKEY PTY. LTD.	112 602 892
SAFEAS AUSTRALIAN PTY LTD	103 357 900
SALI HOLDINGS PTY LTD	155 422 736
SANCTUARY HOMES PTY LTD	111 509 605
SANDRADA PTY LTD	009 003 472
SAUNDERS REALTY PTY LTD	138 528 793
SCARBORO FINANCE CO. PTY. LTD.	004 303 735
SEANRY PTY LTD	141 354 681
SEVEN COLOUR PTY. LTD.	102 161 884
SHERWOOD HOLDINGS PTY LTD	122 579 588
SLHC LAWYERS PTY. LTD.	130 177 865
SNOWY VALLEY MILK PTY LTD	148 163 142
SOIN FAMILY PTY LTD	130 725 192
SOLUTIONS2754 PTY LTD	159 347 743
SOTOP TRANSPORT PTY LTD	151 493 248
SOUTH PACIFIC HOMES PTY LTD	107 430 704
SPARKS & PARTNERS PTY LTD	152 973 567
STANNAGE INVESTMENTS PTY LTD	092 062 434
STEAM TEAMWEAR PTY LTD	146 760 563
STIRLING PROPERTY GROUP PTY. LTD.	105 372 852
STOWPORT TRANSPORT PTY. LTD.	079 762 968
STROOM PTY LTD	154 614 776

<b>Name of Company</b>	<b>ARBN</b>
SUCCESS 618 PTY LTD	106 707 528
SW1 KITCHEN AND GARDEN BAR PTY LTD	154 714 208
SYDNEY KITCHENS & JOINERY PTY LTD	145 822 831
SYDNEY WIDE STEEL PTY LTD	126 811 890
T.J.N.C PTY LTD	155 023 377
THE CHOCOLATE BARN PTY. LTD.	135 432 165
THE FRANCHISE COMPANY PTY. LTD.	152 486 701
THE PIZZA GALLERY PTY LTD	122 350 092
THE REDHILL FOUNDATION LTD	142 519 917
THREE LITTLE MONKEYS HOLDINGS PTY LTD	154 098 398
TIMBARA PTY LTD	118 003 017
TRANDREW PTY LIMITED	069 619 530
TRAVETTE HOLDINGS PTY. LTD.	056 468 574
TRU TILING PTY LIMITED	112 464 098
TURNER PEARLING SERVICES PTY LTD	009 279 029
TURTLE LANE PTY LIMITED	124 814 848
VEGAS.SERVICES PTY LTD	101 671 738
VEGRES PTY LTD	153 217 120
VETERANS OF USSR WELFARE SOCIETY PTY LTD	104 287 336
WARKAT PTY LTD	069 252 231
WATERCORP INVESTMENTS PTY LTD	132 788 544
WEST BEACH CLEARANCE PTY. LTD.	126 351 586
WESTERN DIGITAL PTY LTD	099 469 486
WINNINGTON MARTIN PTY LTD	128 946 949
Y & LEE PTY LTD	138 981 263
YESUNITY PTY LTD	147 125 755
YOUNGHEART ENTERTAINMENT PTY LTD	102 231 078

CORPORATIONS ACT 2001

Section 601CL(5)

ASIC has struck the foreign companies listed below off the register.

Dated this fourth day of July 2014

Rosanne Bell

DELEGATE OF

THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

**Name of Company**

**ARBN**

CAPITOL INDUSTRIES LIMITED

151 049 508

METSO AUTOMATION INC.

155 339 272

RIVER ROAD ASSET MANAGEMENT, LLC

132 780 404

SOLIUM CAPITAL INC.

161 188 325

CORPORATIONS ACT 2001

Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this fourth day of July 2014

Rosanne Bell

DELEGATE OF

THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

**Name of Company**

**ARBN**

BRAHMAN PURE ALPHA PTE.LTD.

153 739 621

DOOSAN HEAVY INDUSTRIES & CONSTRUCTION CO., LTD.

148 971 822

EA TECHNOLOGY 1 LIMITED

160 140 223

HONG KONG AIRLINES LIMITED

158 632 878

RICKEL & TERNGA LIMITED

143 159 255

Corporations Act 2001  
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

**ADVISER IT PTY LTD** ACN 106 749 231 will change to a public company limited by shares. The new name will be ADVISER IT LTD  
ACN 106 749 231.

**ASIA PACIFIC DIGITAL AUSTRALIA LIMITED** ACN 123 287 025 will change to a proprietary company limited by shares. The new name will be ASIA PACIFIC DIGITAL AUSTRALIA PTY LTD  
ACN 123 287 025.

**BCD RESOURCES (OPERATIONS) NL**  
ACN 000 679 023 will change to a proprietary company limited by shares. The new name will be BCD RESOURCES (OPERATIONS) PTY LTD  
ACN 000 679 023.

**BUSINESSSALES.COM.AU LIMITED**  
ACN 132 620 038 will change to a proprietary company limited by shares. The new name will be BUSINESSSALES.COM.AU PTY LTD  
ACN 132 620 038.

**HALCYON SECURITIES LIMITED**  
ACN 098 401 159 will change to a proprietary company limited by shares. The new name will be HALCYON SECURITIES PTY LIMITED  
ACN 098 401 159.

**LITTLE REAL ESTATE LIMITED**  
ACN 111 764 437 will change to a proprietary company limited by shares. The new name will be LITTLE REAL ESTATE PTY LTD  
ACN 111 764 437.

**MERIDIAN OIL N.L.** ACN 008 678 091 will change to a public company limited by shares. The new name will be MERIDIAN OIL LIMITED  
ACN 008 678 091.

**SAXONY MINES LIMITED** ACN 152 427 846 will change to a proprietary company limited by shares.  
The new name will be SAXONY MINES PTY LTD  
ACN 152 427 846.

**SYMES WARNE & ASSOCIATES LIMITED**  
ACN 002 495 098 will change to a proprietary company limited by shares. The new name will be SYMES WARNE & ASSOCIATES PTY LTD  
ACN 002 495 098.

**WESTPAC CUSTODIAN NOMINEES LIMITED**  
ACN 002 861 565 will change to a proprietary company limited by shares. The new name will be WESTPAC CUSTODIAN NOMINEES PTY LIMITED  
ACN 002 861 565.