



**ASIC**

Australian Securities &  
Investments Commission

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# ASIC Gazette

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#### RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review* (RG57) and Information Sheet *ASIC decisions – your rights* (INFO 9) to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at [www.asic.gov.au](http://www.asic.gov.au) or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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14-0288

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 655A(1)(b) – Declaration**

**Enabling Legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this declaration under paragraph 655A(1)(b) of the *Corporations Act 2001* (Cth) (*the Act*).

**Title**

2. This declaration is ASIC Instrument 14-0288.

**Commencement**

3. This instrument commences on 9 April 2014.

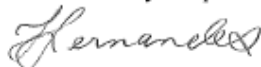
**Declaration**

4. Chapter 6 of the Act applies to Genesis Resources Limited ACN 114 787 469 (*Genesis*) as if subsection 633(1) of the Act was modified or varied by:
  - (a) in Item 11, under "Timing and relevant provisions", replacing "15 days" with "29 days"; and
  - (b) in Item 12, under "Timing and relevant provisions", replacing "15 days" with "29 days".

**Where this instrument applies**

5. This declaration applies to the off-market takeover bid made by Blumont Group Ltd (incorporated in the Republic of Singapore, company registration number 199302554G) for all of the ordinary shares in Genesis where:
  - (a) a bidder's statement and a first supplementary statement were lodged with ASIC on 21 March 2014 and 4 April 2014 respectively;
  - (b) Genesis makes an announcement to the Australian Securities Exchange, by 10:00am on the next trading day following the date of this declaration:
    - (i) explaining the effect of this declaration; and
    - (ii) recommending that shareholders should take no action until they have considered the target's statement.

Dated this 9<sup>th</sup> day of April 2014



Signed by Liselle Fernandes  
as a delegate of the Australian Securities and Investments Commission

14-0328

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 601QA(1) – Declaration and Exemption**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsection 601QA(1) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 14-0328.

**Commencement**

3. This instrument commences on the day it is signed.

**Exemption**

4. Aveo Funds Management Limited ACN 089 800 082 (*AFM*) in its capacity as the responsible entity for Aveo Group Trust ARSN 099 648 754 (*Trust*) does not have to comply with paragraph 601FC(1)(d) of the Act in relation to allowing a member of the Trust to withdraw in accordance with section 601KEA of the Act as notionally inserted by this instrument.

**Declaration**

5. Chapter 5C of the Act applies to AFM in its capacity as responsible entity of the Trust as if Chapter 5C were varied or modified as follows:
  - (a) after subsection 601KA(3) insert:

"(3AA) Subsection (3) does not apply to a withdrawal in accordance with section 601KEA."; and
  - (b) after section 601KE insert:

**"601KEA Withdrawal for no consideration**  
A right of withdrawal for no consideration may be exercised in accordance with the procedures specified in the scheme's constitution."

**Where the relief applies**

5. Paragraphs 4 and 5 apply where:
  - (a) AFM, at the request of Aveo Group Limited ACN 010 729 950 (*Company*), redeems all interests held by the Company in the Trust that are not component parts of Aveo Stapled Securities (*relevant*

14-0328

*withdrawal*) without offering a right of withdrawal to any other holder of interests in the Trust;

- (b) AFM has the power to make the relevant withdrawal under the Trust's constitution;
- (c) no consideration is paid or incurred by AFM as responsible entity of the Trust to the Company in consideration for the relevant withdrawal;
- (d) the Company does not have any liability to AFM in its capacity as the responsible entity of the Trust as a result of the Company holding the interests the subject of the relevant withdrawal and the holding of those interests would not give rise to any such liability in the future if the interests were not the subject of the relevant withdrawal; and
- (e) all of the shares in the Company are component parts of Aveo Stapled Securities.

**Interpretation**

*Aveo Stapled Securities* means a fully paid interest in the Trust and a fully paid ordinary share in the Company, which must, on the terms on which they are traded, only be transferred together.

Dated this 17<sup>th</sup> day of April 2014.



Signed by Tony Tran  
as a delegate of the Australian Securities and Investments Commission

14-0333

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraphs 655A(1)(b) and 673(1)(b) – Declarations**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this declaration under paragraphs 655A(1)(b) and 673(1)(b) of the *Corporations Act 2001 (Act)*.

**Title**

2. This instrument is ASIC Instrument 14-0333.

**Commencement**

3. This instrument commences on 22 April 2014.

**Declarations**

4. Chapter 6 and Chapter 6C of the Act applies to Genworth Mortgage Insurance Australia Limited ACN 154 890 730 (*Company*) as if:

- (a) section 609 of the Act were modified or varied by adding after subsection 13, as notionally inserted by ASIC Class Order [CO 13/520] (*CO 13/520*):

“(13A) A person does not have a relevant interest in its own securities merely because under an escrow agreement entered into by the person, the person applies restrictions on the disposal of the securities by the holder.”; and

- (b) Part 6C.1 of the Act were further modified or varied inserting the following section after 671B(7), as notionally inserted by [CO 13/520]:

**“671B(7AA) Escrow Agreements**

For the purposes of section 671B and the definition of *substantial holding* in section 9, a person has a relevant interest in securities if the person would have a relevant interest in the securities but for section 609(13A).”

**Where this instrument applies**

5. This instrument applies to an acquisition of a relevant interest in securities of the Company (*Escrowed Securities*) arising as a result of the Company entering into one or more voluntary escrow arrangements (each a *Voluntary Escrow*

14-0333

*Agreement*) between the Company and any one or more holders of Escrowed Securities (*Security Holders*) where each Voluntary Escrow Agreement:

- (a) is entered into in connection with the proposed listing of the Company's securities on the official list of the financial market operated by Australian Securities Exchange Limited ACN 008 624 691 (*ASX*);
- (b) terminates no later than 12 months after the Company is admitted to the official list of ASX;
- (c) restricts disposal of, but not the exercise of voting rights attaching to, the Escrowed Securities;
- (d) allows the Security Holders of the relevant Escrowed Securities to accept into a takeover bid where holders of at least half of the bid class securities which are not subject to a Voluntary Escrow Agreement, and to which the offer under which the bid relates, have accepted the bid;
- (e) requires the Escrowed Securities to be returned to escrow if the above bid does not become unconditional;
- (f) allows the Escrowed Securities to be transferred or cancelled as part of a compromise or scheme of arrangement conducted under Part 5.1 of the Act approved at a meeting held as a result of an order under subsections 411(1) or 411(1A) of the Act;
- (g) allows the Security Holders to deal in any of its Escrowed Securities acquired as a result of market stabilisation purchases, in accordance with any market stabilisation arrangements in connection with the proposed listing of the Company's securities on the official list of the financial market operated by ASX, during the 30-day period following the Company's initial public offering; and
- (h) is substantially the same as that provided to ASIC on 28 January 2014.

Dated this 22<sup>nd</sup> day of April 2014



Signed by Nathania Nero  
as a delegate of the Australian Securities and Investments Commission

14-0334

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 601QA(1)(a) – Exemption**

**Enabling legislation**

1. The Australian Securities and Investments Commission makes this instrument under paragraph 601QA(1)(a) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument [14-0334].

**Commencement**

3. This instrument commences on the day it is signed.

**Exemption**

4. Shopping Centres Australasia Property Group RE Limited ACN 158 809 851 (*SCA RE*) in its capacity as the responsible entity of Shopping Centres Australasia Property Retail Trust ARSN 160 612 788 (*Retail Trust*) and Shopping Centres Australasia Property Management Trust ARSN 160 612 626 (*Management Trust*) does not have to comply with paragraph 601FC(1)(d) of the Act to the extent that it requires the responsible entity of a registered scheme to treat members who hold interests of the same class equally with respect to the Sale Facility.

**Interpretation:**

5. In this instrument:

*Sale Facility* means the facility through which participating holders holding the Stapled Securities with a value of \$1,250 or less at the time the facility is made available can sell their Stapled Securities brokerage free.

*Stapled Security* means an interest in the Retail Trust and an interest in the Management Trust where:

- (a) under the terms on which each of the products are to be traded, they must be transferred together; and
- (b) there are no financial products in the same class as those financial products which may be transferred separately.

Dated this 22<sup>nd</sup> day of April 2014



Signed by Junghee Ryu  
as a delegate of the Australian Securities and Investments Commission



14 - 0337

**ASIC**

Australian Securities & Investments Commission

**Australian Securities & Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

**TO:** CMC Markets Pty Ltd  
**ACN 100 058 106 ("the Licensee")**  
'GOVERNOR PHILLIP TOWER'  
LEVEL 44  
1 FARRER PLACE  
SYDNEY NSW 2000

Pursuant to section 915B of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Licence Number 279437 held by the Licensee with effect from the date on which this notice is given to the Licensee.

Dated this 23 April 2014

Signed .....

Joyce Krashow, a delegate of the Australian Securities and Investments Commission





14-0338

**ASIC**

Australian Securities &amp; Investments Commission

**Australian Securities & Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

**TO:** Rothsay Accounting Services Pty. Limited  
ACN: 003 647 701 ("The Licensee")  
Level 1 12-14 O'Connell Street  
Sydney NSW 2000

Pursuant to section 915B of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Licence Number 385646 held by the Licensee with effect from the date on which this notice is given to the Licensee.

Dated this 23rd day of April 2014

Signed .....

A handwritten signature in black ink, appearing to be 'Joyce Krashow', written over a dotted line.

Joyce Krashow, a delegate of the Australian Securities and Investments Commission



14-0340

ASIC

Australian Securities & Investments Commission

**Australian Securities & Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

**TO:** Abbott Financial Services (WA) Pty Ltd  
ACN: 156 383 765 ("The Licensee")  
3 Alvan Street  
Mount Lawley WA 6050

Pursuant to section 915B of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Licence Number 420446 held by the Licensee with effect from the date on which this notice is given to the Licensee.

Dated this 23rd day of April 2014

Signed .....

Joyce Krashow, a delegate of the Australian Securities and Investments Commission

14-0346

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraphs 911A(2)(l) and 951B(1)(a) - Exemptions**

**Enabling Legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 911A(2)(l) and 951B(1)(a) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 14-0346.

**Commencement**

3. This instrument commences upon gazettal.

**Exemptions**

4. Watershed Premium Wines Ltd ACN 089 812 591 (the *Company*) in its capacity as responsible entity of the Schemes does not have to comply with the requirement to hold an Australian financial services licence under subsection 911A(1) of the Act for:
  - (a) the provision or giving of Financial Product Advice; or
  - (b) dealing in a financial product.
5. The Company in its capacity as responsible entity of the Schemes does not have to comply with section 941A of the Act.

**Where the exemptions apply**

6. The exemption in paragraph 4(a) of this instrument applies where the financial product advice is:
  - (a) General Advice; and
  - (b) contained in the Explanatory Memoranda to be sent to the members of the Schemes on or about 29 May 2014.
7. The exemption in paragraph 4(b) of this instrument applies where the Company is arranging the issue of shares in WLL.

**Interpretation**

In this instrument:

*Explanatory Memoranda* means the explanatory memoranda and notices of meeting sent to members of the Schemes in relation to the Proposal.

14-0346

*Financial Product Advice* has the meaning given by subsection 766B of the Act.

*General Advice* has the meaning given by subsection 766B(4) of the Act.

*Proposal* means in respect of the Schemes, the resolutions to be voted on at a meeting of members of those Schemes to amend the constitutions of those Schemes under subsection 601GC(1) of the Act to allow the acquisition of all the interests in those Schemes by WLL in exchange for ordinary shares in WLL.

*Schemes* means:

- (a) Margaret River Watershed Premium Wine Project ARSN 091 534 824;
- (b) Margaret River Watershed Premium Wine Project 2006 ARSN 118 885 395;
- (c) Margaret River Watershed Premium Wine Project 2007 ARSN 124 388 272; and
- (d) Margaret River Watershed Premium Wine Project 2008 ARSN 129 028 039.

*WLL* means Watershed Land Ltd ACN 088 734 294.

Dated this 23<sup>rd</sup> day of April 2014



Signed by Sean Polivnick  
as a delegate of the Australian Securities and Investments Commission



14-0347

**ASIC**

Australian Securities & Investments Commission

**Australian Securities & Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

**TO:** Kevin Minehan Pty. Ltd.  
ACN: 005 318 927 ("The Licensee")  
C/- Peter Phillips  
Unit 11 28 Gloucester Avenue  
Berwick VIC 3806

Pursuant to section 915B of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Licence Number 246573 held by the Licensee with effect from the date on which this notice is given to the Licensee.

Dated this 23rd day of April 2014

Signed .....

Joyce Krashow, a delegate of the Australian Securities and Investments Commission

CORPORATIONS ACT 2001

Section 601CL(5)

ASIC has struck the foreign companies listed below off the register.

Dated this twenty-eighth day of April 2014

Rosanne Bell

DELEGATE OF

THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

**Name of Company**

**ARBN**

ELBIT SYSTEMS INTERNATIONAL MARKETING (2003) LTD.

132 832 010

MARLOU MISTRAL INC.

057 867 384

RIO TINTO JERSEY HOLDINGS 2010 LIMITED

148 135 844

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CORPORATIONS ACT 2001

Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twenty-eighth day of April 2014

Rosanne Bell

DELEGATE OF

THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

**Name of Company**

**ARBN**

ATIVO CAPITAL MANAGEMENT LLC

160 033 652

GLOBAL HUNTER SECURITIES, LLC

141 099 167

KISHU RAILWAY CO., LTD.

010 852 909

MAZUMA CAPITAL UK LIMITED

130 524 524

PARSONS TECHNICAL SERVICES INTERNATIONAL INC.

164 074 426

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CORPORATIONS ACT 2001

Subsection 601PB(2)

ASIC may deregister the managed investment schemes listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twenty-eighth day of April 2014

Rosanne Bell

DELEGATE OF

THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

**Name of Scheme**

IOOF MULTIMIX TOTAL GROWTH TRUST

**ARSN**

130 093 088

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Corporations Act 2001  
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

**BROOKFIELD PRIME MANAGEMENT LIMITED**

ACN 140 471 834 will change to a proprietary company limited by shares. The new name will be BROOKFIELD PRIME MANAGEMENT PTY LTD ACN 140 471 834.

**S A SANDEMAN PROPRIETARY**

ACN 097 978 491 will change to a proprietary company limited by shares. The new name will be S A SANDEMAN PTY LTD ACN 097 978 491.

**3P LEARNING PTY. LTD.** ACN 103 827 836 will change to a public company limited by shares. The new name will be 3P LEARNING LIMITED ACN 103 827 836.

**OCEANS 18 INVESTMENTS LTD**

ACN 147 733 597 will change to a proprietary company limited by shares. The new name will be OCEANS 18 INVESTMENTS PTY LTD ACN 147 733 597.

**SEQUOIA FINANCIAL GROUP PTY LTD**

ACN 166 002 357 will change to a public company limited by shares. The new name will be SEQUOIA FINANCIAL GROUP LIMITED ACN 166 002 357.