



ASIC

Australian Securities &
Investments Commission

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RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review* (RG57) and Information Sheet *ASIC decisions – your rights* (INFO 9) to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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13-0298

**Australian Securities and Investments Commission
Corporations Act 2001 — Subsection 601QA(1) — Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsection 601QA(1) of the *Corporations Act 2001*(the *Act*).

Title

2. This instrument is ASIC Instrument 13-0298.

Commencement

3. This instrument commences on 22 March 2013.

Declaration

4. Chapter 5C of the Act applies to Plan B Trustees Limited ACN 054 737 156 (the *responsible entity*) in its capacity as responsible entity of the Lifetime Asset Management Programme ARSN 088 043 234 (the *scheme*) as if section 601FL were modified or varied as follows:

- (a) in subsection (1) omit all the text after the word “it”, substitute:

“must either:

- (a) call a members’ meeting to explain its reason for wanting to retire and to enable the members to vote on a resolution (which must be an extraordinary resolution if the scheme is not listed) to choose a company to be the new responsible entity; or
- (b) propose a related body corporate of the responsible entity to be the new responsible entity in accordance with subsection (1A).”;

- (b) after subsection (1) insert:

“(1A) The requirements for proposing a related body corporate (the *proposed responsible entity*) to be the new responsible entity are as follows:

- (a) The responsible entity must give members of the scheme notice of a proposal to choose the proposed responsible entity, to be the scheme’s new responsible entity.
 - (b) The notice to members must:
-

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- (i) set out the following information:
 - (A) the responsible entity's reasons for wanting to retire;
 - (B) such information as can reasonably be expected to be material to a member in forming a view as to the choice of the proposed responsible entity;
 - (C) information about the proposed timing of the retirement of the responsible entity and the manner in which that retirement will occur;
 - (D) how members can access on the responsible entity's website current information about the proposed timing of the retirement of the responsible entity and the manner in which that retirement will occur; and
- (ii) state prominently that if:
 - (A) members who together hold at least 5% of the total value of the interests held by members; or
 - (B) 100 members,

who would be entitled to vote if the proposal were put as a proposed resolution to a meeting of members under paragraph (1)(a), ask for a vote on the choice of the proposed responsible entity by giving written notice received by the responsible entity within 21 days from the date the notice is sent, the responsible entity will either arrange a postal vote or convene a meeting to vote on a resolution for the choice of the proposed responsible entity; and
- (iii) be accompanied by a form which can be ticked to ask for a vote; and
- (iv) state prominently a reply paid address of the responsible entity to which the form may be sent.

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- (c) The responsible entity must prominently disclose on its website current information about the proposed timing of the retirement of the responsible entity and the manner in which that retirement will occur.
 - (d) If sufficient members ask for a vote in accordance with the notice, the responsible entity must arrange for a postal vote or, if the responsible entity chooses, convene a meeting in accordance with Part 2G.4 to vote on the choice of the proposed responsible entity as soon as possible.
 - (e) If there is a postal vote:
 - (i) a voting paper must be sent to each member stating a reply paid address of the responsible entity to which the voting paper may be sent; and
 - (ii) the responsible entity must notify the members in, or in a document accompanying, the voting paper that:
 - (A) the proposed responsible entity will be chosen as the new responsible entity if at least 50% of the total votes that may be cast by members that would be eligible to vote at a meeting under paragraph (1)(a) are cast in favour of the choice; and
 - (B) only votes received by the responsible entity within 28 days after the sending of the voting paper will be counted.
 - (f) If a meeting is convened the resolution to choose the new responsible entity must be an extraordinary resolution if the scheme is not listed.”;
- (c) after subsection (2) insert:
- “(2A) If a postal vote is arranged under paragraph (1A)(d) and at least 50% of the total votes that may be cast by members that would be eligible to vote at a meeting under paragraph (1)(a) are cast in favour of the proposed responsible entity, that entity is taken for the purposes of subsection (2) to have been chosen by a resolution of members on the last day on which postal votes may be received in order to be counted.

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(2B) If:

- (a) a related body corporate is proposed to be the new responsible entity in accordance with subsection (1A); and
- (b) insufficient members ask for a vote to choose the entity in accordance with the notice referred to in paragraph (1A)(b); and
- (c) the entity has consented in writing to becoming the scheme's responsible entity,

then:

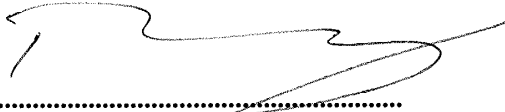
- (d) as soon as practicable and in any event within 2 business days after the end of the period in which a member may ask for such a vote, the current responsible entity must lodge a notice with ASIC asking it to alter the record of the scheme's registration to name the proposed responsible entity as the scheme's responsible entity; and
- (e) the notice must be accompanied by a certificate from the current responsible entity that it reasonably considers that the appointment of the proposed responsible entity would be in the best interests of members with a summary of the responsible entity's reasons as to why this is the case.
- (f) unless ASIC reasonably believes that the appointment of the proposed responsible entity would not be in the best interests of members, ASIC must comply with the notice as soon as practicable after the notice and summary are lodged."

Where this declaration applies

5. This declaration applies where I.O.O.F. Investment Management Limited ACN 006 695 021 has consented in writing to becoming the new responsible entity of the scheme.
6. This declaration ceases to apply on 22 June 2013.

13-0298

Dated this 22nd day of March 2013



.....
Signed by Tony Tran
as a delegate of the Australian Securities and Investments Commission



13-0310

ASIC

Australian Securities & Investments Commission


**Australian Securities & Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Peter G Clarke Consulting Pty Ltd
ACN 001 838 124 ("the Licensee")
Suite 3, 153 Denman Avenue
Caringbah NSW 2229

Pursuant to section 915B of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Licence Number 225881 held by the Licensee with effect from the date on which this notice is given to the Licensee.

Dated this *21st March 2013*

Signed 

Joyce Krashow, a delegate of the Australian Securities and Investments Commission

13-0318

**Australian Securities and Investments Commission
Corporations Act 2001 — Subsection 1020F(1) —
Exemption and Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission makes this instrument under subsection 1020F(1) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument [13/0318].

Commencement

3. This instrument commences on 25 March 2013.

Exemption

4. Commonwealth Bank of Australia ACN 123 123 124 (the *market maker*) does not have to comply with subsection 1020B(2) of the Act in relation to a sale of a CGS depository interest on the financial market operated by ASX Limited where all of the following apply:
 - (a) the market maker:
 - (i) holds an Australian financial services licence that covers making a market in a financial product; and
 - (ii) is entitled to use the clearing and settlement facility operated by Austraclear Limited; and
 - (ii) has entered into a written agreement with ASX Limited in relation to the making of a market for a CGS depository interest, a copy of which has been provided to ASIC;
 - (b) the sale of the CGS depository interest is made in the course of making a market for a CGS depository interest;
 - (c) the making of a market by the market maker in relation to a CGS depository interest is carried out in accordance with the terms of the written agreement;
 - (d) immediately before the sale, the market maker has adequate arrangements in place to ensure that the CGS depository interest will be unconditionally vested in the purchaser by the time for delivery.

13-0318

Declaration

5. Part 7.9 of the Act and Part 7.9 of the *Corporations Regulations 2001* (the **Regulations**) apply in relation to the market maker as if the following provisions of those Parts were modified or varied as follows:
- (a) in the Act:
- (i) omit paragraphs 1020AB(1)(b) and (c), substitute:
- “(b) either:
- (i) both of the following apply:
- (A) before the time of sale, the seller had entered into or gained the benefit of a securities lending arrangement;
- (B) at the time of the sale, the seller intends that the securities lending arrangement will ensure that some or all the section 1020B products can be vested in the buyer; or
- (ii) at the time of the sale, the seller did not have to comply with subsection 1020B(2) because of an instrument made under section 1020F;
- (i) in subparagraph 1020AB(3)(a)(i) (as notionally substituted by ASIC Class Order [CO 10/29]) omit “paragraphs (1)(a), (b) and (c);”, substitute “paragraphs (1)(a) and (b);”;
- (b) in the Regulations:
- (i) in subregulation 7.9.100(1):
- (A) omit “where the seller has a presently exercisable and unconditional right to vest the product in a buyer under a securities lending arrangement”; and
- (B) omit paragraphs (a), (b) and (c);
- (ii) omit subregulations 7.9.100(2) and (3).
6. The declaration in paragraph 5 applies in relation to the sale of a CGS depository interest on the financial market operated by ASX Limited by the market maker in the course of a making a market for a financial product.

13-0318

Interpretation

7. In this instrument:

CGS depository interest has the meaning given by section 761A of the Act.

makes a market for a financial product has the meaning given by section 766D of the Act.

sale has a meaning affected by subsection 1020B(7) of the Act.

Dated this 22nd day of March 2013



Signed by April Mountfort
as a delegate of the Australian Securities and Investments Commission

13-0319

**Australian Securities and Investments Commission
Corporations Act 2001 — Subsection 1020F(1) —
Exemption and Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission makes this instrument under subsection 1020F(1) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument [13/0319].

Commencement

3. This instrument commences on 25 March 2013.

Exemption

4. J.P. Morgan Australia Limited ACN 002 888 011 (the *market maker*) does not have to comply with subsection 1020B(2) of the Act in relation to a sale of a CGS depository interest on the financial market operated by ASX Limited where all of the following apply:
 - (a) the market maker:
 - (i) holds an Australian financial services licence that covers making a market in a financial product; and
 - (ii) is entitled to use the clearing and settlement facility operated by Austraclear Limited; and
 - (ii) has entered into a written agreement with ASX Limited in relation to the making of a market for a CGS depository interest, a copy of which has been provided to ASIC;
 - (b) the sale of the CGS depository interest is made in the course of making a market for a CGS depository interest;
 - (c) the making of a market by the market maker in relation to a CGS depository interest is carried out in accordance with the terms of the written agreement;
 - (d) immediately before the sale, the market maker has adequate arrangements in place to ensure that the CGS depository interest will be unconditionally vested in the purchaser by the time for delivery.

13-0319

Declaration

5. Part 7.9 of the Act and Part 7.9 of the *Corporations Regulations 2001* (the *Regulations*) apply in relation to the market maker as if the following provisions of those Parts were modified or varied as follows:
- (a) in the Act:
- (i) omit paragraphs 1020AB(1)(b) and (c), substitute:
- “(b) either:
- (i) both of the following apply:
- (A) before the time of sale, the seller had entered into or gained the benefit of a securities lending arrangement;
- (B) at the time of the sale, the seller intends that the securities lending arrangement will ensure that some or all the section 1020B products can be vested in the buyer; or
- (ii) at the time of the sale, the seller did not have to comply with subsection 1020B(2) because of an instrument made under section 1020F;
- (i) in subparagraph 1020AB(3)(a)(i) (as notionally substituted by ASIC Class Order [CO 10/29]) omit “paragraphs (1)(a), (b) and (c);”, substitute “paragraphs (1)(a) and (b);”;
- (b) in the Regulations:
- (i) in subregulation 7.9.100(1):
- (A) omit “where the seller has a presently exercisable and unconditional right to vest the product in a buyer under a securities lending arrangement”; and
- (B) omit paragraphs (a), (b) and (c);
- (ii) omit subregulations 7.9.100(2) and (3).
6. The declaration in paragraph 5 applies in relation to the sale of a CGS depository interest on the financial market operated by ASX Limited by the market maker in the course of a making a market for a financial product.

13-0319

Interpretation

7. In this instrument:

CGS depository interest has the meaning given by section 761A of the Act.

makes a market for a financial product has the meaning given by section 766D of the Act.

sale has a meaning affected by subsection 1020B(7) of the Act.

Dated this 22nd day of March 2013



Signed by April Mountfort
as a delegate of the Australian Securities and Investments Commission

13-0320

**Australian Securities and Investments Commission
Corporations Act 2001 — Subsection 1020F(1) —
Exemption and Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission makes this instrument under subsection 1020F(1) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument [13/0320].

Commencement

3. This instrument commences on 25 March 2013.

Exemption

4. UBS AG, Australia Branch ARBN 088 129 613 (the *market maker*) does not have to comply with subsection 1020B(2) of the Act in relation to a sale of a CGS depository interest on the financial market operated by ASX Limited where all of the following apply:
 - (a) the market maker:
 - (i) holds an Australian financial services licence that covers making a market in a financial product; and
 - (ii) is entitled to use the clearing and settlement facility operated by Austraclear Limited; and
 - (ii) has entered into a written agreement with ASX Limited in relation to the making of a market for a CGS depository interest, a copy of which has been provided to ASIC;
 - (b) the sale of the CGS depository interest is made in the course of making a market for a CGS depository interest;
 - (c) the making of a market by the market maker in relation to a CGS depository interest is carried out in accordance with the terms of the written agreement;
 - (d) immediately before the sale, the market maker has adequate arrangements in place to ensure that the CGS depository interest will be unconditionally vested in the purchaser by the time for delivery.

13-0320

Declaration

5. Part 7.9 of the Act and Part 7.9 of the *Corporations Regulations 2001* (the **Regulations**) apply in relation to the market maker as if the following provisions of those Parts were modified or varied as follows:
- (a) in the Act:
- (i) omit paragraphs 1020AB(1)(b) and (c), substitute:
- “(b) either:
- (i) both of the following apply:
- (A) before the time of sale, the seller had entered into or gained the benefit of a securities lending arrangement;
- (B) at the time of the sale, the seller intends that the securities lending arrangement will ensure that some or all the section 1020B products can be vested in the buyer; or
- (ii) at the time of the sale, the seller did not have to comply with subsection 1020B(2) because of an instrument made under section 1020F;
- (i) in subparagraph 1020AB(3)(a)(i) (as notionally substituted by ASIC Class Order [CO 10/29]) omit “paragraphs (1)(a), (b) and (c);”, substitute “paragraphs (1)(a) and (b);”;
- (b) in the Regulations:
- (i) in subregulation 7.9.100(1):
- (A) omit “where the seller has a presently exercisable and unconditional right to vest the product in a buyer under a securities lending arrangement”; and
- (B) omit paragraphs (a), (b) and (c);
- (ii) omit subregulations 7.9.100(2) and (3).
6. The declaration in paragraph 5 applies in relation to the sale of a CGS depository interest on the financial market operated by ASX Limited by the market maker in the course of a making a market for a financial product.

13-0320

Interpretation

7. In this instrument:

CGS depository interest has the meaning given by section 761A of the Act.

makes a market for a financial product has the meaning given by section 766D of the Act.

sale has a meaning affected by subsection 1020B(7) of the Act.

Dated this 22nd day of March 2013



Signed by April Mountfort
as a delegate of the Australian Securities and Investments Commission



13-0337

ASIC

Australian Securities & Investments Commission

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraphs 911A(2)(l), 992B(1)(a), 1020F(1)(a) and
1020F(1)(b) – Exemptions**

Enabling legislation

1. The Australian Securities and Investments Commission (**ASIC**) makes this instrument under paragraphs 911A(2)(l), 992B(1)(a), 1020F(1)(a) and 1020F(1)(b) of the *Corporations Act 2001 (Act)*.

Title

2. This instrument is ASIC Instrument [13-0337].

Commencement

3. This instrument commences on gazettal.

Exemptions

4. The issuer does not have to comply with Part 7.9 of the Act where the issuer:
 - (a) makes an eligible incentive plan offer;
 - (b) offers to arrange for the issue of financial products under an eligible incentive plan offer; or
 - (c) issues a financial product under an eligible incentive plan offer;on the conditions set out in this instrument and for so long as the conditions are met.
5. Where the issuer is exempt from Part 7.9 in relation to an eligible incentive plan offer because of the exemption in paragraph 4, the issuer is also exempt from the requirement to hold an Australian financial services licence for the provision of the following financial services:
 - (a) a financial service consisting of general advice reasonably given in connection with the eligible incentive plan offer (including any general advice given in the offer document) where the issuer ensures that the offer document for the offer includes a statement to the effect that any advice given by the issuer in connection with the offer is general advice only, and that employees should

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- consider obtaining their own financial product advice from an independent person who is licensed by ASIC to give such advice;
- (b) a custodial or depositary service in connection with an eligible incentive plan offer where the issuer performs their duties in good faith and has sufficient resources to perform those duties;
 - (c) dealing in a financial product in the course of providing a custodial or depositary service covered by paragraph (b);
 - (d) issuing a financial product under an eligible incentive plan offer covered by paragraph 4 of this instrument; and
 - (e) dealing in a financial product in connection with an eligible incentive plan offer where any acquisition by purchase or disposal of the product by the issuer occurs either:
 - (i) through a person who holds an Australian financial services licence authorising the holder to deal in financial products; or
 - (ii) outside this jurisdiction and through a person who is licensed or otherwise authorised to deal in financial products in the relevant place.
6. Where the issuer is exempt from Part 7.9 in relation to an eligible incentive plan offer because of the exemption in paragraph 4, the issuer also does not have to comply with section 992A of the Act in relation to an eligible incentive plan offer made in the course of, or because of, unsolicited meetings or telephone calls reasonably held or made in connection with the offer.
7. ASIC exempts a financial product that is the subject of an eligible incentive plan offer from Part 7.9 of the Act where:
- (a) a recommendation is made by a person (other than the issuer) that a person to whom an eligible incentive plan offer has been made, acquire the financial product as a retail client; and
 - (b) the person who made the recommendation is not aware, and ought not reasonably to be aware, that any of the conditions set out in this instrument have not been met;
- but only in relation to the recommendation by the person.

Where this instrument applies

8. This instrument applies where the issuer:
- (a) meets the requirements (including, for the avoidance of doubt, definitions) of the class order, except the definitions of:
 - (i) “eligible offer” in paragraph 9 of the Interpretation; and

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- (ii) “issuer” in paragraph 12 of the Interpretation; and
 - (iii) “offer document” in paragraph 15 of the Interpretation; and
- (b) would meet the requirements of the class order if:
- (i) the definition of an “eligible offer” in paragraph 9 of the Interpretation were to read:

“9. “eligible offer” means an offer for issue or sale of performance rights in relation to fully-paid shares in an issuer in the same class as shares which have been quoted on the financial market operated by the ASX Limited ACN 008 624 691 throughout the 12 month period immediately before the offer without suspension for more than a total of 2 trading days during that period made under an employee share scheme extended only to eligible employees of the issuer;”;
 - (ii) in the Interpretation, the following definition were inserted:

“9A. “employee share scheme”, for a body corporate, means a scheme under which shares (or units of shares or options to acquire unissued shares or performance rights) in the body or a related body corporate may be acquired:

 - (a) by, or for the benefit of:
 - (i) employees of the body, or of the related body corporate; or
 - (ii) directors of the body, or of a related body corporate, who hold a salaried employment or office in the body or in a related body corporate; or
 - (b) by a corporation all of whose members are:
 - (i) employees of the body, or of a related body corporate; or
 - (ii) directors of the body, or of a related body corporate, who hold a salaried employment or office in the body or in a related body corporate;”;
 - (iii) in the definition of an “offer document” in paragraph 15 of the Interpretation, subparagraphs (c) and (d) were to read:

“(c) specifies in respect of the performance rights or the shares to which the performance rights relate:

 - (i) the acquisition price in Australian dollars;

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- (ii) where the acquisition price is specified in a foreign currency, the Australian dollar equivalent of that price at the date of the eligible offer; or
 - (iii) where the acquisition price is to be worked out in the future under a formula, the Australian dollar or Australian dollar equivalent of that price were the formula applied at the date of the eligible offer; and
- (d) includes an undertaking, and an explanation of the way in which, the issuer who has a registered office in this jurisdiction will, during the period in which an eligible employee may acquire the shares, within a reasonable period of the employee requesting, make available to the employee:
- (i) the current market price (or, where that price is denominated in a foreign currency, the Australian dollar equivalent of that price) of the shares to which the performance rights relate; and
 - (ii) where sub-subparagraph (c)(ii) or (iii) applies, the information referred to in that subparagraph as updated to that date.”; and
- (iv) in the Interpretation, the following definition were inserted:
- “16A. “performance right” means a conditional right:
- (a) to be issued a fully-paid ordinary share in the capital of the issuer; or
 - (b) to receive a cash amount equivalent to the value of a fully-paid ordinary share in the capital of the issuer; or
 - (c) either to be issued a fully-paid ordinary share in the capital of the issuer or to receive a cash amount equivalent to the value of such a share;
- where the performance right is offered for no monetary consideration.”

Conditions

9. The issuer can only rely on the exemptions in this instrument if the issuer complies with the following conditions:
- (a) the conditions of the class order (excluding the condition specified in paragraph 3 of the Schedule to the class order) as expressed to apply to them; and
 - (b) the issuer must take reasonable steps to ensure that the number of shares that may be issued in connection with any eligible incentive plan offer, when aggregated with:

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- (i) the number of shares in the same class which would be issued were every other outstanding offer with respect to shares, units of shares, options to acquire unissued shares and performance rights under every other employee share scheme of the issuer to vest or to be accepted or exercised;
- (ii) the number of shares in the same class issued during the previous 5 years pursuant to:
 - (A) an eligible incentive plan offer extended only to eligible employees; and
 - (B) any other employee share scheme extended only to eligible employees of the issuer;

but disregarding any offer made, option or right acquired or share issued by way of or as a result of:

- (iii) an offer to a person situated at the time of receipt of the offer outside this jurisdiction; or
- (iv) an offer that did not need disclosure to investors because of section 708 of the Act; or
- (v) an offer that did not require the giving of a Product Disclosure Statement because of section 1012D of the Act; or
- (vi) an offer made under a disclosure document or Product Disclosure Statement;

must not exceed 5% of the total number of issued shares in that class of the issuer as at the time of the offer.

Interpretation

10. In this instrument:

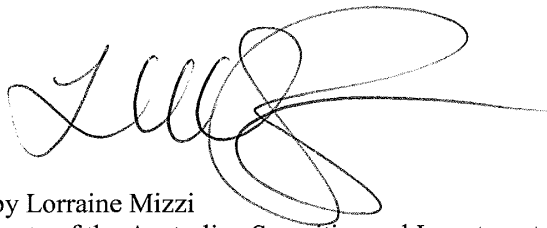
- (a) a word and phrase used in this instrument has the same meaning as it has in the class order unless the word or phrase is defined in paragraph 8 of this instrument in which case the word or phrase has that meaning;
- (b) *class order* means ASIC Class Order [CO 03/184] as in force on the date of this instrument and as amended from time to time by a disallowable legislative instrument within the meaning of the Legislative Instruments Act 2003;
- (c) *eligible incentive plan offer* means an offer for the issue or sale of performance rights, made under an arrangement known as the Collection House Limited Performance Rights Plan (under which the performance rights are referred to as

13-0337

Performance Rights) the terms of which are substantially in the same form as those provided to ASIC on 4 March 2013; and

- (d) *issuer* means Collection House Limited ACN 010 230 716 and any related body corporate.

Dated this 22nd day of March 2013

A handwritten signature in black ink, appearing to read 'Lorraine Mizzi', with a long horizontal flourish extending to the right.

Signed by Lorraine Mizzi
as a delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

13-0348

**Australian Securities & Investments Commission
National Consumer Credit Protection Act 2009 Section 54**

Notice of Cancellation of an Australian Credit Licence

TO: Wealth Corp. Pty. Ltd.
ACN 126 968 456 ("the Licensee")
Last notified principal place of business:
10 Leicester Place
MILLER NSW 2168

Pursuant to paragraph 54(1)(b) of the **National Consumer Credit Protection Act 2009**, the Australian Securities and Investments Commission hereby cancels Australian Credit Licence number 392409 held by the Licensee, with effect from the date of this notice.

Dated *25th March 2013*

Signed *[Signature]*

Frank Varga
A delegate of the Australian Securities and Investments Commission

13-0353

**Australian Securities and Investments Commission
Corporations Act 2001 — Subsection 601QA(1) — Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsection 601QA(1) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 13-0353.

Commencement

3. This instrument commences on the day it is signed.

Declaration

4. Chapter 5C of the Act applies to Life Settlements Funds Limited ACN 100 885 505 (the *responsible entity*) in its capacity as responsible entity of Life Settlements Wholesale Fund ARSN 110 346 695 (the *scheme*) as if section 601FL were modified or varied as follows:

- (a) in subsection (1) omit all the text after the word “it”, substitute:

“must either:

- (a) call a members’ meeting to explain its reason for wanting to retire and to enable the members to vote on a resolution (which must be an extraordinary resolution if the scheme is not listed) to choose a company to be the new responsible entity; or
- (b) propose a related body corporate of the responsible entity to be the new responsible entity in accordance with subsection (1A).”;

- (b) after subsection (1) insert:

“(1A) The requirements for proposing a related body corporate (the *proposed responsible entity*, which is a wholly owned subsidiary of the responsible entity’s holding company, to be the new responsible entity are as follows:

- (a) The responsible entity must give members of the scheme notice of a proposal to choose the proposed responsible entity, to be the scheme’s new responsible entity.
- (b) The notice to members must:

13-0353

- (i) set out the following information:
 - (A) the responsible entity's reasons for wanting to retire;
 - (B) such information as can reasonably be expected to be material to a member in forming a view as to the choice of the proposed responsible entity;
 - (C) information about the proposed timing of the retirement of the responsible entity and the manner in which that retirement will occur;
 - (D) how members can access on the responsible entity's website current information about the proposed timing of the retirement of the responsible entity and the manner in which that retirement will occur; and
 - (ii) state prominently that if:
 - (A) members who together hold at least 5% of the total value of the interests held by members; or
 - (B) 100 members,

who would be entitled to vote if the proposal were put as a proposed resolution to a meeting of members under paragraph (1)(a), ask for a vote on the choice of the proposed responsible entity by giving written notice received by the responsible entity within 21 days from the date the notice is sent, the responsible entity will either arrange a postal vote or convene a meeting to vote on a resolution for the choice of the proposed responsible entity; and
 - (iii) be accompanied by a form which can be ticked to ask for a vote; and
 - (iv) state prominently a reply paid address of the responsible entity to which the form may be sent.
- (c) The responsible entity must prominently disclose on its website current information about the proposed timing of the retirement of the responsible entity and the manner in which that retirement will occur.
 - (d) If sufficient members ask for a vote in accordance with the notice, the responsible entity must arrange for a postal vote or, if the responsible entity chooses, convene a meeting in

13-0353

accordance with Part 2G.4 to vote on the choice of the proposed responsible entity as soon as possible.

- (e) If there is a postal vote:
 - (i) a voting paper must be sent to each member stating a reply paid address of the responsible entity to which the voting paper may be sent; and
 - (ii) the responsible entity must notify the members in, or in a document accompanying, the voting paper that:
 - (A) the proposed responsible entity will be chosen as the new responsible entity if at least 50% of the total votes that may be cast by members that would be eligible to vote at a meeting under paragraph (1)(a) are cast in favour of the choice; and
 - (B) only votes received by the responsible entity within 28 days after the sending of the voting paper will be counted.
- (f) If a meeting is convened the resolution to choose the new responsible entity must be an extraordinary resolution if the scheme is not listed.”;

(c) after subsection (2) insert:

“(2A) If a postal vote is arranged under paragraph (1A)(d) and at least 50% of the total votes that may be cast by members that would be eligible to vote at a meeting under paragraph (1)(a) are cast in favour of the proposed responsible entity, that entity is taken for the purposes of subsection (2) to have been chosen by a resolution of members on the last day on which postal votes may be received in order to be counted.

(2B) If:

- (a) a related body corporate is proposed to be the new responsible entity in accordance with subsection (1A); and
- (b) insufficient members ask for a vote to choose the entity in accordance with the notice referred to in paragraph (1A)(b); and
- (c) the entity has consented in writing to becoming the scheme’s responsible entity,

then:

13-0353

- (d) as soon as practicable and in any event within 2 business days after the end of the period in which a member may ask for such a vote, the current responsible entity must lodge a notice with ASIC asking it to alter the record of the scheme's registration to name the proposed responsible entity as the scheme's responsible entity; and
- (e) the notice must be accompanied by a certificate from the current responsible entity that it reasonably considers that the appointment of the proposed responsible entity would be in the best interests of members with a summary of the responsible entity's reasons as to why this is the case; and
- (f) unless ASIC reasonably believes that the appointment of the proposed responsible entity would not be in the best interests of members, ASIC must comply with the notice as soon as practicable after the notice and summary are lodged."

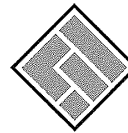
Where this declaration applies

5. This declaration applies where GI Asset Management Limited ACN 161 434 779 has consented in writing to becoming the new responsible entity of the scheme.
6. This declaration ceases to apply on 30 June 2013.

Dated this 22nd day of March 2013



Signed by Leah Quach
As a delegate of the Australian Securities and Investments Commission



13-0360

ASIC

Australian Securities & Investments Commission

**Australian Securities & Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

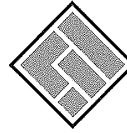
TO: Planwealth Pty Limited – Under External Administration
ACN 010 035 560 ("the Licensee")
C/- Dyal & Associates
Unit 13
1015 Nudgee Road
Banyo QLD 4014

Pursuant to section 915B of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Licence Number 324595 held by the Licensee with effect from the date on which this notice is given to the Licensee.

Dated this 22 March 2013

Signed

Joyce Krashow, a delegate of the Australian Securities and Investments Commission



13-0362

ASIC

Australian Securities & Investments Commission

**Australian Securities & Investments Commission
Section 915B Corporations Act 2001**

Notice of Cancellation of an Australian Financial Services Licence

TO: GROSVENORAUSTRALIA NOMINEES PTY LIMITED –
ACN 107 278 580 – LEVEL 16, 20 HUNTER STREET SYDNEY
NSW 2000

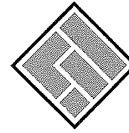
Pursuant to section 915B of the Corporations Act 2001, the Australian Securities and Investments Commission hereby cancels Licence Number 302153 held by Grosvenor Australia Nominees Pty. Limited ("Licensee") with effect from the date on which this notice is given to the Licensee.

Dated this 22nd Day of March, 2013

Signed

A handwritten signature in black ink, appearing to be 'Joyce Krashow', written over a dotted line.

Joyce Krashow a delegate of the Australian Securities and Investments
Commission



13-0363

ASIC

Australian Securities & Investments Commission

**Australian Securities & Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Review Group Pty Ltd
ACN 147 903 642 ("the AFS Licensee")
PO Box 2272
REDCLIFFE NORTH QLD 4020

Pursuant to section 915B of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels AFS Licence Number 397424 held by the AFS Licensee with effect from the date on which this notice is given to the Licensee.

Dated this 25 March 2013.

Signed

Joyce Krashow, a delegate of the Australian Securities and Investments Commission

13-0366

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 911A(2)(l) – Variation**

Enabling legislation

1. The Australian Securities and Investments Commission makes this instrument under paragraph 911A(2)(l) of the Corporations Act 2001.

Title

2. This instrument is ASIC Instrument [13-0366].

Commencement

3. This instrument commences on gazettal.

Variation

4. ASIC instrument [09-00043] is varied as follows:
 - (a) in Schedule C omit sub-paragraphs 2(a), (aa) and (b), substitute:

"(a) notify ASIC, as soon as practicable and in any event within 15 business days after it became aware or should reasonably have become aware, and in such form if any as ASIC may from time to time specify in writing, of the details of:


 - (i) each significant change to, including the termination of, the licence or registration applying to it relevant to the financial services it provides or intends to provide in this jurisdiction; and
 - (ii) each significant particular exemption or other relief which it obtains from the UK regulatory requirements relevant to the financial services it provides or intends to provide in this jurisdiction; and
 - (iii) each action or investigation of the following kinds taken by the FCA or other overseas regulatory authority against it in a foreign jurisdiction in relation to financial services provided in the foreign jurisdiction:
 - (A) significant enforcement action;
 - (B) significant disciplinary action;
 - (C) significant investigation (unless, after having taken reasonable steps to enable notification to be given to ASIC, it is prohibited by law from giving such notification but only to the extent of the prohibition); and

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- (b) provide written disclosure to all persons to whom the financial services are provided in this jurisdiction (before the financial services are provided) containing prominent statements to the following effect:
 - (i) it is exempt from the requirement to hold an Australian financial services licence under the Act in respect of the financial services; and
 - (ii) it is regulated by the FCA under UK laws, which differ from Australian laws; and
- (c) if ASIC gives it a written notice directing it to lodge with ASIC, within the time specified in the notice, a written statement containing specified information about any financial service provided by it in this jurisdiction—comply with the notice.";
- (b) except for the definition of *FSA* in the Interpretation, omit all references to "FSA", substitute "FCA";
- (c) omit the definition of *FSA* in the Interpretation, substitute:

"*FCA* means the Financial Conduct Authority of the United Kingdom and, for the avoidance of doubt, includes the Financial Services Authority of the United Kingdom as it was previously known before 1 April 2013;".

Dated this 27th day of March 2013


Signed by William George Armstrong
as a delegate of the Australian Securities and Investments Commission

13-0367

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 911A(2)(l) – Variation**

Enabling legislation

1. The Australian Securities and Investments Commission makes this instrument under paragraph 911A(2)(l) of the Corporations Act 2001.

Title

2. This instrument is ASIC Instrument [13-0367].

Commencement

3. This instrument commences on gazettal.

Variation

4. ASIC instrument [08-00947] is varied as follows:
 - (a) in Schedule A omit sub-subparagraph (b)(ii) and substitute:

"(ii) has an Agent at the time the body first purports to rely on this instrument and, from that time, has not failed to have an Agent for any consecutive period of 10 business days;"
 - (b) in Schedule C omit sub-paragraphs 2 (a), (aa) and (b), substitute:

"(a) notify ASIC, as soon as practicable and in any event within 15 business days after it became aware or should reasonably have become aware, and in such form if any as ASIC may from time to time specify in writing, of the details of:

 - (i) each significant change to, including the termination of, the licence or registration applying to it relevant to the financial services it provides or intends to provide in this jurisdiction; and
 - (ii) each significant particular exemption or other relief which it obtains from the UK regulatory requirements relevant to the financial services it provides or intends to provide in this jurisdiction; and
 - (iii) each action or investigation of the following kinds taken by the FCA or other overseas regulatory authority against it in a foreign jurisdiction in relation to financial services provided in the foreign jurisdiction:
 - (A) significant enforcement action;
 - (B) significant disciplinary action;

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- (C) significant investigation (unless, after having taken reasonable steps to enable notification to be given to ASIC, it is prohibited by law from giving such notification but only to the extent of the prohibition); and
- (b) provide written disclosure to all persons to whom the financial services are provided in this jurisdiction (before the financial services are provided) containing prominent statements to the following effect:
 - (i) it is exempt from the requirement to hold an Australian financial services licence under the Act in respect of the financial services; and
 - (ii) it is regulated by the FCA under UK laws, which differ from Australian laws; and
- (c) if ASIC gives it a written notice directing it to lodge with ASIC, within the time specified in the notice, a written statement containing specified information about any financial service provided by it in this jurisdiction—comply with the notice.";
- (c) except for the definition of *FSA* in the Interpretation, omit all references to "FSA", substitute "FCA";
- (d) omit the definition of *FSA* in the Interpretation, substitute:

"*FCA* means the Financial Conduct Authority of the United Kingdom and, for the avoidance of doubt, includes the Financial Services Authority of the United Kingdom as it was previously known before 1 April 2013;".

Dated this 27th day of March 2013


Signed by William George Armstrong
as a delegate of the Australian Securities and Investments Commission

13-0368

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 911A(2)(l) – Variation**

Enabling legislation

1. The Australian Securities and Investments Commission makes this instrument under paragraph 911A(2)(l) of the Corporations Act 2001.

Title

2. This instrument is ASIC Instrument [13-0368].

Commencement

3. This instrument commences on gazettal.

Variation

4. ASIC instrument [09-00421] is varied as follows:
 - (a) in Schedule A omit sub-subparagraph (b)(ii), substitute:

"(ii) has an Agent at the time the body first purports to rely on this instrument and, from that time, has not failed to have an Agent for any consecutive period of 10 business days;"
 - (b) in Schedule C omit sub-paragraphs 2 (a), (aa) and (b), substitute:

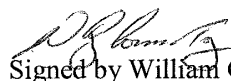
"(a) notify ASIC, as soon as practicable and in any event within 15 business days after it became aware or should reasonably have become aware, and in such form if any as ASIC may from time to time specify in writing, of the details of:

 - (i) each significant change to, including the termination of, the licence or registration applying to it relevant to the financial services it provides or intends to provide in this jurisdiction; and
 - (ii) each significant particular exemption or other relief which it obtains from the UK regulatory requirements relevant to the financial services it provides or intends to provide in this jurisdiction; and
 - (iii) each action or investigation of the following kinds taken by the FCA or other overseas regulatory authority against it in a foreign jurisdiction in relation to financial services provided in the foreign jurisdiction:
 - (A) significant enforcement action;
 - (B) significant disciplinary action;

13-0368

- (C) significant investigation (unless, after having taken reasonable steps to enable notification to be given to ASIC, it is prohibited by law from giving such notification but only to the extent of the prohibition); and
- (b) provide written disclosure to all persons to whom the financial services are provided in this jurisdiction (before the financial services are provided) containing prominent statements to the following effect:
- (i) it is exempt from the requirement to hold an Australian financial services licence under the Act in respect of the financial services; and
- (ii) it is regulated by the FCA under UK laws, which differ from Australian laws; and
- (c) if ASIC gives it a written notice directing it to lodge with ASIC, within the time specified in the notice, a written statement containing specified information about any financial service provided by it in this jurisdiction—comply with the notice.";
- (c) except for the definition of *FSA* in the Interpretation, omit all references to "FSA", substitute "FCA";
- (d) omit the definition of *FSA* in the Interpretation, substitute:
- "*FCA* means the Financial Conduct Authority of the United Kingdom and, for the avoidance of doubt, includes the Financial Services Authority of the United Kingdom as it was previously known before 1 April 2013;"
- (e) in Schedule A omit the words "BNP Paribas Asset Management U.K. Limited (the body) where all of the following apply:", substitute:
- "BNP Paribas Investment Partners UK Limited (*formerly known as BNP Paribas Asset Management U.K. Limited*) (the *body*) where all of the following apply:".

Dated this 27th day of March 2013



Signed by William George Armstrong
as a delegate of the Australian Securities and Investments Commission

13-0369

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 911A(2)(l) – Variation**

Enabling legislation

1. The Australian Securities and Investments Commission makes this instrument under paragraph 911A(2)(l) of the Corporations Act 2001.

Title

2. This instrument is ASIC Instrument [13-0369].

Commencement

3. This instrument commences on gazettal.

Variation

4. ASIC instrument [10-0264] is varied as follows:
 - (a) in Schedule A omit sub-subparagraph (b)(ii) and substitute:

"(ii) has an Agent at the time the body first purports to rely on this instrument and, from that time, has not failed to have an Agent for any consecutive period of 10 business days;"
 - (b) in Schedule B omit sub-paragraphs 2 (a), (aa) and (b), substitute:

"(a) notify ASIC, as soon as practicable and in any event within 15 business days after it became aware or should reasonably have become aware, and in such form if any as ASIC may from time to time specify in writing, of the details of:

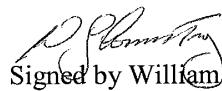
 - (i) each significant change to, including the termination of, the licence or registration applying to it relevant to the financial services it provides or intends to provide in this jurisdiction; and
 - (ii) each significant particular exemption or other relief which it obtains from the UK regulatory requirements relevant to the financial services it provides or intends to provide in this jurisdiction; and
 - (iii) each action or investigation of the following kinds taken by the FCA or other overseas regulatory authority against it in a foreign jurisdiction in relation to financial services provided in the foreign jurisdiction:
 - (A) significant enforcement action;
 - (B) significant disciplinary action;

13-0369

- (C) significant investigation (unless, after having taken reasonable steps to enable notification to be given to ASIC, it is prohibited by law from giving such notification but only to the extent of the prohibition); and
- (b) provide written disclosure to all persons to whom the financial services are provided in this jurisdiction (before the financial services are provided) containing prominent statements to the following effect:
 - (i) it is exempt from the requirement to hold an Australian financial services licence under the Act in respect of the financial services; and
 - (ii) it is regulated by the FCA under UK laws, which differ from Australian laws; and
- (c) if ASIC gives it a written notice directing it to lodge with ASIC, within the time specified in the notice, a written statement containing specified information about any financial service provided by it in this jurisdiction—comply with the notice.";
- (c) except for the definition of *FSA* in the Interpretation, omit all references to "FSA", substitute "FCA";
- (d) omit the definition of *FSA* in the Interpretation, substitute:

"*FCA* means the Financial Conduct Authority of the United Kingdom and, for the avoidance of doubt, includes the Financial Services Authority of the United Kingdom as it was previously known before 1 April 2013;".

Dated this 27th day of March 2013



Signed by William George Armstrong
as a delegate of the Australian Securities and Investments Commission

13-0370

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraphs 655A(1)(b) and 673(1)(b) – Declarations**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 655A(1)(b) and 673(1)(b) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 13-0370.

Commencement

3. This instrument commences on 26 March 2013.

Declaration

4. Chapters 6 and 6C of the Act apply to Malabar Coal Limited ACN 151 691 468 (*Malabar*) as if:
 - (a) section 609 of the Act were modified or varied by, after subsection 609(13) as notionally inserted by ASIC Class Order [CO 03/634], inserting the following:

“(14) A person does not have a relevant interest in its own securities merely because the company applies restrictions on the disposal of the securities by the holder.”; and
 - (b) Part 6C.1 of the Act were further modified or varied by, after section 671BA as notionally inserted by ASIC Class Order [CO 03/634], inserting the following:

“671BB Escrow agreements
For the purposes of section 671B and the definition of *substantial holding* in section 9, a person has a relevant interest in securities if the person would have a relevant interest in the securities but for subsection 609(14).”.

Where this instrument applies

5. This instrument applies to the acquisition of a relevant interest in securities of Malabar (the *escrowed securities*) arising as a result of the entry into one or more escrow agreements or deeds (each a *restriction deed*) between Malabar and any one or more of the Security Holders where each restriction deed:
 - (a) restricts the disposal of, but not the exercise of voting rights attaching to, the escrowed securities;
 - (b) provides that the restriction deed terminates no later than 24 months for each person in Security Holders Group 1, and no later than 6 months for each person in Security Holders Group 2, after Malabar is admitted to the official list of ASX Limited ACN 008 624 691;
 - (c) allows the Security Holder to accept into a takeover bid where holders of at least half of the bid class securities that are not subject to a restriction deed have accepted into the bid;

13-0370

- (d) requires the escrowed securities be returned to escrow if the above bid does not become unconditional;
- (e) allows the escrowed securities to be transferred or cancelled as part of a compromise or arrangement under Part 5.1 of the Act approved at a meeting held as a result of an order under subsections 411(1) or 411(1A) of the Act;
- (f) in the case of a compromise or arrangement under Part 5.1 of the Act, requires the escrowed securities be returned to escrow if the compromise or arrangement does not take effect; and
- (g) is in substantially the form provided to ASIC on 11 March 2013.

Interpretation

6. In this instrument:

- (a) **Security Holders** means the persons in Security Holders Group 1 and Security Holders Group 2;
- (b) **Security Holders Group 1** are the following persons:
 - (i) Belltrees Investments Pty Ltd <Cassidy Doyle Family Trust>;
 - (ii) Brian Douglas Beem, Jr.;
 - (iii) Brisbane Investments I Ltd;
 - (iv) Aldo Dal Pozzo;
 - (v) Anthony Galligan;
 - (vi) Ironstone Capital Partners Pty Ltd;
 - (vii) Mast Capital Pty Ltd <Keyser Family Trust>;
 - (viii) Westbrook Coal Pty Ltd; and
 - (ix) Westmark Investment Pty Ltd <Westbrook Investment Trust>; and
- (c) **Security Holders Group 2** are the following persons:
 - (i) Nicholas Aitken;
 - (ii) Berrafall Pty Ltd <Morris-Hardwick Super Fund>;
 - (iii) Bizzell Nominees Pty Ltd <Bizzell Family Trust>;
 - (iv) Brisbane Investments II Ltd;
 - (v) Thomas Buerger;
 - (vi) Roderick Crowther;
 - (vii) Derrough Pty Limited <Andrew Savage Family Trust>;
 - (viii) DH Quillen Properties, LLC;
 - (ix) Helm Coal Pty Ltd;
 - (x) Peter Hood <PJ Hood Superannuation Plan>;
 - (xi) Limits Pty Limited <Red Dog Unit Trust>;
 - (xii) Lutlock Pty Ltd <Paul A Lutvey Family Trust>;

13-0370

- (xiii) Sean Patrick Martin and Liam Paul Martin <Basil Martin Family Trust>;
- (xiv) Jack McDonald <J&L McDonald Superannuation Fund>;
- (xv) Nicol Pty Ltd;
- (xvi) Michael Jack Quillen <Quillen Family Trust>;
- (xvii) Moat Investments Pty Ltd <Moat Investment A/C>;
- (xviii) Nimesh Patel;
- (xix) Santelle Pty Ltd;
- (xx) Milica Sekulovski;
- (xxi) Strohm Pty Ltd <Hamblyn Super Fund>;
- (xxii) Paul Thynne <Thynne Super Fund>;
- (xxiii) Rashi Rulshyan;
- (xxiv) Brent Mark Wall <BM Wall Family Trust>; and
- (xxv) Willbay Pty Ltd.

Dated this 26th day of March 2013



Signed by Yu-chin Hsu
as a delegate of the Australian Securities and Investments Commission



13 – 0372

ASIC

Australian Securities & Investments Commission

**Australian Securities & Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Societe Generale
ABN 71 092 516 286 ("Licensee")
Level 23
400 George St
Sydney NSW 2000

Pursuant to section 915B of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Licence Number 236651 held by the Licensee with effect from the date on which this notice is given to the Licensee.

Dated this 26 March 2013

A handwritten signature in black ink, appearing to be 'Joyce Krashow'.

Signed

Joyce Krashow, a delegate of the Australian Securities and Investments Commission

13-0373

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 911A(2)(l) – Variation**

Enabling legislation

1. The Australian Securities and Investments Commission makes this instrument under paragraph 911A(2)(l) of the Corporations Act 2001.

Title

2. This instrument is ASIC Instrument [13-0373].

Commencement

3. This instrument commences on gazettal.

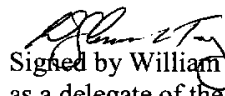
Variation

4. ASIC instrument [09-00042] is varied as follows:
 - (a) in Schedule C in paragraph 2 omit sub-paragraphs 2 (a), (aa) and (b) and substitute:
 - "(a) notify ASIC, as soon as practicable and in any event within 15 business days after it became aware or should reasonably have become aware, and in such form if any as ASIC may from time to time specify in writing, of the details of:
 - (i) each significant change to, including the termination of, the licence or registration applying to it relevant to the financial services it provides or intends to provide in this jurisdiction; and
 - (ii) each significant particular exemption or other relief which it obtains from the US regulatory requirements relevant to the financial services it provides or intends to provide in this jurisdiction; and
 - (iii) each action or investigation of the following kinds taken by the SEC or other overseas regulatory authority against it in a foreign jurisdiction in relation to financial services provided in the foreign jurisdiction:
 - (A) significant enforcement action;
 - (B) significant disciplinary action;
 - (C) significant investigation (unless, after having taken reasonable steps to enable notification to be given to ASIC, it is prohibited by law from giving such notification but only to the extent of the prohibition); and

13-0373

- (b) provide written disclosure to all persons to whom the financial services are provided in this jurisdiction (before the financial services are provided) containing prominent statements to the following effect:
 - (i) it is exempt from the requirement to hold an Australian financial services licence under the Act in respect of the financial services; and
 - (ii) it is regulated by the SEC under US laws, which differ from Australian laws; and
- (c) if ASIC gives it a written notice directing it to lodge with ASIC, within the time specified in the notice, a written statement containing specified information about any financial service provided by it in this jurisdiction—comply with the notice."

Dated this 27th day of March 2013



Signed by William George Armstrong
as a delegate of the Australian Securities and Investments Commission

13-0374

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 601QA(1)(b) – Declaration**

Under paragraph 601QA(1)(b) of the *Corporations Act 2001(the Act)*, the Australian Securities and Investments Commission (*ASIC*) declares that Chapter 5C of the Act applies to the person specified in the Schedule as if the provisions of that Chapter as modified or varied by ASIC Class Order [CO 05/26] were modified or varied as follows:

1. after subsection 601GAB(2) insert:

“(2A) If there is more than one class of interests in the scheme, a formula or method that is to be used to set the issue price is taken to comply with subsection (2) for interests in a class of interests that are not quoted on a financial market if the formula or method is based on the assets, liabilities, revenues and expenses properly attributable to the class and number of interests in the class.”

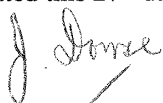
2. after subsection 601GAC(2) insert:

“(2A) If there is more than one class of interests in the scheme, a formula or method that is to be used to set the withdrawal amount is taken to comply with subsection (2) if the formula or method is based on the assets, liabilities, revenues and expenses properly attributable to the class and number of interests in the class.”

Schedule

Macquarie Financial Products Management Ltd ACN 095 135 694 in its capacity as responsible entity of the Macquarie SIV Growth Fund ARSN 162 895 758.

Dated this 27th day of March 2013



Signed by Joseph Dowse
as a delegate of the Australian Securities and Investments Commission

13-0375

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 601QA(1)(b) – Declaration**

Under paragraph 601QA(1)(b) of the *Corporations Act 2001 (the Act)*, the Australian Securities and Investments Commission (*ASIC*) declares that Chapter 5C of the Act applies to the person specified in the Schedule as if the provisions of that Chapter as modified or varied by ASIC Class Order [CO 05/26] were modified or varied as follows:

1. after subsection 601GAB(2) insert:

“(2A) If there is more than one class of interests in the scheme, a formula or method that is to be used to set the issue price is taken to comply with subsection (2) for interests in a class of interests that are not quoted on a financial market if the formula or method is based on the assets, liabilities, revenues and expenses properly attributable to the class and number of interests in the class.”

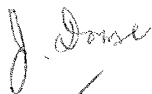
2. after subsection 601GAC(2) insert:

“(2A) If there is more than one class of interests in the scheme, a formula or method that is to be used to set the withdrawal amount is taken to comply with subsection (2) if the formula or method is based on the assets, liabilities, revenues and expenses properly attributable to the class and number of interests in the class.”

Schedule

Macquarie Financial Products Management Ltd ACN 095 135 694 in its capacity as responsible entity of the Macquarie SIV Conservative Fund ARSN 162 896 059.

Dated this 27th day of March 2013



Signed by Joseph Dowse
as a delegate of the Australian Securities and Investments Commission

13-0376

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 601QA(1)(b) – Declaration**

Under paragraph 601QA(1)(b) of the *Corporations Act 2001 (the Act)*, the Australian Securities and Investments Commission (*ASIC*) declares that Chapter 5C of the Act applies to the person specified in the Schedule as if the provisions of that Chapter as modified or varied by ASIC Class Order [CO 05/26] were modified or varied as follows:

1. after subsection 601GAB(2) insert:

“(2A) If there is more than one class of interests in the scheme, a formula or method that is to be used to set the issue price is taken to comply with subsection (2) for interests in a class of interests that are not quoted on a financial market if the formula or method is based on the assets, liabilities, revenues and expenses properly attributable to the class and number of interests in the class.”

2. after subsection 601GAC(2) insert:

“(2A) If there is more than one class of interests in the scheme, a formula or method that is to be used to set the withdrawal amount is taken to comply with subsection (2) if the formula or method is based on the assets, liabilities, revenues and expenses properly attributable to the class and number of interests in the class.”

Schedule

Macquarie Financial Products Management Ltd ACN 095 135 694 in its capacity as responsible entity of the Macquarie SIV Cash Fund ARSN 162 895 614.

Dated this 27th day of March 2013



Signed by Joseph Dowse
as a delegate of the Australian Securities and Investments Commission

13-0377

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 601QA(1)(b) – Declaration**

Under paragraph 601QA(1)(b) of the *Corporations Act 2001(the Act)*, the Australian Securities and Investments Commission (*ASIC*) declares that Chapter 5C of the Act applies to the person specified in the Schedule as if the provisions of that Chapter as modified or varied by ASIC Class Order [CO 05/26] were modified or varied as follows:

1. after subsection 601GAB(2) insert:

“(2A) If there is more than one class of interests in the scheme, a formula or method that is to be used to set the issue price is taken to comply with subsection (2) for interests in a class of interests that are not quoted on a financial market if the formula or method is based on the assets, liabilities, revenues and expenses properly attributable to the class and number of interests in the class.”

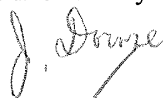
2. after subsection 601GAC(2) insert:

“(2A) If there is more than one class of interests in the scheme, a formula or method that is to be used to set the withdrawal amount is taken to comply with subsection (2) if the formula or method is based on the assets, liabilities, revenues and expenses properly attributable to the class and number of interests in the class.”

Schedule

Macquarie Financial Products Management Ltd ACN 095 135 694 in its capacity as responsible entity of the Macquarie SIV Balanced Fund ARSN 162 895 918.

Dated this 27th day of March 2013



Signed by Joseph Dowse
as a delegate of the Australian Securities and Investments Commission



13-0378

ASIC

Australian Securities & Investments Commission

**Australian Securities & Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Maixner Holdings Pty Ltd
ACN: 062 782 898 ("the Licensee")
'REAR' 632-636 Parramatta Road
Croydon NSW 2132

Pursuant to section 915B of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Licence Number 382085 held by the Licensee with effect from the date on which this notice is given to the Licensee.

Dated this 27 March, 2013.

Signed

Joyce Krashow, a delegate of the Australian Securities and Investments Commission

CORPORATIONS ACT 2001
Subsection 601PA(3)

ASIC may deregister the managed investment scheme(s) listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this second day of April 2013

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Scheme

ARSN

ASCENDAS AUSTRALIA HOTEL TRUST

125 660 979

FUSION FUND - HFA DIVERSIFIED INVESTMENTS FUND

103 530 276

CORPORATIONS ACT 2001
Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this second day of April 2013

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company

ARBN

GLOBAL POWER SOURCE PTE. LTD.

143 077 196

INTERNET CORPORATION FOR ASSIGNED NAMES AND NUMBERS

131 750 000

Corporations Act 2001
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

BLACKWOOD CAPITAL LIMITED ACN 101 849 110 will change to a proprietary company limited by shares. The new name will be BLACKWOOD CAPITAL PTY LTD ACN 101 849 110.

ENERSUS PTY LTD ACN 121 260 017 will change to a public company limited by shares. The new name will be ENERSUS LTD ACN 121 260 017.

PHILLIP ASIA CAPITAL MANAGEMENT LIMITED ACN 091 028 054 will change to a proprietary company limited by shares. The new name will be PHILLIP ASIA CAPITAL MANAGEMENT PTY LTD ACN 091 028 054.

BOSTON ONE CAPITAL LTD ACN 124 655 250 will change to a proprietary company limited by shares. The new name will be BOSTON ONE CAPITAL PTY LTD ACN 124 655 250.

FRASER ISLAND WILDERNESS CLUB LIMITED ACN 066 004 260 will change to a proprietary company limited by shares. The new name will be FRASER ISLAND WILDERNESS CLUB PTY LTD ACN 066 004 260.

SUSTAINAG AUSTRALIA LIMITED ACN 153 622 372 will change to a proprietary company limited by shares. The new name will be SUSTAINAG AUSTRALIA PTY LTD ACN 153 622 372.