



ASIC

Australian Securities &
Investments Commission

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RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review* (RG57) and Information Sheet *ASIC decisions – your rights* (INFO 9) to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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13-0037

ASIC

Australian Securities & Investments Commission


**Australian Securities & Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Mosaic Risk Management Pty Ltd
ACN 128 825 016 ("the Licensee")
Level 26 Governor Phillip Tower
1 Farrell Place
Sydney NSW 2000

Pursuant to section 915B of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Licence Number 323500 held by the Licensee with effect from the date on which this notice is given to the Licensee.

Dated this *14th* day of *February* 2013

Signed 

Joyce Krashow, a delegate of the Australian Securities and Investments Commission

13-0066

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 601QA(1)(b) – Declaration**

Under paragraph 601QA(1)(b) of the *Corporations Act 2001* (the *Act*), the Australian Securities and Investments Commission (*ASIC*) declares that Chapter 5C of the Act applies to the person specified in the Schedule as if the provisions of that Chapter as modified or varied by ASIC Class Order [CO 05/26] were modified or varied as follows:

1. after subsection 601GAB(2) insert:

“(2A) If there is more than one class of interests in the scheme, a formula or method that is to be used to set the issue price is taken to comply with subsection (2) for interests in a class of interests that:

- (i) are not quoted on a financial market; or
- (ii) are AQUA products that are able to be traded on the financial market operated by the ASX,

if the formula or method is based on the assets, liabilities, revenues and expenses properly attributable to the class and number of interests in the class.”

2. after subsection 601GAC(2) insert:

“(2A) If there is more than one class of interests in the scheme, a formula or method that is to be used to set the withdrawal amount is taken to comply with subsection (2) if the formula or method is based on the assets, liabilities, revenues and expenses properly attributable to the class and number of interests in the class.”

Schedule

State Street Global Advisors, Australia Services Limited ACN 108 671 441 in its capacity as responsible entity of the SPDR S&P World Ex Australia Fund ARSN 161 917 924.

Dated this 19th day of February 2013



Signed by Aileen Tse
as a delegate of the Australian Securities and Investments Commission

13-0067

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 601QA(1)(b) – Declaration**

Under paragraph 601QA(1)(b) of the *Corporations Act 2001* (the *Act*), the Australian Securities and Investments Commission (*ASIC*) declares that Chapter 5C of the Act applies to the person specified in the Schedule as if the provisions of that Chapter as modified or varied by ASIC Class Order [CO 05/26] were modified or varied as follows:

1. after subsection 601GAB(2) insert:

“(2A) If there is more than one class of interests in the scheme, a formula or method that is to be used to set the issue price is taken to comply with subsection (2) for interests in a class of interests that:

- (i) are not quoted on a financial market; or
- (ii) are AQUA products that are able to be traded on the financial market operated by ASX,

if the formula or method is based on the assets, liabilities, revenues and expenses properly attributable to the class and number of interests in the class.”

2. after subsection 601GAC(2) insert:

“(2A) If there is more than one class of interests in the scheme, a formula or method that is to be used to set the withdrawal amount is taken to comply with subsection (2) if the formula or method is based on the assets, liabilities, revenues and expenses properly attributable to the class and number of interests in the class.”

Schedule

State Street Global Advisors, Australia Services Limited ACN 108 671 441 in its capacity as responsible entity of the SPDR S&P World Ex Australia (Hedged) Fund ARSN 161 917 899.

Dated this 19th day of February 2013



Signed by Aileen Tse
as a delegate of the Australian Securities and Investments Commission



13-0131

ASIC

Australian Securities & Investments Commission

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 741(1)(b) - Declaration**

Enabling Legislation

- 1 The Australian Securities and Investment Commission (**ASIC**) makes this instrument under paragraph 741(1)(b) of the *Corporations Act 2001 (Act)*.

Title

- 2 This instrument is ASIC Instrument 13-0131.

Commencement

- 3 This instrument commences on 7 February 2013.

Declaration

- 4 Under section 741(1)(b) of the Act, ASIC declares that Chapter 6D of the Act applies to Robe Australia Limited ACN 007 870 760 (**Issuer**) as if section 723(3)(a) of the Act were modified or varied by replacing the words '7 days' with '37 days'.

Where this instrument applies

- 5 This instrument applies in relation to an offer or issue of securities of the Issuer under a disclosure document lodged with ASIC on 11 December 2012 where the Issuer has lodged a supplementary disclosure document with ASIC on or around 7 February 2013 which:
- (a) discloses that an application for the admission to quotation of securities to be issued under the disclosure document was not made within 7 days after the date of the disclosure document; and
 - (b) describes the need for, and effect of, the relief provided in this instrument.

Dated this 7th day of February 2013

A handwritten signature in black ink, appearing to read 'Janice Chandra', written over a dotted line.

Signed by Janice Chandra
as delegate of the Australian Securities and Investments Commission

13-0156

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraphs 911A(2)(l), 992B(1)(a), 1020F(1)(a) and
1020F(1)(b) – Exemptions**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 911A(2)(l), 992B(1)(a), 1020F(1)(a) and 1020F(1)(b) of the *Corporations Act 2001 (Act)*.

Title

2. This instrument is ASIC Instrument [13-0156].

Commencement

3. This instrument commences on gazettal.

Exemptions

4. State Street Corporation, a company incorporated under the laws of the State of Massachusetts of the United States of America (*issuer*), and any related body corporate (*issuer*) does not have to comply with Part 7.9 of the Act where the issuer:

- (a) makes an eligible incentive plan offer;
- (b) offers to arrange for the issue of financial products under an eligible incentive plan offer; or
- (c) issues a financial product under an eligible incentive plan offer;

on the conditions set out in this instrument and for so long as the conditions are met.

5. Where the issuer is exempt from Part 7.9 in relation to an eligible incentive plan offer because of the exemption in paragraph 4, the issuer is also exempt from the requirement to hold an Australian financial services licence for the provision of the following financial services:

- (a) a financial service consisting of general advice reasonably given in connection with the eligible incentive plan offer (including any general advice given in the offer document) where the issuer ensures that the offer document for the offer includes a statement to the effect that any advice given by the issuer in connection with the offer is general advice only, and that employees should consider obtaining their own financial product advice from an independent person who is licensed by ASIC to give such advice;
- (b) a custodial or depository service in connection with an eligible incentive plan offer where the issuer performs their duties in good faith and has sufficient resources to perform those duties;

13-0156

- (c) dealing in a financial product in the course of providing a custodial or depository service covered by paragraph (b);
 - (d) issuing a financial product under an eligible incentive plan offer covered by paragraph 4 of this instrument;
 - (e) dealing in a financial product in connection with an eligible incentive plan offer where any acquisition by purchase or disposal of the product (by the issuer) occurs either:
 - (i) through a person who holds an Australian financial services licence authorising the holder to deal in financial products; or
 - (ii) outside this jurisdiction and through a person who is licensed or otherwise authorised to deal in financial products in the relevant place.
6. Where the issuer is exempt from Part 7.9 in relation to an eligible incentive plan offer because of the exemption in paragraph 4, the issuer also does not have to comply with section 992A of the Act in relation to an eligible incentive plan offer made in the course of, or because of, unsolicited meetings or telephone calls reasonably held or made in connection with the offer.
7. ASIC exempts a financial product that is the subject of an eligible incentive plan offer from Part 7.9 of the Act where:
- (a) a recommendation is made by a person (other than the issuer) that a person to whom an eligible incentive plan offer has been made acquire the financial product as a retail client; and
 - (b) the person who made the recommendation is not aware, and ought not reasonably to be aware, that any of the conditions set out in this instrument have not been met;
- but only in relation to the recommendation by the person.

Where this instrument applies

8. This instrument applies where the issuer:
- (a) meets the requirements (including, for the avoidance of doubt, definitions) of the class order, except the definition of:
 - (i) "eligible offer" in paragraph 9 of the Interpretation; and
 - (ii) "offer document" in paragraph 15 of the Interpretation; and
 - (b) would meet the requirements of the class order if the definition of an "eligible offer" in paragraph 9 of the Interpretation was to read:

13-0156

“9. “eligible offer” means an offer for issue or sale of performance rights in relation to fully-paid shares in an issuer in the same class as shares which have been quoted on the financial market operated by the New York Stock Exchange throughout the 12 month period immediately before the offer without suspension for more than a total of 2 trading days during that period made under an employee share scheme extended only to eligible employees of the issuer;” and

(c) would meet the requirements of the class order if, in the definition of an “offer document” in paragraph 15 of the Interpretation, subparagraphs (c) and (d) were to read:

“(c) specifies in respect of the performance rights or the shares to which the performance rights relate:

- (i) the acquisition price in Australian dollars;
- (ii) where the acquisition price is specified in a foreign currency, the Australian dollar equivalent of that price at the date of the eligible offer; or
- (iii) where the acquisition price is to be worked out in the future under a formula, the Australian dollar or Australian dollar equivalent of that price were the formula applied at the date of the eligible offer; and

(d) includes an undertaking, and an explanation of the way in which, the issuer who has a registered office in this jurisdiction will, during the period in which an eligible employee may acquire the shares, within a reasonable period of the employee requesting, make available to the employee:

- (i) the current market price (or, where that price is denominated in a foreign currency, the Australian dollar equivalent of that price) of the shares to which the performance rights relate; and
- (ii) where sub-subparagraph (c)(ii) or (iii) applies, the information referred to in that subparagraph as updated to that date.”; and

(d) would meet the requirements of the class order if, in the Interpretation, the following definition was inserted:

“16A. “performance right” means a conditional right to be issued a fully-paid ordinary share in the capital of the issuer;”

Conditions

9. The issuer can only rely on the exemptions in this instrument if the issuer complies with the following conditions:

13-0156

- (a) the conditions of the class order (excluding the condition specified in paragraph 3 of the Schedule to the class order) as expressed to apply to them;
- (b) the issuer must take reasonable steps to ensure that the number of shares that may be issued in connection with a performance right, the subject of an eligible incentive plan offer, when aggregated with:
 - (i) the number of shares in the same class which would be issued were each outstanding offer with respect to shares, units of shares and options to acquire unissued shares under any other employee share scheme of the issuer to be accepted or exercised;
 - (ii) the number of shares in the same class issued during the previous 5 years pursuant to:
 - (A) an eligible incentive plan offer extended only to eligible employees; and
 - (B) any other employee share scheme extended only to eligible employees of the issuer;

but disregarding any offer made, option or right acquired or share issued by way of or as a result of:

- (iii) an offer to a person situated at the time of receipt of the offer outside this jurisdiction; or
- (iv) an offer that did not need disclosure to investors because of section 708 of the Act; or
- (v) an offer that did not require the giving of a Product Disclosure Statement because of section 1012D of the Act; or
- (vi) an offer made under a disclosure document or Product Disclosure Statement,

must not exceed 5% of the total number of issued shares in that class of the issuer as at the time of the offer.

Interpretation

10. In this instrument:

- (a) unless a contrary intention appears, a word and phrase used in this instrument has the same meaning as it has in the class order;
- (b) *class order* means ASIC Class Order [CO 03/184] as in force on the date of this instrument and as amended from time to time by a disallowable legislative instrument within the meaning of the *Legislative Instruments Act 2003*; and

13-0156

- (c) *eligible incentive plan offer* means an offer for the issue or sale of performance rights in relation to shares in the issuer, made under an arrangement known as the State Street Corporation 2006 Equity Incentive Plan as Amended and Restated (2012) (*Plan*) (under which the performance rights are referred to as Restricted Stock Units), the Australian Addendum to the Plan (under which the performance rights are referred to as Deferred Stock) and the Offer of Deferred Stock to Australian Resident Employees, the terms of which are substantially in the same form as those provided to ASIC on 7 February 2013.

Dated this 18th day of February 2013



Signed by Nathania Nero
as a delegate of the Australian Securities and Investments Commission

13-0158

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 1020F(1)(c) – Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission makes this instrument under paragraph 1020F(1)(c) of the *Corporations Act 2001 (Act)*.

Title

2. This instrument is ASIC Instrument 13-0158.

Commencement

3. This instrument commences on the day it is signed.

Declaration

4. Division 2 of Part 7.9 of the Act applies to Aurora Funds Management Limited ACN 092 626 885 (*Responsible Entity*) in its capacity as the responsible entity for the Aurora Dividend Income Trust (managed fund) ARSN 151 947 732 (*Scheme*) in relation to a class of interests that are admitted to Trading Status as Managed Fund Products on the AQUA Market (*Quoted Class*) as if the following provisions were modified or varied by:
 - (a) omitting "." at the end of subsection 1013H(c) and replacing it with "; or";
 - (b) inserting a new paragraph 1013H(d) as follows:

"(d) an application of a kind referred to in paragraph (b) will be made to the operator of that market no later than 7 days after the date of the issue of the product (if required by the operator).";
 - (c) omitting "." at the end of subsection 1016D(1)(b) and replacing it with "; or";
 - (d) inserting a new paragraph 1016D(1)(c) as follows:

"(c) an application for quotation of the product has been made to the operator of that market no later than 7 days after the date of the issue of the product (if required by the operator).";
 - (e) omitting paragraphs 1016D(2)(a) and (b);
 - (f) inserting new paragraphs 1016D(2)(a) and (b) as follows:

13-0158

- "(a) an application for quotation of the product has not been made, if required, to the operator of that market for the taking of such action as is necessary to enable financial products of that kind to be traded on that market within 7 days of the date of issue of the product; or
- (b) the product is not able to be traded on that market at the end of 3 months after the date of issue of the product";
- (g) inserting after the words "(see subsection (4))" in subparagraph 1016E(1)(b)(i) "or no later than 7 days after the date of the issue of the product if required"; and
- (h) inserting after the words "the relevant date" in subparagraph 1016E(1)(b)(ii) "or the date of issue of the product".

Where this declaration applies

5. This declaration applies where:
- (a) interests in the Quoted Class remain admitted to Trading Status as Managed Fund Products on the AQUA Market;
 - (b) the Responsible Entity offers interests in the Quoted Class under a Product Disclosure Statement lodged with ASIC that discloses the full particulars of the issue of the financial product including:
 - (i) the timing arrangements in relation to the quotation of newly issued financial products on the AQUA market;
 - (ii) that no later than 5 business days after the last business day of each calendar month, the Responsible Entity will make a notification to ASX of the Scheme's total number of units on issue in relation to the Quoted Class; and
 - (iii) the maximum time in which the issuer will hold application moneys before issuing the financial products to applicants.

Interpretation

In this instrument:

AQUA Market means that financial market operated by ASX known as the AQUA market.

ASX means ASX Limited ACN 008 624 691.

13-0158

Managed Fund Products has the same meaning given by the operating rules of ASX.

Product Disclosure Statement has the meaning given by the Act.

Trading Status has the same meaning given by the operating rules of ASX.

Dated this 12th day of February 2013



Signed by Leah Quach
as a delegate of the Australian Securities and Investments Commission

13-0159

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 1020F(1)(a) - Exemption**

Enabling Legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 1020F(1)(a) of the *Corporations Act 2001 (Act)*.

Title

2. This instrument is ASIC instrument 13-0159.

Commencement

3. This instrument commences on the day it is signed.

Exemption

4. Aurora Funds Management Limited ACN 092 626 885 (*Responsible Entity*) in its capacity as the responsible entity for the Aurora Dividend Income Trust (managed fund) ARSN 151 947 732 (*Scheme*) does not have to comply with section 1017B of the Act in relation to a class of interests that are admitted to Trading Status as Managed Fund Products on the AQUA Market (*Quoted Class*).

Where Exemption applies

5. The exemption in paragraph 4 applies where interests in the Quoted Class remain admitted to Trading Status as Managed Fund Products on the AQUA Market.

Conditions

6. The Responsible Entity must comply with the provisions of the Act that apply to an unlisted disclosing entity as if the undertaking to which the interests in the Quoted Class of the Scheme relates is an unlisted disclosing entity that was subject to those provisions.

Interpretation

In this instrument

AQUA Market means that financial market operated by ASX known as the AQUA market.

ASX means ASX Limited ACN 008 624 691.

13-0159

Managed Fund Products has the same meaning given by the operating rules of ASX.

Trading Status has the same meaning given by the operating rules of ASX.

Dated this 12th day of February 2013



Signed by Leah Quach
as a delegate of the Australian Securities and Investments Commission

13-0160

**Australian Securities and Investments Commission
Corporations Act 2001 — Paragraphs 1020F(1)(a) and 1020F(1)(c) – Exemption
and Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission makes this instrument under paragraphs 1020F(1)(a) and 1020F(1)(c) of the *Corporations Act 2001 (Act)*.

Title

2. This instrument is ASIC Instrument 13-0160.

Commencement

3. This instrument commences on the day is signed.

Where this instrument applies

4. This instrument applies to interests in a registered scheme (*Scheme*) that are in a class of interests that are admitted to Trading Status as Managed Fund Products on the AQUA Market (*Quoted Class*) where:
 - (a) for an interest in a Quoted Class for which a Product Disclosure Statement has been lodged with ASIC under section 1015B of the Act at the date of this instrument, the responsible entity discloses by publication on the responsible entity's website for the Scheme for the period until a Supplementary Product Disclosure Statement or a new Product Disclosure Statement that discloses the same is lodged with ASIC under section 1015B of the Act for an interest in that Quoted Class; or
 - (b) for an interest in a Quoted Class for which a Product Disclosure Statement has not been lodged with ASIC under section 1015B of the Act at the date of this instrument, each Product Disclosure Statement discloses,

the effect of the declaration under this instrument and until 1 March 2013 the exemption under this instrument.

Declaration

5. Part 7.9 of the Act applies to Aurora Funds Management Limited ACN 092 626 885 (*Aurora*) in relation to a periodic statement required for a reporting period ending before 1 January 2014 in relation to interests in the Quoted Class as if subsection 1017D(5) were modified or varied as follows:
 - (a) after subsection 1017D(5) insert:

"(5AA) In relation to an interest in a registered scheme, amounts disclosed in accordance with paragraphs (5)(a), (b), (c), (d), (e) and (g), may be based on:

13-0160

- (a) for opening balances, closing balances or termination values:
- (i) the net asset value per interest of the scheme on each transaction date or on the date of settlement of the transaction in a CS facility; or
 - (ii) the last market price for an interest of the scheme on each transaction date or on the date of settlement of the transaction in a CS facility,
- as at the start and end of the reporting period respectively together with a clear explanation of how the values and the balances have been calculated based on the net asset value or last market price (as applicable), the reasons for, and the implications of applying that basis; and
- (b) for details of transactions in relation to the interest during the reporting period, increases in contributions in relation to the interest, return on investment and amounts paid by the holder during the reporting period in respect of the interest:
- (i) where the amounts in paragraph (a) are based on the net asset value:
 - (A) the net asset value per interest of the scheme on each transaction date; or
 - (B) the net asset value per interest of the scheme on the date of settlement of the transaction in a CS facility;
 - (ii) where the amounts in paragraph (a) are based on the last market price:
 - (A) the last market price for an interest of the scheme on each transaction date; or
 - (B) the last market price for an interest of the scheme on the date of settlement of the transaction in a CS facility,
- together with a clear explanation of how the amounts have been calculated based on the net asset value or last market price (as applicable) and the reasons for, and implications of applying that basis.”.

Exemption

6. Aurora does not have to comply with subsection 1017D(1) of the Act in relation to an interest in the Scheme for each reporting period ending on or before 1 March 2013.

Interpretation

In this instrument:

AQUA Market means that financial market operated by ASX known as the AQUA market.

ASX means ASX Limited ACN 008 624 691.

13-0160

CS facility has the same meaning given by the Act.

Managed Fund Products has the same meaning given by the operating rules of ASX.

Product Disclosure Statement has the same meaning given by the Act.

Supplementary Product Disclosure Statement has the same meaning given by the Act.

Trading Status has the same meaning given by the operating rules of ASX.

Dated this 12th day of February 2013



Signed by Leah Quach
as a delegate of the Australian Securities and Investments Commission

13-0163

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 601QA(1)(b) – Declaration**

Enabling Legislation

1. The Australian Securities and Investments Commission makes this instrument under paragraph 601QA(1)(b) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument [13-0163].

Commencement

3. This instrument commences on the date it is executed.

Declaration

4. Chapter 5C of the Act applies as if section 601KF as notionally inserted into the Act by ASIC Class Order [CO 07/422] were modified or varied by omitting subsection 601KF(2) and substituting:

"(2) This Part does not apply to a listed scheme that has more than five classes of interests."

Where this instrument applies

5. This declaration applies to Westfield Management Ltd ACN 001 670 579 (**WT RE**) in its capacity as responsible entity of Westfield Trust ARSN 090 849 746 (**WT**) and Westfield America Management Limited ACN 072 780 619 (**WAT RE**) in its capacity as responsible entity of Westfield America Trust ARSN 092 058 449 (**WAT**) (each of WT and WAT, a **Scheme**); and
6. Any offer, made by WT RE and WAT RE to acquire interests in the relevant Schemes and by Westfield Holdings Limited ACN 001 671 496 (**WHL**) to acquire shares in WHL as part of a buy-back of Westfield Stapled Securities in the ordinary course of trading on the financial market of ASX Limited ACN 008 624 691, which may be accepted, on or before the first anniversary of the date of this instrument, at a price, and on other terms, determined by WT RE and WAT RE in accordance with the constitution of each Scheme, where the classes of interests include:
 - (a) Westfield Stapled Securities;
 - (b) WAT Series F Special Options;
 - (c) WAT Series G1 Special Options;
 - (d) WAT Series H Special Options; and
 - (e) WAT Series I Special Options.

13-0163

Interpretation

7. In this instrument:

WAT Series F Special Options means options to acquire interests in WAT issued by WAT RE and held by Westfield Capital Corporation Finance Pty Ltd ACN 003 077 816.

WAT Series G1 Special Options means options to acquire interests in WAT issued by WAT RE and held by Westfield American Investments Pty Limited ACN 003 161 475.

WAT Series H Special Options means options to acquire interests in WAT issued by WAT RE and held by Westfield American Investments Pty Limited ACN 003 161 475 and Westfield LLC, a company incorporated in the United States of America.

WAT Series I Special Options means options to acquire interests in WAT issued by WAT RE and held by Westfield Queensland Pty. Ltd. ACN 004 855 878.

Westfield Stapled Securities means an interest in each Scheme and a share in WHL which, under the terms on which each of those securities may be traded, must only be transferred together.

Dated this 14th day of February 2013.



Signed by Aileen Tse
as a delegate of the Australian Securities and Investments Commission

13- 0167

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 601QA(1)(b) – Declaration**

Under paragraph 601QA(1)(b) of the *Corporations Act 2001 (Act)*, the Australian Securities and Investments Commission (*ASIC*) declares that Chapter 5C of the Act applies to the person specified in the Schedule as if the provisions of that Chapter as modified or varied by ASIC Class Order [CO 05/26] were modified or varied as follows:

1. after subsection 601GAB(2) insert:

“(2A) If there is more than one class of interests in the scheme, a formula or method that is to be used to set the issue price is taken to comply with subsection (2) for interests in a class of interests that are not quoted on a financial market if the formula or method is based on the assets, liabilities, revenues and expenses properly attributable to the class and number of interests in the class.”

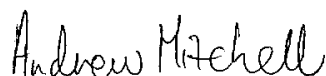
2. after subsection 601GAC(2) insert:

“(2A) If there is more than one class of interests in the scheme, a formula or method that is to be used to set the withdrawal amount is taken to comply with subsection (2) if the formula or method is based on the assets, liabilities, revenues and expenses properly attributable to the class and number of interests in the class.”

Schedule

Prime Value Asset Management Limited ACN 080 376 110 in its capacity as the responsible entity of the Prime Value Opportunities Fund ARSN 162 172 732.

Dated this 14th day of February 2013.



Signed by Andrew Mitchell
as a delegate of the Australian Securities and Investments Commission



13-0168

ASIC

Australian Securities & Investments Commission

**Australian Securities & Investments Commission
Corporations Act 2001 Section 915B**

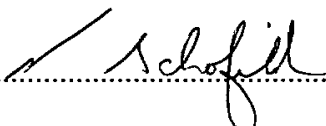
Notice of Cancellation of an Australian Financial Services Licence

TO: Primeplan Securities Pty Ltd
ACN 070 507 274 (the Licensee")
PO Box 6017
South Yarra VIC 3141

Pursuant to section 915B of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Licence Number 229537 held by the Licensee with effect from the date on which this notice is given to the Licensee.

Dated this 13th day of February 2013

Signed


.....

Peter Schofield, a delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

13-0170

**Australian Securities & Investments Commission
National Consumer Credit Protection Act 2009 Section 54**

Notice of Cancellation of an Australian Credit Licence

TO: Professional Mortgage Providers Pty. Limited
ACN 099 662 012 ("the Licensee")
Last notified principal place of business:
30A Wonga Road
YOWIE BAY NSW 2228

Pursuant to paragraph 54(1)(b) of the **National Consumer Credit Protection Act 2009**, the Australian Securities and Investments Commission hereby cancels Australian Credit Licence number 396559 held by the Licensee, with effect from the date of this notice.

Dated *14th February 2013.*

Signed *[Signature]*

Frank Varga
A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

13-0178

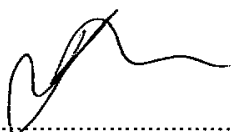
**Australian Securities & Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Pelorus Private Clients Limited
ACN 136 740 737 ("the Licensee")
Last notified principal place of business:
Level 1, 50 Yeo Street
NEUTRAL BAY NSW 2089

Pursuant to section 915B(3)(a) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 337564 held by the Licensee, with effect from the date of this notice.

Dated *18th February 2013*

Signed


Joyce Krashow
A delegate of the Australian Securities and Investments Commission

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**Corporations (360 Treasury Systems AG) Exemption Notice 2012**

Corporations Act 2001

I, WILLIAM RICHARD SHORTEN, Minister for Financial Services & Superannuation and Minister for Employment & Workplace Relations, make this exemption under section 791C of the *Corporations Act 2001* (the *Act*).

Dated

14. 2. 2013

A handwritten signature in black ink, appearing to read 'WR Shorten', is written over a horizontal line.

Minister for Financial Services & Superannuation and Minister for Employment & Workplace Relations

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Name of exemption

1. This exemption is the *Corporations (360 Treasury Systems AG) Exemption Notice 2012*.

Commencement

2. This exemption commences on the day it is published in the *Gazette*.

Exemption

3. The exempt market operated by 360T in this jurisdiction is exempt from the operation of Part 7.2 of the Act.

Where this exemption applies

4. This exemption applies where all of the following matters in this paragraph 4 are satisfied.

Financial products

- (a) Any dealing in a financial product on the exempt market is limited to a dealing in an eligible financial product.

Trading on the market: market operator

- (b) 360T does not deal in financial products on the exempt market:
 - (i) on its own behalf; or
 - (ii) on behalf of a person who is not a professional investor.

Trading on the market: participant

- (c) 360T ensures that a person carrying on business in this jurisdiction does not directly participate in the exempt market unless the person:
 - (i) is a professional investor dealing in a financial product on its own behalf; or
 - (ii) is a professional investor dealing in a financial product on behalf of a person who is a professional investor.
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Clearing and settlement facility

- (d) Neither 360T nor an associate of 360T operates a clearing and settlement facility in relation to the exempt market.

Note: For the avoidance of doubt, paragraph (d) does not prohibit 360T or an associate from providing information about, or establishing procedures dealing with, the settlement of transactions effected through the exempt market.

Cooperation with ASIC

- (e) 360T has entered into a written arrangement with ASIC for cooperation with ASIC in relation to this exemption and the subject matter to which this exemption relates.

Provision of financial services

- (f) 360T is exempt from the requirement to hold an Australian financial services licence for financial services provided in this jurisdiction in connection with the operation of the exempt market.

Note: This exemption does not exempt 360T from the requirement to hold an Australian financial services licence.

Submission to Australian jurisdiction

- (g) 360T satisfies all of the following:
- (i) 360T holds a German Banking Licence granted by BaFin under section 32 of the German Banking Act and has provided ASIC with a copy of that licence;
 - (ii) 360T is registered under Division 2 of Part 5B.2 of the Act;
 - (iii) 360T has provided ASIC, to the satisfaction of ASIC, with a deed of 360T for the benefit of and enforceable by ASIC and the other persons referred to in subsection 659B(1) of the Act, which deed provides that:
 - (I) the deed is irrevocable except with the prior written consent of ASIC;
 - (II) 360T submits to the non-exclusive jurisdiction of the Australian courts in legal proceedings conducted by ASIC (including under section 50 of the ASIC Act) and, in relation to proceedings relating to a financial services law, by any

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person referred to in subsection 659B(1) of the Act and whether brought in the name of ASIC or the Crown or otherwise;

- (III) 360T covenants to comply with any order of an Australian court in respect of any matter relating to the provision of specified kinds of financial services in this jurisdiction to wholesale clients;
- (IV) 360T covenants that, on written request of either BaFin or ASIC, it will give or vary written consent and take all other practicable steps to enable and assist BaFin to disclose to ASIC and ASIC to disclose to the BaFin any information or document that BaFin or ASIC has that relates to 360T.

Conditions

- 5. This exemption ceases to apply if 360T fails to take reasonable steps to comply with any of the matters set out in this paragraph 5.

Operation of the market

- (a) 360T, in all material respects, must operate the exempt market in the way set out in the application for exemption.

Conduct

- (b) 360T must do all things necessary to ensure that any financial services provided by 360T in connection with the operation of the exempt market are provided efficiently, honestly and fairly.

Resources

- (c) 360T must have available adequate resources (including financial, technological and human resources) to provide the financial services in connection with the operation of the exempt market and to carry out supervisory arrangements.

Managing conflicts of interest

- (d) 360T must have adequate arrangements in place for managing its conflicts of interest arising from operating the exempt market.

Reporting: adverse findings

- (e) If 360T becomes aware that 360T or a director or secretary of 360T is covered by one or more of the following matters, 360T must, within 7

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days after becoming aware of the matter, give a written notice to ASIC advising of the matter:

- (i) the person is the subject of a declaration of contravention in relation to a provision of the Act;
- (ii) the person has been convicted of an offence under the Act;
- (iii) in the case of a director or secretary—the person has been disqualified from managing corporations under Part 2D.6 of the Act;
- (iv) the person has been banned or disqualified from providing financial services under Division 8 of Part 7.6 of the Act.

Reporting: disciplinary action against participant

- (f) If 360T takes disciplinary action against an Australian participant of the exempt market, 360T must, as soon as practicable, give a written notice to ASIC that includes the following details:
 - (i) the participant's name;
 - (ii) the reason for and nature of the action taken;
 - (iii) when the action was taken.

Reporting: suspected contraventions by participant

- (g) If 360T has reason to suspect that an Australian participant has committed, is committing, or is about to commit, a contravention of the Act or a significant contravention of the obligations imposed by 360T in relation to the exempt market, 360T must, as soon as practicable, give a written notice to ASIC that includes the following details:
 - (i) the participant's name; and
 - (ii) details of the contravention or impending contravention; and
 - (iii) 360T's reason for that belief.

Assistance to ASIC

- (h) If 360T receives a reasonable request from ASIC to give assistance (which may include giving access to any information, document or books
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held by 360T) to ASIC, or to a person authorised by ASIC, in relation to the operation of the exempt market, 360T must provide that assistance.

Record-keeping

- (i) 360T must have adequate arrangements in place for the recording of order information and transactions effected through the exempt market.
- (j) 360T must keep for a period of at least 5 years the following records of order information and transactions effected through the exempt market:
 - (i) the date and time that the order was received;
 - (ii) the identity of the participant placing the order;
 - (iii) the name and quantity of the financial product to which the order applies;
 - (iv) the designation of the order as a buy or sell order;
 - (v) any limit or stop price prescribed by the order;
 - (vi) the date and time at which the order expires;
 - (vii) details of any modification or cancellation of the order;
 - (viii) the price, quantity, date and time of execution of the order; and
 - (ix) the identities of the counterparties to the transaction.

Annual report

- (k) 360T must, within three months after the end of its financial year, give ASIC an annual report that sets out:
 - (i) the extent to which 360T has complied with this exemption; and
 - (ii) the following information:
 - (A) a description of the activities that 360T undertook in the financial year in relation to the operation of the exempt market;
 - (B) if any material system outages occurred during the financial year that prevented Australian participants from participating in the exempt market:

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- (I) the number of such outages; and
 - (II) the duration of each outage; and
 - (III) the cause of each outage; and
 - (IV) a description of the means by which each outage was resolved;
- (iii) the names and number of Australian participants who directly participated in the exempt market at any time throughout the financial year, identifying those Australian participants who, as at the last day of the financial year, were allowed to directly participate in the market;
 - (iv) details of the volume of trading on the exempt market by Australian participants throughout the financial year;
 - (v) the number and nature of significant complaints made to 360T by Australian participants who participated in the exempt market during the financial year, and the action taken by 360T in response to each complaint;
 - (vi) any specific regulatory issues in relation to the exempt market operated by 360T in this jurisdiction or a financial market operated by 360T outside this jurisdiction, that 360T encountered during the financial year and actions taken to resolve those issues;
 - (vii) details of any significant conflicts of interest identified by 360T in relation to its operation of the exempt market during the financial year, and how each conflict of interest was managed.

Audit report

- (l) If the Minister in writing requests 360T to obtain an audit report, prepared by either ASIC or another person or body that is a suitably qualified person, in relation to the annual report mentioned in paragraph (k) or on any information or statements accompanying the annual report, 360T must comply with the request.

Reporting: operating status of market

6. 360T must, within 7 days, notify ASIC in writing if it ceases to operate the exempt market in this jurisdiction.

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Interpretation

7. In this exemption:

- (a) italicised headings do not form part of this exemption;
- (b) *360T* means 360 Treasury Systems AG, a company incorporated and existing under the laws of the Federal Republic of Germany.

application for exemption means the application dated 26 November 2012 (including any supplementary correspondence provided by 360T to ASIC before this exemption is made) for an exemption from the operation of Part 7.2 of the Act of the financial market operated by 360T.

Australian participant means a participant carrying on business in this jurisdiction.

BaFin means the Bundesanstalt für Finanzdienstleistungsaufsicht of Germany, the German Federal Financial Supervisory Authority.

eligible financial product means a financial product of the following kinds:

- (a) a financial product mentioned in paragraph 764A(1)(k) of the Act;
- (b) a foreign exchange derivative;
- (c) an interest rate derivative;
- (d) a deposit-taking facility made available by an ADI (within the meaning of the *Banking Act 1959*) in the course of its banking business (within the meaning of that Act), other than an RSA (retirement savings account) (within the meaning of the *Retirement Savings Account Act 1997*).

exempt market means the facility through which:

- (a) offers to acquire or dispose of eligible financial products are regularly made or accepted; or
- (b) offers or invitations are regularly made to acquire or dispose of eligible financial products that are intended to result or may reasonably be expected to result, directly or indirectly, in:
 - (i) the making of offers to acquire or dispose of eligible financial products; or

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(ii) the acceptance of such offers.

financial services law has the meaning given by section 761A of the Act.

German Banking Act means the Gesetz über das Kreditwesen of Germany

participant has the meaning given by section 761A of the Act.

wholesale client has the meaning given by section 761G of the Act.



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ASIC

Australian Securities & Investments Commission

**Australian Securities & Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Mr Leonard McKinnon
Winchester Property Services Pty Limited
ACN 100 092 144 ("the Licensee")
PO BOX 558
Neutral Bay
SYDNEY NSW 2089

Pursuant to section 915B of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Licence Number 221076 held by the Licensee with effect from the date on which this notice is given to the Licensee.

Dated this 18 February 2013.

Signed

A handwritten signature in black ink, appearing to be 'Joyce Krashow', written over a dotted line.

Joyce Krashow, a delegate of the Australian Securities and Investments Commission

13-0205

**Australian Securities and Investments Commission
Corporations Act 2001 - Subsection 601QA(1) –
Exemption and Revocation**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsection 601QA(1) of the *Corporations Act 2001 (Act)*.

Title

2. This instrument is ASIC Instrument 13-0205.

Commencement

3. This instrument commences on that date that it is signed.

Exemption

4. ASIC exempts Australian Unity Funds Management Limited ACN 071 497 115 (*AUFM*) in its capacity as the responsible entity of the following schemes (each, a *Scheme*):
 - (a) Australian Unity Mortgage Income Trust ARSN 090 082 803; and
 - (b) Australian Unity Wholesale Mortgage Income Trust ARSN 102 713 824,from paragraph 601FC(1)(d) of the Act in relation to allowing AUFM to pay some or all of the net proceeds of the winding up of each Scheme to members.

Where this instrument applies

4. The constitution of the Scheme allows AUFM to pay some or all of the net proceeds of winding up of the Scheme to a member.
5. AUFM is satisfied that:
 - (a) the member has experienced hardship or is likely to experience hardship if the member is not paid their entitlement to the net winding up proceeds on a preferential basis;
 - (b) where the member is an operator—a person (*instructor*) who has asked the operator to request AUFM to pay the instructor's entitlement to the net winding up proceeds on a preferential basis has experienced hardship or is likely to experience hardship if the payment is not made on a preferential basis; or
 - (c) where the member is dead—a beneficiary of the estate has experienced hardship or is likely to experience hardship if the executor or administrator of the estate is not allowed to receive the payment of the entitlement to the net winding up proceeds on a preferential basis.
6. A decision by AUFM to allow a hardship payment is based on the following criteria and conditions:

	Hardship Withdrawal Criteria	Condition

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1	Severe Financial Hardship	The amount requested is needed to enable the person to meet reasonable and immediate living expenses for themselves and/or their dependants.
2	Unemployment	For the avoidance of doubt, where the person has not been in gainful employment for a period of at least 3 months and has no other means of financial support (except government assistance, such as unemployment benefits).
3	Compassionate Grounds	<p>The amount requested is needed by the person (and/or the person's dependant):</p> <p>(a) to help pay for medical costs (and transport costs) required to treat a life-threatening illness or injury, to alleviate acute or chronic pain, or to alleviate an acute or chronic mental disturbance where 2 registered medical practitioners (at least one of whom must be a specialist) have provided certified statements confirming the medical condition to this effect;</p> <p>(b) to fund specific modifications (to a principal place of residence or vehicle) that are necessary to accommodate special needs arising from a severe disability;</p> <p>(c) to assist with funeral and other expenses related to the death of the person or the person's dependants;</p> <p>(d) to enable the person to provide care for another person who is dying from a terminal illness, including home care;</p> <p>(e) to prevent the person's mortgagee (lender) from selling the person's principal place of residence;</p> <p>(f) to meet a binding financial obligation entered into by the person prior to AUFM's decision to terminate the Scheme; or</p> <p>(g) to meet expenses in other cases consistent with grounds mentioned in paragraphs (a) to (f),</p> <p>where the person does not otherwise have the financial capacity to meet the expense.</p>
4	Permanent Incapacity	Where the person has ceased gainful employment by reason of mental or physical ill-health and the responsible entity is satisfied that the person is unlikely ever again to engage in gainful employment of the type for which the person is reasonably qualified by education, training or experience.

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7. AUFM must not allow a hardship payment to be made unless AUFM is satisfied that:
- (a) following that payment, the scheme property would include sufficient liquid assets to enable AUFM to satisfy its obligations under the constitution of the Scheme in relation to the winding up of the Scheme;
 - (b) satisfying the request for a hardship payment:
 - (i) would not result in more than 4 hardship payments for any member, instructor or beneficiary (as applicable) in any calendar year; and
 - (ii) would not result in the total amount of hardship payments for any member, instructor or beneficiary (as applicable) in any calendar year exceeding \$100,000;
 - (c) any hardship payment would not exceed the specific amount the subject of the request for payment in respect of the hardship of the member, instructor or beneficiary (as applicable);
 - (d) where subparagraph (5)(b) applies - any hardship payment to an operator will be paid to the instructor after deduction of any fees and charges payable to the operator; and
 - (e) where subparagraph (5)(c) applies - any hardship payment to an executor or administrator of the estate will be paid to the beneficiary after deduction of any fees and charges payable to the executor or administrator.

Conditions

8. If a constitution includes a provision permitting the responsible entity to exercise a discretion in relation to a hardship payment:
- (a) AUFM or its nominee must act reasonably in exercising a discretion covered by paragraph (6);
 - (b) AUFM must ensure that the records which it keeps under section 988A of the Act document how and why a decision to permit or refuse a request for a hardship payment; and
 - (c) AUFM must retain the documents covered by subparagraph (8)(b) for 7 years after they cease to be current.

Revocation

9. ASIC Instrument 09-00847 is revoked to the extent it applies to the Scheme.

Interpretation

In this instrument:

another person, when used in paragraph (d) of item 3 in the table in paragraph (6), means any natural person.

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hardship payment means a payment by AUFM of some or all of the net proceeds of winding up the Scheme to a person in priority to other members of the Scheme where AUFM is satisfied that one of subparagraphs (a), (b) or (c) of paragraph (5) of this instrument applies.

operator means a person who is a member of the Scheme in its capacity as responsible entity or custodian of a registered scheme, a life company, trustee of a trust, trustee or custodian of a superannuation entity within the meaning of the *Superannuation Industry (Supervision) Act 1993*, trustee of a self-managed superannuation fund within the meaning of the *Superannuation Industry (Supervision) Act 1993* or provider or acquirer in relation to a custodial arrangement as defined in section 1012IA.

person means:

- (a) a member of a Scheme;
- (b) where the member of a Scheme is an operator—a person who has asked the operator to make a request for a hardship payment; or
- (c) where the member of a Scheme is dead—a person who is a beneficiary of the estate of the member.

Dated this 19th day of February 2013.



Signed Sean Polivnick
as a delegate of the Australian Securities and Investments Commission



13-0206

ASIC

Australian Securities & Investments Commission

**Australian Securities & Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Apex Derivatives Pty Ltd
ACN 143 512 501
Level 9, Suite 903
66 King Street
Sydney NSW 2000

Pursuant to section 915B of the **Corporations Act 2001** ('the Act'), the Australian Securities and Investments Commission hereby cancels Australian financial services licence ('the licence') number 359820 held by Apex Derivatives Pty Ltd. Pursuant to section 915H of the Act, the licence continues in effect, for the period of not more than six (6) months from the date of this notice, as though the cancellation had not happened for the purposes of:

- a) paragraph 912A(1)(g) of the Act to the extent that it requires Apex Derivatives Pty Ltd to maintain membership to an external dispute resolution scheme complying with paragraph 912A(2)(b) of the Act;
- b) paragraph 912B of the Act to the extent that it requires Apex Derivatives Pty Ltd to maintain professional indemnity insurance complying with paragraph 912B(2) of the Act and Corporations Regulations 7.6.02AAA; and
- c) Divisions 2 and 3 of Part 7.8 of the Act.

with effect from the date on which this notice is given to the Licensee.

Dated this 20 February, 2013.

Signed

A handwritten signature in black ink, appearing to be 'Joyce Krashow', written over a dotted line.

Joyce Krashow, a delegate of the Australian Securities and Investments Commission

13/0207

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraphs 911A(2)(l), 992B(1)(a), 1020F(1)(a) and
1020F(1)(b) – Exemptions**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 911A(2)(l), 992B(1)(a), 1020F(1)(a), and 1020F(1)(b) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument [13/0207].

Commencement

3. This instrument commences on gazettal.

Exemptions

4. The issuer does not have to comply with Part 7.9 of the Act where the issuer:

- (a) makes an eligible incentive plan offer;
- (b) offers to arrange for the issue of financial products under an eligible incentive plan offer; or
- (c) issues a financial product under an eligible incentive plan offer,

on the conditions set out in this instrument and for so long as the conditions are met.

5. Where the issuer is exempt from Part 7.9 of the Act in relation to an eligible incentive plan offer because of paragraph 4, the issuer is also exempt from the requirement to hold an Australian financial services licence for the provision of the following financial services:

- (a) a financial service consisting of general advice reasonably given in connection with the eligible incentive plan offer (including any general advice given in the offer document) where the issuer ensures that the offer document for the offer includes a statement to the effect that any advice given by the issuer in connection with the offer is general advice only, and that employees should consider obtaining their own financial product advice from an independent person who is licensed by ASIC to give such advice;

- (b) the provision of a custodial or depositary service in connection with an eligible incentive plan offer where the issuer performs their duties in good faith and has sufficient resources to perform those duties;
 - (c) dealing in a financial product in the course of providing a custodial or depositary service covered by subparagraph (b);
 - (d) issuing a financial product under an eligible offer covered by paragraph 4 of this instrument; and
 - (e) dealing in a financial product in connection with an eligible incentive plan offer where any acquisition by purchase or disposal of the product (by the issuer) occurs either:
 - (i) through a person who holds an Australian financial services licence authorising the holder to deal in financial products; or
 - (ii) outside this jurisdiction and through a person who is licensed or otherwise authorised to deal in financial products in the relevant place.
6. Where the issuer is exempt from Part 7.9 in relation to an eligible incentive plan offer because of the exemption in paragraph 4, the issuer does not have to comply with section 992A of the Act in relation to an eligible incentive plan offer made in the course of, or because of, unsolicited meetings or telephone calls reasonably held or made in connection with the offer.
7. ASIC exempts a financial product that is the subject of an eligible incentive plan offer from Part 7.9 of the Act where:
- (a) a recommendation is made by a person (other than the issuer) that a person to whom an eligible incentive plan offer has been made, acquire the financial product as a retail client; and
 - (b) the person who made the recommendation is not aware, and ought not reasonably to be aware, that any of the conditions set out in this instrument have not been met.

Where this instrument applies

8. This instrument applies where the issuer:
- (a) meets the requirements (including, for the avoidance of doubt, definitions) of the class order, except the definitions of:
 - (i) "eligible offer" in paragraph 9 of the Interpretation; and
 - (ii) "issuer" in paragraph 12 of the Interpretation; and
 - (iii) "offer document" in paragraph 15 of the Interpretation; and

- (b) would meet the requirement of the class order if the definition of an "eligible offer" in paragraph 9 of the Interpretation was to read:

"9. "eligible offer" means an offer for the issue or sale of performance rights in relation to fully-paid shares in an issuer in the same class as shares which have been quoted on the financial market operated by the New York Stock Exchange, the Chicago Stock Exchange, the London Stock Exchange, and the SIX Swiss Exchange throughout the 12 month period immediately before the offer without suspension for more than a total of 2 trading days during that period made under an employee share scheme extended only to eligible employees of the issuer;" and

- (c) would meet the requirements of the class order if, in the Interpretation, the following definition was inserted:

"9A. "employee share scheme", for a body corporate, means a scheme under which shares (or units of shares or options to acquire unissued shares or performance rights) in the body or a related body corporate may be acquired:

- (a) by, or of the benefit of:

- (i) employees of the body, or of the related body corporate;
or
- (ii) directors of the body, or of a related body corporate, who hold a salaried employment or office in the body or in a related body corporate; or

- (b) by a corporation all of whose members are:

- (i) employees of the body, or of a related body corporate; or
- (ii) directors of the body, or of a related body corporate, who hold a salaried employment or office in the body or in a related body corporate;" and

- (d) would meet the requirements of the class order if, in the definition of an "offer document" in paragraph 15 of the Interpretation, subparagraphs (c) and (d) were to read:

"(c) specifies in respect of the restricted stock units or the shares to which the restricted stock units relate:

- (i) the acquisition price in Australian dollars;
 - (ii) where the acquisition price is specified in a foreign currency, the Australian dollar equivalent of that price at the date of the eligible offer; or
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- (iii) where the acquisition price is to be worked out in the future under a formula, the Australian dollar or Australian dollar equivalent of that price were the formula applied at the date of the eligible offer; and
- (d) includes an undertaking, and an explanation of the way in which, the issuer who has a registered office in this jurisdiction will, during the period in which an eligible employee may acquire the shares, within a reasonable period of the employee requesting, make available to the employee:
 - (i) the current market price (or, where that price is denominated in a foreign currency, the Australian dollar equivalent of that price) of the shares to which the restricted stock units relate; and
 - (ii) where sub-subparagraph (c)(ii) or (iii) applies, the information referred to in that subparagraph as updated to that date."; and
- (d) would meet the requirements of the class order if, in the Interpretation, the following definition was inserted:

"16A. " performance right" means a conditional right to be issued a fully-paid ordinary share in the capital of the issuer or to receive a cash amount equivalent to the value of that share;"

Conditions

9. This issuer can only rely on the exemptions in this instrument where the issuer complies with the following conditions:
- (a) the conditions of the class order (excluding the condition specified in paragraph 3 of the Schedule to the class order) as expressed to apply to them;
 - (b) the issuer must take reasonable steps to ensure that the number of shares that may be issued in connection with a performance right, the subject of an eligible incentive plan offer, when aggregated with:
 - (i) the number of shares in the same class which would be issued were each outstanding offer with respect to shares, units of shares and options to acquire unissued shares under any other employee share scheme of the issuer to be accepted or exercised;
 - (ii) the number of shares in the same class issued during the previous 5 years pursuant to:
 - (A) an eligible incentive plan offer extended only to eligible employees; and

- (B) any other employee share scheme extended only to eligible employees of the issuer;

but disregarding any offer made, option or right acquired or share issued by way of or as a result of:

- (iii) an offer to a person situated at the time of receipt of the offer outside this jurisdiction; or
- (iv) an offer that did not need disclosure to investors because of section 708 of the Act; or
- (v) an offer that did not require the giving of a Product Disclosure Statement because of section 1012D of the Act; or
- (vi) an offer made under a disclosure document or Product Disclosure Statement,

must not exceed 5% of the total number of issued shares in that class of the issuer as at the time of the offer.

Interpretation

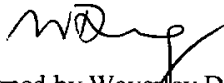
In this instrument:

- (a) unless a contrary intention appears, a word and phrase used in this instrument has the same meaning as it has in the class order;
- (b) **class order** means ASIC Class Order [CO 03/184] as in force on the date of this instrument and as amended from time to time by a disallowable legislative instrument within the meaning of the *Legislative Instruments Act 2003*;
- (b) **eligible incentive plan offer** means an offer for issue or sale of performance rights in relation to the shares made under an arrangement known as the Abbott Laboratories 2009 Incentive Stock Program (under which the performance rights are referred to as restricted stock units), and Australian Addendum to the Abbott Laboratories 2009 Incentive Stock Program, the terms of which are substantially in the same form as those provided to ASIC by letter from Baker & McKenzie on behalf of the issuer, dated 18 December 2012; and
- (c) **issuer** means Abbott Laboratories, a company incorporated under the laws of the state of Illinois of the United States of America and any related body corporate.

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Dated this 19th day of February 2013



Signed by Waverley Duong
as a delegate of the Australian Securities and Investments Commission

13/0208

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraphs 283GA(1)(a), 601QA(1)(a), 741(1)(a),
911A(2)(l), 992B(1)(a), 1020F(1)(a) and 1020F(1)(b) – Exemptions**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 283GA(1)(a), 601QA(1)(a), 741(1)(a), 911A(2)(l), 992B(1)(a), 1020F(1)(a), and 1020F(1)(b) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument [13/0208].

Commencement

3. This instrument commences on gazettal.

Exemptions

4. The issuer does not have to comply with Parts 6D.2 and 6D.3 (except section 736) and Part 7.9 of the Act where the issuer:
 - (a) makes an eligible incentive plan offer;
 - (b) offers to arrange for the issue of financial products under an eligible incentive plan offer; or
 - (c) issues a financial product under an eligible incentive plan offer,on the conditions set out in this instrument and for so long as the conditions are met.
5. ASIC exempts the issuer from Parts 2L.1, 2L.2, 2L.3, 2L.4, 2L.5, 6D.2 and 6D.3 (except section 736) of the Act where the issuer:
 - (a) makes an employee contribution plan offer;
 - (b) offers to arrange for the issue of financial products under an employee contribution plan offer; or
 - (c) issues a financial product under an employee contribution plan offer,

that does not involve the issuer offering any eligible employee of the issuer a loan or similar financial assistance for the purpose of, or in connection with, the acquisition of financial products to which the offer relates, on the conditions set out in this instrument for as long as the conditions are met.

6. For the avoidance of doubt, ASIC exempts a person who operates a managed investment scheme only by reason of operating a contribution plan relating to an employee contribution plan offer to which paragraph 5 of this instrument relates from section 601ED of the Act in relation to the operation of that managed investment scheme.
 7. Where the issuer is exempt from Part 7.9 of the Act in relation to the eligible incentive offer or the employee contribution plan offer referred to in paragraphs 4 or 5, the issuer is also exempt from the requirement to hold an Australian financial services licence for the provision of the following financial services:
 - (a) a financial service consisting of general advice reasonably given in connection with the eligible incentive offer or the employee contribution plan offer referred to in paragraphs 4 or 5 (including any general advice given in the offer document), where the issuer ensures that the offer document for the eligible incentive offer or the employee contribution plan offer includes a statement to the effect that any advice given by the issuer in connection with the offer is general advice only, and that employees should consider obtaining their own financial product advice from an independent person who is licensed by ASIC to give such advice;
 - (b) a custodial or depositary service in connection with the eligible incentive offer or the employee contribution plan offer referred to in paragraphs 4 or 5 where the issuer performs their duties in good faith and has sufficient resources to perform those duties;
 - (c) dealing in a financial product in the course of providing a custodial or depositary service covered by paragraph (b);
 - (d) issuing a financial product under the eligible incentive offer or the employee contribution plan offer covered by paragraph 4 or 5 of this instrument; and
 - (e) dealing in a financial product in connection with an eligible incentive offer or the employee contribution plan offer referred to in paragraphs 4 or 5 where any acquisition by purchase or disposal of the product (by the issuer) occurs either:
 - (i) through a person who holds an Australian financial services licence authorising the holder to deal in financial products; or
 - (ii) outside this jurisdiction and through a person who is licensed or otherwise authorised to deal in financial products in the relevant place; and
 - (f) in the case where paragraph 5 applies – dealing in an interest in a managed investment scheme that is exempt from section 601ED of the Act because of that paragraph.
 8. Where the issuer is exempt from Part 7.9 in relation to the offer referred into in paragraphs 4 and 5, the issuer does not have to comply with sections 736 and
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992A of the Act in relation to the eligible incentive offer or the employee contribution plan offer referred to in paragraphs 4 or 5 made in the course of, or because of, unsolicited meetings or telephone calls reasonably held or made in connection with the offer.

9. ASIC exempts a financial product that is the subject of an eligible incentive offer or the employee contribution plan offer referred to in paragraphs 4 or 5 from Part 7.9 of the Act where:
- (a) a recommendation is made by a person (other than the issuer) that a person to whom an eligible incentive offer or the employee contribution plan offer has been made acquire the financial product as a retail client; and
 - (b) the person who made the recommendation is not aware, and ought not reasonably to be aware, that any of the conditions set out in this instrument have not been met.

Where this instrument applies

10. This instrument applies where the issuer:

- (a) meets the requirements (including, for the avoidance of doubt, definitions) of the class order except the definitions of:
 - (i) "eligible offer" in paragraph 9 of the Interpretation; and
 - (ii) "issuer" in paragraph 12 of the Interpretation; and
 - (iii) "offer document" in paragraph 15 of the Interpretation; and
- (b) would meet the requirement of the class order if the definition of an "eligible offer" in paragraph 9 of the Interpretation was to read:

"9. "eligible offer" means an offer for the issue or sale of performance rights in relation to fully-paid shares in an issuer in the same class as shares which have been quoted on the financial market operated by an approved foreign market throughout the 3 month period immediately before the offer without suspension for more than 2 trading days during that period made under an employee share scheme extended only to eligible employees of the issuer;" and

- (c) would meet the requirements of the class order if, in the Interpretation, the following definition was inserted:

"9A. "employee share scheme", for a body corporate, means a scheme under which shares (or units of shares or options to acquire unissued shares or performance rights) in the body or a related body corporate may be acquired:

- (a) by, or for the benefit of:
-

4

13/0208

- (i) employees of the body, or of the related body corporate; or
 - (ii) directors of the body, or of a related body corporate, who hold a salaried employment or office in the body or in a related body corporate; or
- (b) by a corporation all of whose members are:
- (i) employees of the body, or of a related body corporate; or
 - (ii) directors of the body, or of a related body corporate, who hold a salaried employment or office in the body or in a related body corporate;"; and
- (d) would meet the requirements of the class order if, in the definition of an "offer document" in paragraph 15 of the Interpretation, subparagraphs (c) and (d) were to read:
- "(c) specifies in respect of the restricted stock units or the shares to which the restricted stock units relate:
- (i) the acquisition price in Australian dollars;
 - (ii) where the acquisition price is specified in a foreign currency, the Australian dollar equivalent of that price at the date of the eligible offer; or
 - (iii) where the acquisition price is to be worked out in the future under a formula, the Australian dollar or Australian dollar equivalent of that price were the formula applied at the date of the eligible offer; and
- (d) includes an undertaking, and an explanation of the way in which, the issuer who has a registered office in this jurisdiction will, during the period in which an eligible employee may acquire the shares, within a reasonable period of the employee requesting, make available to the employee:
- (i) the current market price (or, where that price is denominated in a foreign currency, the Australian dollar equivalent of that price) of the shares to which the restricted stock units relate; and
 - (ii) where sub-subparagraph (c)(ii) or (iii) applies, the information referred to in that subparagraph as updated to that date."; and
- (d) would meet the requirements of the class order if, in the Interpretation, the following definition was inserted:
-

"16A. "performance right" means a conditional right to be issued a fully-paid ordinary share in the capital of the issuer or to receive a cash amount equivalent to the value of that share;"

Conditions

11. This issuer can only rely on the exemptions in this instrument where the issuer complies with the following conditions:

- (a) the conditions of the class order (excluding the condition specified in paragraph 3 of the Schedule to the class order) as expressed to apply to them;
- (b) the issuer must take reasonable steps to ensure that the number of shares that may be issued in connection with a performance right, the subject of an eligible incentive plan offer and the employee contribution plan offer, when aggregated with:
 - (i) the number of shares in the same class which would be issued were each outstanding offer with respect to shares, units of shares and options to acquire unissued shares under any other employee share scheme of the issuer to be accepted or exercised;
 - (ii) the number of shares in the same class issued during the previous 5 years pursuant to:
 - (A) an eligible incentive plan offer extended only to eligible employees;
 - (B) an employee contribution plan offer extended only to eligible employees; and
 - (C) any other employee share scheme extended only to eligible employees of the issuer;

but disregarding any offer made, option or right acquired or share issued by way of or as a result of:

- (iii) an offer to a person situated at the time of receipt of the offer outside this jurisdiction; or
- (iv) an offer that did not need disclosure to investors because of section 708 of the Act; or
- (v) an offer that did not require the giving of a Product Disclosure Statement because of section 1012D of the Act; or
- (vi) an offer made under a disclosure document or Product Disclosure Statement,

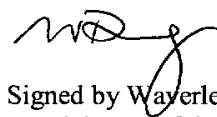
must not exceed 5% of the total number of issued shares in that class of the issuer as at the time of the offer.

Interpretation

In this instrument:

- (a) unless a contrary intention appears, a word and phrase use in this instrument has the same meaning as it has in the class order;
- (b) **class order** means ASIC Class Order [CO 03/184] as in force on the date of this instrument and as amended from time to time by a disallowable legislative instrument within the meaning of the *Legislative Instruments Act 2003*;
- (c) **eligible incentive plan offer** means an offer for issue or sale of performance rights in relation to shares, made under an arrangement known as the AbbVie Inc. 2013 Incentive Stock Program (under which the performance rights are referred to as restricted stock units), and the Australian Addendum to the AbbVie Inc. 2013 Incentive Stock Program, the terms of which are substantially in the same form as those provided to ASIC by letter from Baker & McKenzie on behalf of the issuer, dated 17 January 2013.
- (d) **employee contribution plan offer** means the plan under which participating eligible employees may save money by regular deductions from wages or salary (including salary sacrifice arrangements) towards paying for shares offer for issue or sale under the employee share scheme known as the AbbVie Inc. 2013 Employee Stock Program, and the Australian Addendum to the AbbVie Inc. 2013 Employee Stock Program, the terms of which are substantially in the same form as those provided to ASIC by letter from Baker & McKenzie on behalf of the issuer, dated 17 January 2013; and
- (e) **issuer** means AbbVie, Inc., a company incorporated under the laws of the state of Delaware of the United States of America and any related body corporate.

Dated this 19th day of February 2013



Signed by Waverley Duong
as a delegate of the Australian Securities and Investments Commission

13-0209

**Australian Securities and Investments Commission
Corporations Act 2001 – Subsection 741(1) – Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsection 741(1) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument [13-0209].

Commencement

3. This instrument commences on 21 February 2013.

Declaration

4. Chapter 6D of the Act applies to Perth Resources Limited ACN 160 889 583 (the *Issuer*) as if Part 6D.2 were modified or varied as follows:
 - a) omit paragraph 723(3)(b), substitute:
 - (b) the securities are not admitted to quotation within 3 months after the later of:
 - (i) the date of the disclosure document; and
 - (ii) the date of the latest supplementary disclosure document for the offer lodged with ASIC which:
 - (A) discloses that the securities are not admitted to quotation; and
 - (B) gives the applicant 1 month to withdraw their application and be repaid";
 - b) in paragraph 724(1)(a), omit the words “and that condition is not satisfied within 4 months after the date of the disclosure document”, substitute:
 - “and that condition is not satisfied within 4 months after the later of:
 - (iii) the date of the disclosure document; and
 - (iv) the date of the latest supplementary disclosure document for the offer lodged with ASIC which:
 - (A) discloses that the condition has not been satisfied; and
 - (B) gives the applicant 1 month to withdraw their application and be repaid”;

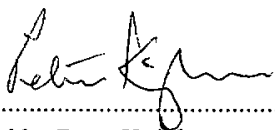
13-0209

- c) omit subparagraph 724(1)(b)(ii), substitute:
- “(ii) the securities are not admitted to quotation within 3 months after the later of:
 - (A) the date of the disclosure document; and
 - (B) the date of the latest supplementary disclosure document for the offer lodged with ASIC that discloses that the securities are not admitted to quotation and gives applicants 1 month to withdraw their application and be repaid”; and
- d) after subsection 724(1A), insert:
- “(1B) Where a supplementary disclosure document of the kind referred to in subparagraphs (1)(a)(iv) or (1)(b)(ii)(B) is lodged with ASIC, the person offering the securities must give the applicants:
 - (i) that supplementary disclosure document; and
 - (ii) 1 month to withdraw their application and be repaid.”.

Where this instrument applies

5. This instrument applies in relation to an offer or issue of securities of the Issuer under a disclosure document lodged with ASIC on 23 November 2012 where the issuer has lodged a supplementary disclosure document on or after the date of this instrument which describes the need for, and effect of, the relief provided in this instrument.

Dated this 21st day of February 2013



.....
Signed by Peter Knight
as a delegate of the Australian Securities and Investments Commission

13-0210

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraphs 911A(2)(l), 992B(1)(a), 1020F(1)(a) and
1020F(1)(b) – Exemptions**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 911A(2)(l), 992B(1)(a), 1020F(1)(a) and 1020F(1)(b) of the *Corporations Act 2001 (Act)*.

Title

2. This instrument is ASIC Instrument [13-0210].

Commencement

3. This instrument commences on gazettal.

Exemptions

4. The issuer does not have to comply with Part 7.9 of the Act where the issuer:
 - (a) makes an eligible incentive plan offer;
 - (b) offers to arrange for the issue of financial products under an eligible incentive plan offer; or
 - (c) issues a financial product under an eligible incentive plan offer;on the conditions set out in this instrument and for so long as the conditions are met.
5. Where the issuer is exempt from Part 7.9 in relation to an eligible incentive plan offer because of the exemption in paragraph 4, the issuer is also exempt from the requirement to hold an Australian financial services licence for the provision of the following financial services:
 - (a) a financial service consisting of general advice reasonably given in connection with the eligible incentive plan offer (including any general advice given in the offer document) where the issuer ensures that the offer document for the offer includes a statement to the effect that any advice given by the issuer in connection with the offer is general advice only, and that employees should consider obtaining their own financial product advice from an independent person who is licensed by ASIC to give such advice;
 - (b) a custodial or depositary service in connection with an eligible incentive plan offer where the issuer performs their duties in good faith and has sufficient resources to perform those duties;

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- (c) dealing in a financial product in the course of providing a custodial or depository service covered by paragraph (b);
 - (d) issuing a financial product under an eligible incentive plan offer covered by paragraph 4 of this instrument;
 - (e) dealing in a financial product in connection with an eligible incentive plan offer where any acquisition by purchase or disposal of the product by the issuer occurs either:
 - (i) through a person who holds an Australian financial services licence authorising the holder to deal in financial products; or
 - (ii) outside this jurisdiction and through a person who is licensed or otherwise authorised to deal in financial products in the relevant place.
6. Where the issuer is exempt from Part 7.9 in relation to an eligible incentive plan offer because of the exemption in paragraph 4, the issuer also does not have to comply with section 992A of the Act in relation to an eligible incentive plan offer made in the course of, or because of, unsolicited meetings or telephone calls reasonably held or made in connection with the offer.
7. ASIC exempts a financial product that is the subject of an eligible incentive plan offer from Part 7.9 of the Act where:
- (a) a recommendation is made by a person (other than the issuer) that a person to whom an eligible incentive plan offer has been made, acquire the financial product as a retail client; and
 - (b) the person who made the recommendation is not aware, and ought not reasonably to be aware, that any of the conditions set out in this instrument have not been met;
- but only in relation to the recommendation by the person.

Where this instrument applies

8. This instrument applies where the issuer:
- (a) meets the requirements (including, for the avoidance of doubt, definitions) of the class order, except the definitions of:
 - (i) “eligible offer” in paragraph 9 of the Interpretation; and
 - (ii) “issuer” in paragraph 12 of the Interpretation; and
 - (iii) “offer document” in paragraph 15 of the Interpretation; and

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- (b) would meet the requirements of the class order if the definition of an “eligible offer” in paragraph 9 of the Interpretation was to read:

“9. “eligible offer” means an offer for issue or sale of performance rights in relation to fully-paid shares in an issuer in the same class as shares which have been quoted on the financial market operated by the NASDAQ Global Select Market throughout the 12 month period immediately before the offer without suspension for more than a total of 2 trading days during that period made under an employee share scheme extended only to eligible employees of the issuer;” and

- (c) would meet the requirements of the class order if, in the Interpretation, the following definition was inserted:

“9A. “employee share scheme”, for a body corporate, means a scheme under which shares (or units of shares or options to acquire unissued shares or performance rights) in the body or a related body corporate may be acquired:

- (a) by, or for the benefit of:

- (i) employees of the body, or of the related body corporate; or
- (ii) directors of the body, or of a related body corporate, who hold a salaried employment or office in the body or in a related body corporate; or

- (b) by a corporation all of whose members are:

- (i) employees of the body, or of a related body corporate; or
- (ii) directors of the body, or of a related body corporate, who hold a salaried employment or office in the body or in a related body corporate;” and

- (d) would meet the requirements of the class order if, in the definition of an “offer document” in paragraph 15 of the Interpretation, subparagraphs (c) and (d) were to read:

“(c) specifies in respect of the performance rights or the shares to which the performance rights relate:

- (i) the acquisition price in Australian dollars;
- (ii) where the acquisition price is specified in a foreign currency, the Australian dollar equivalent of that price at the date of the eligible offer; or
- (iii) where the acquisition price is to be worked out in the future under a formula, the Australian dollar or Australian dollar equivalent of that price were the formula applied at the date of the eligible offer; and

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- (d) includes an undertaking, and an explanation of the way in which, the issuer who has a registered office in this jurisdiction will, during the period in which an eligible employee may acquire the shares, within a reasonable period of the employee requesting, make available to the employee:
 - (i) the current market price (or, where that price is denominated in a foreign currency, the Australian dollar equivalent of that price) of the shares to which the performance rights relate; and
 - (ii) where sub-subparagraph (c)(ii) or (iii) applies, the information referred to in that subparagraph as updated to that date.”; and
- (e) would meet the requirements of the class order if, in the Interpretation, the following definition was inserted:

“16A. “performance right” means a conditional right to be issued a fully-paid ordinary share in the capital of the issuer or to receive a cash amount equivalent to the value of that share;”.

Conditions

- 9. The issuer can only rely on the exemptions in this instrument if the issuer complies with the following conditions:
 - (a) the conditions of the class order (excluding the condition specified in paragraph 3 of the Schedule to the class order) as expressed to apply to them;
 - (b) the issuer must take reasonable steps to ensure that the number of shares that may be issued in connection with a performance right, the subject of an eligible incentive plan offer, when aggregated with:
 - (i) the number of shares in the same class which would be issued were each outstanding offer with respect to shares, units of shares and options to acquire unissued shares under any other employee share scheme of the issuer to be accepted or exercised;
 - (ii) the number of shares in the same class issued during the previous 5 years pursuant to:
 - (A) an eligible incentive plan offer extended only to eligible employees; and
 - (B) any other employee share scheme extended only to eligible employees of the issuer;

but disregarding any offer made, option or right acquired or share issued by way of or as a result of:

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- (iii) an offer to a person situated at the time of receipt of the offer outside this jurisdiction; or
- (iv) an offer that did not need disclosure to investors because of section 708 of the Act; or
- (v) an offer that did not require the giving of a Product Disclosure Statement because of section 1012D of the Act; or
- (vi) an offer made under a disclosure document or Product Disclosure Statement,

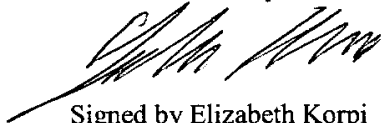
must not exceed 5% of the total number of issued shares in that class of the issuer as at the time of the offer.

Interpretation

In this instrument:

- (a) unless a contrary intention appears, a word and phrase used in this instrument has the same meaning as it has in the class order;
- (b) **class order** means ASIC Class Order [CO 03/184] as in force on the date of this instrument and as amended from time to time by a disallowable legislative instrument within the meaning of the *Legislative Instruments Act 2003*;
- (c) **eligible incentive plan offer** means an offer for the issue or sale of performance rights in relation to the shares, made under an arrangement known as the Polycom, Inc. 2011 Equity Incentive Plan (under which the performance rights are referred to as restricted stock units and performance shares) and the Australian Addendum to the Polycom, Inc. 2011 Equity Incentive Plan the terms of which are substantially in the same form as those provided to ASIC on 15 January 2013; and
- (d) **issuer** means Polycom, Inc., a foreign company incorporated under the laws of the State of Delaware, United States of America and any related body corporate.

Dated this 21st day of February 2013



Signed by Elizabeth Korpi
as a delegate of the Australian Securities and Investments Commission

CORPORATIONS ACT 2001
Subsection 601PB(2)

ASIC may deregister the managed investment schemes listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twenty-second day of February 2013

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Scheme

ARSN

C & P NORTH EAST AUSTRALIA DEVELOPMENT FUND
FRANKLAND RIVER VINEYARDS

118 165 398
093 400 518

CORPORATIONS ACT 2001
Subsection 601PA(3)

ASIC may deregister the managed investment scheme(s) listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twenty-second day of February 2013

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Scheme

ARSN

INVESTA COREPLUS TRUST	118 638 734
INVESTA DEVELOPMENT HOLDINGS TRUST	118 638 547
TITANIUM ASSET MANAGEMENT ASX 200 ALL WEATHER FUND	134 596 302

CORPORATIONS ACT 2001
Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twenty-second day of February 2013

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company

ARBN

CUSTANCE ASSOCIATES LIMITED	091 448 752
U.S.A.-CARS B.V.	111 345 021
VIVID LIME LTD	120 043 665
WOODRIDGE INVESTMENT HOLDINGS LIMITED	150 169 878

CORPORATIONS ACT 2001
Section 601CL(5)

ASIC has struck the foreign companies listed
below off the register.

Dated this twenty-second day of February 2013

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company

ARBN

CONCORDANCE CAPITAL MANAGEMENT LLC	151 517 958
HAYSSENSANDIACRE EUROPE LIMITED	081 647 083
IFM FILM ASSOCIATES INC	094 943 807
MITEL NETWORKS ASIA PACIFIC LIMITED	100 168 232
2164226 ONTARIO INC.	130 550 346

Corporations Act 2001
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

A.C.N. 161 599 248 PTY LTD ACN 161 599 248 will change to a public company limited by shares. The new name will be LUDOWICI GROUP LIMITED ACN 161 599 248.

BLUENERGY HOLDINGS LTD

ACN 146 351 671 will change to a proprietary company limited by shares. The new name will be BLUENERGY HOLDINGS PTY LTD
ACN 146 351 671.

BURU FITZROY LIMITED ACN 122 275 174 will change to a proprietary company limited by shares. The new name will be
BURU FITZROY PTY LTD ACN 122 275 174.

CONSOLIDATED MEDIA HOLDINGS LIMITED

ACN 009 071 167 will change to a proprietary company limited by shares. The new name will be CONSOLIDATED MEDIA HOLDINGS PTY LIMITED ACN 009 071 167.

COSTAEXCHANGE LTD ACN 002 687 961 will change to a proprietary company limited by shares. The new name will be
COSTAEXCHANGE PTY LTD ACN 002 687 961.

MACQUARIE ASSET FINANCE LIMITED ACN 064 219 601 will change to a proprietary company limited by shares. The new name will be
MACQUARIE ASSET FINANCE PTY LIMITED
ACN 064 219 601.

REAL ESTATE COMMERCIAL LIMITED ACN 128 486 537 will change to a proprietary company limited by shares. The new name will be REAL ESTATE COMMERCIAL PTY LTD
ACN 128 486 537.

REPUBLICA CAPITAL LTD ACN 155 336 986 will change to a proprietary company limited by shares. The new name will be
REPUBLICA CAPITAL PTY LTD ACN 155 336 986.