



Commonwealth of Australia Gazette

No. A060/11, Tuesday, 26 July 2011

Published by ASIC

# **ASIC Gazette**

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## **RIGHTS OF REVIEW**

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review* (RG57) and Information Sheet *ASIC decisions – your rights* (INFO 9) to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

ISSN 1445-6060 (Online version) ISSN 1445-6079 (CD-ROM version) Available from www.asic.gov.au Email gazette.publisher@asic.gov.au

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# Australian Securities and Investments Commission Corporations Act 2001 - Paragraph 601QA(1)(b) - Declaration

Under paragraph 601QA(1)(b) of the Corporations Act 2001(the Act), the Australian Securities and Investments Commission (ASIC) declares that Chapter 5C of the Act applies to the person specified in the Schedule as if the provisions of that Chapter as modified or varied by ASIC Class Order [CO 05/26] were modified or varied as follows:

after subsection 601GAB(2) insert: 1.

> "(2A) If there is more than one class of interests in the scheme, a formula or method that is to be used to set the issue price is taken to comply with subsection (2) for interests in a class of interests that are not quoted on a financial market if the formula or method is based on the assets, liabilities, revenues and expenses properly attributable to the class and number of interests in the class."

after subsection 601GAC(2) insert: 2.

> "(2A) If there is more than one class of interests in the scheme, a formula or method that is to be used to set the withdrawal amount is taken to comply with subsection (2) if the formula or method is based on the assets, liabilities, revenues and expenses properly attributable to the class and number of interests in the class."

#### Schedule

Aurora Funds Management Limited ACN 092 626 885 in its capacity as responsible entity of the Aurora Dividend Income Trust ARSN 151 947 732.

Dated this 14th day of July 2011. time agers

as a delegate of the Australian Securities and Investments Commission





ASIC

Australian Securities & Investments Commission

# Australian Securities & Investments Commission Corporations Act 2001 Section 915B

# Notice of Cancellation of an Australian Financial Services Licence

TO: Redpoint Investment Management Pty Ltd ACN 141 789 540 ("the Licensee") 203 George Street EAST MELBOURNE VIC 3002

Pursuant to section 915B of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Licence Number 353463 held by the Licensee with effect from the date on which this notice is given to the Licensee.

Dated this 14 July 2011.

Signed

Jason Waite, a delegate of the Australian Securities and Investments Commission





# Australian Securities & Investments Commission Corporations Act 2001 Section 915B

# Notice of Cancellation of an Australian Financial Services Licence

TO: Military Superannuation and Benefits Board of Trustees No.1 ABN 72 406 779 248 ("the Licensee")
GPO Box 636
Canberra City ACT 2601

Pursuant to section 915B of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Licence Number 238395 held by the Licensee with effect from the date on which this notice is given to the Licensee.

Dated this 19 July 2011

Signed

Joyce Krashow, a delegate of the Australian Securities and Investments Commission





ASIC

Australian Securities & Investments Commission

# Australian Securities & Investments Commission Corporations Act 2001 Section 915B

# Notice of Cancellation of an Australian Financial Services Licence

TO: The D.O.S. Partnership Pty Ltd ACN 068 172 458 ("the Licensee") 2B Shinfield Avenue ST IVES NSW 2075

Pursuant to section 915B of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Licence Number 241101 held by the Licensee with effect from the date on which this notice is given to the Licensee.

Dated this 15 July 2011

Signed

Frank Varga, a delegate of the Australian Securities and Investments

Commission

#### NOTICE UNDER SECTION 915F OF THE CORPORATIONS ACT 2001

Notice is given under section 915F of the Corporations Act 2001 that the Australian Securities and Investments Commission has taken the action set out in the Notice below, which action took effect on 13 July 2010.

# AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

# NOTICE OF SUSPENSION OF AUSTRALIAN FINANCIAL SERVICES LICENCE

To: Blue Chip RE Ltd

C/- Mr Sule Arnautovic External Administrator Jirsch Sutherland Level 4, 55 Hunter Street

SYDNEY NSW 2000

Under to paragraph 915B(3)(b) of the *Corporations Act 2001* (the Act), the Australian Securities and Investments Commission (ASIC) hereby suspends Australian financial services licence number 310192 held by Blue Chip RE Ltd A.C.N. 123 500 185 until 5 August 2011.

Dated this 13<sup>th</sup> day of July 2011.

GAI DI BARTOLOMEO

Delegate of the

Australian Securities and Investments Commission.

# NOTICE UNDER SECTION 915F OF THE CORPORATIONS ACT 2001

Notice is given under section 915F of the Corporations Act 2001 that the Australian Securities and Investments Commission has taken the action set out in the Notice below, which action took effect on 13 July 2010.

#### AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

# NOTICE OF SUSPENSION OF AUSTRALIAN FINANCIAL SERVICES LICENCE

To: Blue Chip AFSL Pty Ltd C/- Mr Sule Arnautovic External Administrator Jirsch Sutherland Level 4, 55 Hunter Street SYDNEY NSW 2000

Under to paragraph 915B(3)(b) of the *Corporations Act 2001* (the Act), the Australian Securities and Investments Commission (ASIC) hereby suspends Australian financial services licence number 308985 held by Blue Chip AFSL Pty Ltd A.C.N. 120 712 727 until 5 August 2011.

Dated this 13<sup>th</sup> day of July 2011.

GAI DI BARTOLOMEO

Delegate of the

Australian Securities and Investments Commission.

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# Australian Securities and Investments Commission Corporations Act 2001 – Subsection 111AT(1) – Exemption and Revocation

- 1. The instrument made under subsection 111AT(1) of the *Corporations Act 2001* (*Act*) on 30 September 2010 and designated ASIC Instrument [10-0948] is revoked.
- 2. The instrument made under subsection 111AT(1) of the Act on 20 October 2010 and designated ASIC Instrument [10-0950] is revoked.
- 3. The instrument made under subsection 111AT(1) of the Act on 14 January 2011 and designated ASIC Instrument [11-0041] is revoked.
- 4. Under subsection 111AT(1) of the Act, in relation to the financial years specified in Schedule A on the conditions set out in Schedule B, the Australian Securities and Investments Commission (*ASIC*) relieves Forest Enterprises Australia Limited ACN 009 553 548 (Subject to Deed of Company Arrangement)(Receivers and Managers Appointed) (*Company*) from the following disclosing entity provisions:
  - (a) section 292 of the Act
  - (b) section 314 of the Act and
  - (c) section 319 of the Act.

#### Schedule A

The financial year ended 30 June 2010
The financial year ended 30 June 2011 (*Relevant Financial Years*)

# Schedule B

- 1. The Company must lodge its financial reports for the Relevant Financial Years with ASIC on or before 14 January 2012.
- 2. The Company must put and keep in place arrangements for answering reasonable inquiries from its members that are:
  - (a) about the consequences of the external administration for them and
  - (b) made after this instrument takes effect and before the Company lodges its financial report for the Relevant Financial Years.

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The inquiries must be answered free of charge to members.

# Interpretation

In this instrument:

disclosing entity provisions has the meaning given by section 111AR of the Act.

Dated the 14th of July 2011

Signed by Pamela Kaye Smith

as a delegate of the Australian Securities and Investments Commission





ASIC

Australian Securities & Investments Commission

# Australian Securities & Investments Commission Corporations Act 2001 Section 915B

# Notice of Cancellation of an Australian Financial Services Licence

TO: The Efficient Frontier Pty Ltd A.B.N. 74 066 583 793 16 Cremorne Road Cremorne NSW 2090

Pursuant to section 915B of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Licence Number 232423 held by the Licensee with effect from the date on which this notice is given to the Licensee.

Dated this 15 July 2011

Signed

Frank Varga, a delegate of the Australian Securities and Investments Commission

# Australian Securities and Investments Commission Corporations Act 2001 — Paragraph 951B(1)(c) — Declaration

#### **Enabling legislation**

1. The Australian Securities and Investments Commission makes this instrument under paragraph 951B(1)(c) of the *Corporations Act 2001* (the *Act*).

#### Title

2. This instrument is ASIC Instrument 11-0723.

#### Commencement

3. This instrument commences on 26 July 2011.

#### Declaration

- 4. Part 7.7 of the Act applies in relation to Hillross Financial Services Limited ACN 003 323 055 as if section 946B of the Act (as inserted by regulation 7.7.10AE of the *Corporations Regulations 2001*) was modified or varied by omitting paragraph (2)(a) and substituting:
  - "(a) either:
    - (i) the providing entity has previously given the client a Statement of Advice that set out the client's relevant personal circumstances in relation to the advice (the *previous advice*) set out in that Statement; or
    - (ii) all of the following are satisfied:
      - (A) the providing entity is a natural person who is an authorised representative of a licensee;
      - (B) the licensee has previously given the client a Statement of Advice that set out the client's relevant personal circumstances in relation to the advice (the *previous advice*) set out in that Statement;
      - (C) the licensee gave the previous advice by acting through that natural person in their capacity as employee of the licensee; and;".

Dated this 18th day of July 2011

Signed by Maria Theodore

as a delegate of the Australian Securities and Investments Commission

# NOTICE UNDER SECTION 915F OF THE CORPORATIONS ACT 2001

Notice is hereby given under section 915F of the Corporations Act 2001 that the Australian Securities and Investments Commission has made an order, a copy of which is set out below, which order took effect on the date of service of the order on the person to whom it relates, being 18 July 2011.

# AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION IN THE MATTER OF KINETIC SECURITIES PTY LTD ACN 120 225 149

# SECTION 915C OF THE CORPORATIONS ACT 2001

To: Kinetic Securities Pty Ltd ACN 120 225 149 Level 9, 23 – 25 O'Connell Street Sydney NSW 2000

# NOTICE SUSPENDING AUSTRALIAN FINANCIAL SERVICES LICENCE UNDER SECTION 915C OF THE CORPORATIONS ACT 2001

## TAKE NOTICE that:

- Under paragraph 915C(1)(a) of the Corporations Act 2001 ("Act") the Australian Securities and Investments Commission ("ASIC") suspends Australian financial services licence no. 309743 ("Licence") held by Kinetic Securities Pty Ltd ACN 120 225 149 ("Kinetic").
- Under section 915H of the Act ASIC specifies that the Licence continues in effect, while suspended, as though the suspension had not happened for the purposes of:
  - (a) Section 911A of the Act (which requires a person who carries on a financial services business to hold an Australian financial services licence) and conduct in the period 15 July 2011 to 12 August 2011 comprising the disposal of a financial product, as a result of an obligation owed by Kinetic to a client as at the date of this notice.
  - (b) Division 2 of part 7.8 of the Act (and regulations made under that division) (which concerns the dealing with a client's money) regarding money paid to Kinetic in connection with a financial service provided by Kinetic.
  - (c) Paragraph 912A(1)(g) of the Act to the extent that it requires Kinetic to have a disputes resolution system complying with paragraph 912A(2)(b) of the Act (which requires Kinetic to be a member of one or more external dispute resolution schemes).
  - (d) Section 912B of the Act and regulations 7.6.02AAA and 7.6.02AA of the Corporations Regulations 2001 (which requires Kinetic to have arrangements for compensating retail clients including the holding of professional indemnity insurance cover).

Dated this 15th day of July 2011

Signed: Graeme Darcy Plath

Delegate of the Australian Securities and

Investments Commission

Your attention is drawn to subsection 911A(1) of the Corporations Act 2001 which provides that subject to this section, a person who carries on a financial services business in this jurisdiction must hold an Australian financial services licence covering the provision of the financial services and that a failure to comply with this subsection is an offence (see subsection 1311(1) of the Corporations Act 2001).

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ASIC

Australian Securities & Investments Commission

# Australian Securities & Investments Commission Corporations Act 2001 Section 915B

# Notice of Cancellation of an Australian Financial Services Licence

TO: Hewitt Associates Pty Ltd ACN 068 620 771("the Licensee") Level 33, 201 Kent Street Sydney NSW 2000

Pursuant to section 915B of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Licence Number 299968 held by the Licensee with effect from the date on which this notice is given to the Licensee.

Dated this 19<sup>th</sup> day of July 2011

Signed

Joyce Krashow, a delegate of the Australian Securities and Investments Commission



# Corporations (GFI Group Pte Ltd) Exemption Notice 2011

Corporations Act 2001

I, BILL SHORTEN, Minister for Financial Services and Superannuation, issue this Notice under section 791C of the Corporations Act 2001.

Dated 17 Just 2011

Minister for Financial Services and Suberennuation

# 1: Name of Notice

This Notice is the Corporations (GFI Group Pte Ltd) Exemption Notice: 2011.

# 2. Commencement

This Notice commences on the day it is notified in the Gazette.

# 3. Definitions

in this Notice:

Act means the Corporations Act 2001.

Application means the application for an exemption from the operation of Part 7.2 of the Act, submitted to ASIC by GFI, dated 12 May 2011, and subsequent correspondence prior to the issuing of this notice and relating to the application.

ASIC means the Australian Securities and Investments Commission:

Australian participant means a person in Australia who is a participant.

clearing and settlement facility has the same meaning as in Chapter 7 of the Act.

**GFI** means, GFI Group Pte Ltd, a private limited company formed under the law of Singapore.

derivative has the same meaning as in Chapter 7 of the Act.

financial year means GFI's financial year.

**Market** means the financial market for interest rate derivatives that is operated by GFI.

participant has the same meaning as in Chapter 7 of the Act.

professional investor has the meaning as in section 9 of the Act.

#### 4. Exemption

For section 791C of the Act, and subject to the conditions mentioned in sections 5 to 23 of this Notice, the Market is exempt from the operation of Part 7.2 of the Act.

## 5. Operation of the Market

- (1) GFI must operate the Market in all material ways as set out in the Application.
- (2) GFI, or an associate of GFI:
  - (a) must not operate a clearing and settlement facility for the Market; and
  - (b) may provide clearing and settlement arrangements for the Market only by providing information and by establishing procedures for the settlement of transactions effected through the Market.

#### 6. Licensing of GFI

GFI must, at all times, comply with section 911A(2E) of the Act, as modified by regulation 7.6.02AG of the *Corporations Regulations 2001*.

# 7. Participants who may trade on the Market

- (1) GFI must not admit an Australian participant to the Market, or allow an Australian participant to enter into a trade on the Market, unless the Australian participant is a professional investor who is trading on its own behalf or on behalf of another professional investor.
- (2) GFI must not trade on the Market on its own behalf or on behalf of anyone who is not a professional investor.

- 8. GFI to maintain approval by the Monetary Authority of Singapore and report certain changes
- (1) GFI must maintain the approval by the Monetary Authority of Singapore for GFI to conduct money broking business in Singapore.
- (2) GFI must notify ASIC, as soon as practicable and in writing, of the details of:
  - (a) the termination of, or any significant change to:
    - the approval by the Monetary Authority of Singapore for GFI to conduct money broking business in Singapore; or
    - (ii) GFI's regulatory status in Singapore; and
  - (b) any enforcement or disciplinary action taken against GFI by the Monetary Authority of Singapore or any other overseas regulatory authority.
- 9. Financial products that may be traded on the Market

The financial products that may be traded on the Market are interest rate derivatives.

- 10. Arrangements to manage conflicts of interest
- (1) GFI must establish and maintain arrangements to manage any conflicts of interest about activities undertaken by GFI in the operation of the Market.
- (2) The arrangements must be to the satisfaction of ASIC.
- 11. Arrangements to record order information and transactions
- (1) GFI must ensure that satisfactory arrangements are made for recording order information and transactions effected on the Market.
- (2) To comply with the condition set in subsection (1), the records must be kept in sufficient detail to show particulars of:
  - (a) the date and time that the order was received;
  - (b) the identity of the participant placing the order;
  - (c) the name and quantity of the financial product to which the order applies;

- (d) the designation of the order as a buy or a sell order;
- (e) any limit or stop price prescribed by the order;
- (f) the date and time at which the order expires;
- (g) details of any modification or cancellation of the order,
- (h) the price, quantity, date and time of execution of the order; and
- (i) the identities of the counterparties to the transaction.
- (3) The records must be kept and be available to ASIC for at least 5 years.

#### 12. Annual report

- (1) Within three months of the end of each financial year, GFI must give a report to ASIC that sets out the extent to which GFI has complied with the conditions in this Notice during that financial year.
- (2) The report must include the following information:
  - (a) a description of the activities that GFI has undertaken in the financial year in relation to the operation of the Market;
  - (b) if any material system outages occurred during the financial year that prevented Australian participants from using the Market:
    - (i) the number of such outages;
    - (ii) the duration of each outage;
    - (iii) the cause of each outage; and
    - (iv) a description of the means by which each outage was resolved.
  - (c) the names and number of Australian participants that were authorised to access the Market throughout the reporting period, identifying those still authorised to access the Market on the last day of the financial year;
  - (d) details of the volume of trading on the Market by Australian participants throughout the financial year;

- (e) the number and nature of significant complaints made to GFI by Australian participants who used the Market during the financial year, and the action taken by GFI in response to each complaint;
- (f) any specific regulatory issues that GFI encountered during the financial year and actions taken to resolve those issues (whether inside or outside Australia); and
- (g) details of any significant conflict of interest identified by GFI in relation to its operation of the Market during the financial year, and how each conflict of interest was resolved.

# 13. Minister may require GFI to submit to an audit

- (1) The Minister may, by written notice, require GFI to obtain an audit report in relation to:
  - (a) the annual report mentioned in section 11; and
  - (b) any information or statements accompanying the annual report.
- (2) The Minister may nominate either of the following to undertake the audit:
  - (a) ASIC; or
  - (b) another person or body that is suitably qualified.

## 14. Reporting of certain events involving an Australian participant

- (1) If GFI takes any disciplinary action against an Australian participant of the Market, GFI must, as soon as practicable, advise ASIC in writing of:
  - (a) the participant's name;
  - (b) the reason for and nature of the action taken; and
  - (c) when the action was taken.
- (2) If GFI has reason to suspect that an Australian participant has committed, is committing, or is about to commit, a contravention of the Act or a significant contravention of their obligations imposed by GFI in relation to the Market, GFI must, as soon as practicable, advise ASIC in writing of:
  - (a) the Australian participant's name; and
  - (b) details of the contravention or impending contravention; and

- (c) GFI's reason for that belief.
- 15. Reporting of certain events involving GFI or a director or secretary of GFI
- (1) If GFI becomes aware that GFI, or a director or secretary of GFI:
  - is the subject of a declaration of contravention in relation to a provision of the Act; or
  - (b) has been convicted of an offence under the Act; or
  - (c) has been disqualified from managing a corporation; or
  - (d) has been banned from providing financial services;
- (2) GFI must advise ASIC of the matter, in writing, within seven days after GFI becomes aware of the matter.
- 16. Notice if GFI ceases to operate the Market

GFI must, within seven days, advise ASIC in writing if it ceases to operate the Market in Australia.

## 17. Requirement to assist ASIC

If GFI receives a reasonable request from ASIC to give assistance to ASIC, or to a person authorised by ASIC, about the performance of ASIC's functions in relation to the operation of the Market, GFI must:

- (a) provide that assistance; and
- (b) give ASIC access to any information, document or books held by GFI.
- 18. GFI consents to the disclosure of Information or documents

GFI consents to the disclosure of any information or document relating to GFI:

- (a) by the Monetary Authority of Singapore to ASIC; or
- (b) by ASIC to the Monetary Authority of Singapore.
- 19. Requirement to enter into written arrangement with ASIC

GFI must enter into a written arrangement with ASIC for cooperation with ASIC in matters relating to this Notice.

# 20. Requirement to provide financial services efficiently, honestly and fairly

GFI must do all things necessary to ensure that the financial services associated with the operation of the Market are provided efficiently, honestly and fairly.

## 21. Adequate resources

GFI must have available adequate resources (including financial, technological and human resources) to provide the financial services associated with the operation of the Market and to carry out supervisory arrangements.

## 22. Requirement to submit to jurisdiction of Australian courts

GFI must submit to the jurisdiction of an Australian court in an action brought by ASIC in relation to the Market.

## 23. Local agent

GFI must appoint and maintain an agent in Australia at all times, who is authorised to accept on GFI's behalf, service of process from ASIC and, in relation to proceedings relating to financial services law, from any person referred to in section 659B(1) of the Act. In the event of the appointment of a new agent, GFI must advise ASIC in writing as soon as practicable.



# Corporations (ICAP Securities Limited) Exemption Notice Variation 2011 (No. 1)

Corporations Act 2001

I, DAVID BRADBURY, Parliamentary Secretary to Treasurer, make this Variation under subsection 791C(2) of the *Corporations Act 2001*.

Dated 150 July 2011

Parliamentary Secretary to Treasurer

# 1. Name of Variation

This Variation is the Corporations (ICAP Securities Limited) Exemption Notice Variation 2011 (No.1).

## 2. Commencement

This Variation commences on the date it is notified in the Gazette.

## 3. Variation

Corporations (ICAP Securities) Exemption Notice 2010 is varied as described in the Schedule.

# Schedule

# **Variation**

(Section 3)

#### [1] Section 3

omit the definition of 'Application' and substitute the following

#### **Applications** mean:

- (a) The application for an exemption from the operation of Part 7.2 of the Act, submitted to ASIC by ISL, dated 25 June 2009, and subsequent correspondence prior to the issuing of the exemption notice relating to the exemption application; and
- (b) The application to vary the exemption from the operation of Part 7.2 of the Act, submitted to ASIC by ISL, dated 15 June 2011, and subsequent correspondence prior to the issuing of the variation notice relating to the exemption variation application.

## [2] Section 3

omit the definition of 'Market' and substitute the following

**Market** means the financial market for credit derivatives and interest rate derivatives that is operated by ISL.

# [3] Section 5

omit subsection 5 (1) and substitute the following

(1) ISL must operate the Market in all material ways as set out in the Applications.

## [4] Section 7

omit subsection 7 (2) and substitute the following

(2) ISL must not trade on the Market on its own behalf or on behalf of anyone who is not a professional investor

## [5] Section 8

substitute

The financial products that may be traded on the Market are credit derivatives and interest rate derivatives.

## [6] Section 11

omit subsection 2(b) and substitute the following

- 2(b) if any material system outages occurred during the financial year that prevented Australian participants from using the Market:
- (i) the number of such outages;
- (ii) the duration of each outage;

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- (iii) the cause of each outage; and
- (iv) a description of the means by which each outage was resolved.

# Australian Securities and Investments Commission Corporations Act 2001 – Subsection 741(1) - Declaration

Under subsection 741(1) of the *Corporations Act 2001* (Act), the Australian Securities and Investments Commission (ASIC) declares that Chapter 6D of the Act applies in relation to the persons named in Schedule A, in the case referred to in Schedule B, as if section 711 of the Act were modified by:

- 1. Inserting the words "at any time during the last 2 years" after the words "has given or agreed to give" at the end of the first sentence in subsection 711(3).
- 2. Inserting a new subsection 711(3A) after subsection 711(3):
  - "(3A) The prospectus must set out any material amount that anyone has paid or agreed to pay, or the nature and value of any material benefit anyone has given or agreed to give at any time during the last 5 years:
  - (a) to a director, or proposed director, to induce them to become, or to qualify as, a director of the body; and
  - (b) for services provided by a person referred to in subsection (4) in connection with:
    - (i) the formation or promotion of the body; or
    - (ii) the offer of the securities; and
  - (c) if the prospectus is for interests in a managed investment scheme to the responsible entity:
    - (i) to procure acquisitions of interests in the scheme; or
    - (ii) for services provided under the constitution of the scheme.

To comply with this subsection it is not sufficient merely to state in the prospectus that a person has been paid or will be paid normal, usual or standard fees."

3. Omitting the words "subsections (2) and (3)" in the first sentence in subsection 711(4), and substituting the words "subsections (2), (3) and (3A)".

## Schedule A

Goldman Sachs & Partners Australia Capital Markets Limited ACN 004 463 263

#### Schedule B

1. An offer of unsecured investment notes of Goldman Sachs & Partners Australia Capital Markets Limited called 'Goldman Sachs Investment Notes', in respect of which a prospectus is lodged with ASIC on or about 5 August 2011.

Dated this 19<sup>th</sup> of July 2011.

Signed by Frances Wang-Ward

as a delegate of the Australian Securities and Investments Commission





ASIC

Australian Securities & Investments Commission

# Australian Securities & Investments Commission Corporations Act 2001 Section 915B

# Notice of Cancellation of an Australian Financial Services Licence

TO: Macquarie Capital Alliance Management Limited ACN 105 777 704 ("the Licensee") GPO Box 4294 Sydney NSW 1164

Pursuant to section 915B of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Licence Number 236894 held by the Licensee with effect from the date on which this notice is given to the Licensee.

Dated this 20<sup>th</sup> day of July 2011

Signed

Joyce Krashow, a delegate of the Australian Securities and Investments Commission

# Australian Securities and Investments Commission Corporations Act 2001 – Paragraph 601QA(1)(b) – Declaration

Under paragraph 601QA(1)(b) of the *Corporations Act 2001(the Act)*, the Australian Securities and Investments Commission (*ASIC*) declares that Chapter 5C of the Act applies to the person specified in the Schedule as if the provisions of that Chapter as modified or varied by ASIC Class Order [CO 05/26] were modified or varied as follows:

1. after subsection 601GAB(2) insert:

"(2A) If there is more than one class of interests in the scheme, a formula or method that is to be used to set the issue price is taken to comply with subsection (2) for interests in a class of interests that are not quoted on a financial market if the formula or method is based on the assets, liabilities, revenues and expenses properly attributable to the class and number of interests in the class."

2. after subsection 601GAC(2) insert:

"(2A) If there is more than one class of interests in the scheme, a formula or method that is to be used to set the withdrawal amount is taken to comply with subsection (2) if the formula or method is based on the assets, liabilities, revenues and expenses properly attributable to the class and number of interests in the class."

#### Schedule

Perpetual Investment Management Limited ACN 000 866 535 in its capacity as responsible entity of the Perpetual Economic Diversification Global Equity Fund ARSN 151 967 645.

Dated this 20<sup>th</sup> day of July 2011

Signed by Hamish Ratten

as a delegate of the Australian Securities and Investments Commission

# Australian Securities and Investments Commission Corporations Act 2001 – Paragraph 741(1)(b) - Declaration

Under paragraph 741(1)(b) of the *Corporations Act 2001 (Act)* the Australian Securities and Investments Commission (*ASIC*) declares that Chapter 6D of the Act applies to the person specified in Schedule A, in the case specified in Schedule B, as if Part 6D.2 of the Act were modified or varied as follows:

- (a) paragraph 723(3)(b) of the Act were omitted and the following substituted:
  - "(b) the securities are not admitted to quotation within 3 months after the later of:
    - (i) the date of the disclosure document; and
    - (ii) the date of the latest supplementary disclosure document for the offer lodged with ASIC which:
      - (A) discloses that the securities are not admitted to quotation; and
      - (B) gives applicants 1 month to withdraw their application and be repaid";
- (b) in subparagraph 724(1)(a) the words "and that condition is not satisfied within 4 months after the date of the disclosure document" were omitted and the following substituted: "and that condition is not satisfied within 4 months after the later of:
  - (iii) the date of the disclosure document; and
  - (iv) the date of the latest supplementary disclosure document for the offer lodged with ASIC which:
    - (A) discloses that the condition has not been satisfied; and
    - (B) gives applicants 1 month to withdraw their application and be repaid";
- (c) subparagraph 724(1)(b)(ii) were omitted and the following substituted:
  - "(ii) the securities are not admitted to quotation within 3 months after the later of:
    - (A) the date of the disclosure document; and
    - (B) the date of the latest supplementary disclosure document for the offer lodged with ASIC that discloses that the securities are not admitted to quotation and gives applicants 1 month to withdraw their application and be repaid"; and
- (d) after subsection 724(1A) inserting the following subsection:
  - "(1B) Where a supplementary disclosure document of the kind referred to in subparagraphs (1)(a)(iv) or (1)(b)(ii)(B) is lodged with ASIC, the person offering the securities must give the applicants:
    - (i) that supplementary disclosure document; and
    - (ii) 1 month to withdraw their application and be repaid.".

## Schedule A

Aurelia Resources Limited ACN 142 692 948 (Issuer).

## Schedule B

An offer or issue of securities of the Issuer under a disclosure document lodged with ASIC on 20 April 2011 where the Issuer has lodged a supplementary disclosure document on or after the date of this instrument which describes the need for, and effect of, the relief provided in this instrument.

Dated this 20<sup>th</sup> day of July 2011

Signed by Yu-chin Hsu

Udh-

as a delegate of the Australian Securities and Investments Commission

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CORPORATIONS ACT 2001 Subsection 601AB(3) & 601PB(3)

ASIC may deregister the organisations listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twenty-second day of July 2011

Luke Keet
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

**Name of Company** 

ACN

**GREENGATE HOMES PTY LTD** 

095 702 300

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CORPORATIONS ACT 2001 Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twenty-second day of July 2011

Luke Keet
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company ARBN

SUNDARAM BUSINESS SERVICES (SINGAPORE) PTE. LTD. 119 415 968

CORPORATIONS ACT 2001 Subsection 601AB(3)

ASIC may deregister the companies listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twenty-second day of July 2011

# Luke Keet DELEGATE OF THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company	ACN
@ HOME BUILDERS PTY LTD	131 860 887
A.C.N. 000 062 537 PTY LIMITED	000 062 537
A.C.N. 075 013 848 PTY LTD	075 013 848
A.C.N. 130 814 541 PTY LTD	130 814 541
ALBENA GROUP PTY LTD	119 239 066
ALCATRAZ HOLDINGS PTY. LTD.	080 321 455
ALLICA PTY. LTD.	004 171 215
AMINAC SHIPPING PTY LTD	093 505 741
A P GALLERIA GROUP PTY LTD	137 786 513
AR SHEIMOS PTY. LTD.	008 544 714
AUSTRALIAN MEDICAL CENTRES PTY LTD	111 555 161
BASEPARK PTY LTD	107 161 526
BELL PROJECTS PTY LTD	106 647 983
BETTA PRECAST PANELS PTY LTD	109 929 973
BILOBRO CONSTRUCTIONS PTY LTD	105 919 462
BISMI GROUP OF COMPANIES PTY LTD	105 243 847
BRAINCORP INTERNATIONAL PTY LTD	112 793 085
BROOKE HAVEN PTY. LTD.	083 192 938
CAVEVIEW PTY. LIMITED	095 242 489
CHRYSOTILE CORPORATION OF AUSTRALIA PTY LTD	000 446 202
CLASS ACT ROOFING PTY LIMITED	125 343 204
COAST WIDE CIVIL CONSTRUCTIONS PTY LTD	103 067 929
CON TSESMELIS PTY LTD	111 868 467
CROSSROW PTY. LTD.	010 816 430
DBTR PTY LTD	132 037 720
DELLIOS FAMILY SUPERANNUATION FUND PTY. LTD.	125 669 829
DIESEL ON GAS (INTERNATIONAL) PTY LTD	105 603 361
DIMPARA PTY LIMITED	113 484 076
DISCOVER TRAVEL PTY. LTD.	005 110 574
ELITE BUILDING GROUP PTY LTD	135 662 912
ENTARMI PTY LIMITED	113 484 094

Name of Company	ACN
FLASHTEC PTY LTD	067 499 352
G & J EVERETT FAMILY PTY LTD	107 367 975
GIVE WAY SHOES AND ACCESSORIES PTY LTD	136 766 633
HA'PENNY BRIDGE GEELONG PTY. LTD.	123 755 484
HARRY HARRY PTY LTD	113 883 088
HOBSONS BAY REAL ESTATE PTY LTD	090 707 243
HOWELL MORGAN MANAGEMENT PTY LIMITED	119 783 925
IAN N. MCMILLAN PTY. LIMITED	008 543 691
IDEA DATA PTY LIMITED	111 961 525
JOE'S ABSEILING PTY LTD	128 155 260
JOLLY SWAGMAN MOTEL PTY LIMITED	088 241 472
JUKHER PTY. LIMITED	124 347 459
K GLASS PTY LTD	123 563 155
LEJAMES TRANSPORT PTY LTD	114 484 972
LLEREVNI PTY. LTD.	089 415 145
M & A MOTORSPORT PTY LTD	109 034 988
MACSILK GROUP PTY LTD	130 089 753
MCDONNELL SECURITY PTY LTD	073 344 608
MERACORP PTY. LTD.	052 281 286
MG MADRUSAN PTY LTD	129 144 332
MICHAEL BELL & PARTNERS PTY LTD	002 930 001
MILANIS ISLAND PTY LTD	128 404 473
MOAG HOLDINGS PTY LIMITED	111 387 261
MOONDOG CORPORATION PTY. LTD.	125 669 721
NETWORK IT (AUST) PTY LTD	086 716 083
NOW & ZEN LANDSCAPES PTY LIMITED	096 622 776
OYSTER ENTERPRISES AUSTRALIA PTY LIMITED	003 243 525
OZ CAR WASH & CAR CARE CENTRE PTY LTD	102 901 235
PALMARAC PTY LIMITED	113 484 101
PARDIS CONSTRUCTIONS PTY LTD	077 922 564
PORTFOLIO URBAN MANAGEMENT (NO 17) PTY. LTD.	127 210 486
PREMIER HEALTH (AUST) PTY LTD	125 613 514
RDS IMPORT GROUP PTY LTD	134 012 749
R D S ROOFING PTY LTD	070 444 852
RECKITT BENCKISER (HOUSEHOLD) PTY LIMITED	008 419 501
RISQY LIMITED	122 992 125
SALVAGE & RECOVERY CONSULTING SERVICES PTY. LTD. SITEPASS PTY. LIMITED	082 220 568
SOUTHERN FOOD MANAGEMENT PTY LTD	063 698 706 076 576 308
SOUTH WEST TRADING PTY. LTD.	008 698 306
STEVE'S SPRAY WORKS PTY LTD	074 974 897
STEWARTMORRISON PTY LTD	113 400 605
SULPHURIC ACID PROPRIETARY LIMITED	007 542 127
THE BIG BAD BUD PTY LTD	132 046 863
TRANS NATIONAL COMMERCE PTY LIMITED	095 120 406
TSL TRANSPORT PTY LIMITED	105 904 265
TOE TRANSPORTER TO LIMITED	100 004 200

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Name of Company	ACN
VIMWISE PROPERTY DEVELOPMENTS PTY LTD	109 626 324
WALLING PTY LTD	128 065 841
WESCO READY MIXED CONCRETE (NSW) PTY LTD	111 040 450
WESTERN AUSTRALIA WIDE INVESTMENTS PTY LTD	119 068 361
WORLDCLASS HOLDINGS PTY. LTD.	131 105 354
ZARATELL PTY. LTD.	009 110 409
ZETA INTERNATIONAL PTY LTD	070 989 409

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CORPORATIONS ACT 2001 Subsection 601AA(4)

ASIC may deregister the companies listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twenty-second day of July 2011

Luke Keet
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company	ACN
ACCIDENT ASSESSORS PTY LTD	069 321 768
ACCOUNTING AND PERFORMANCE MANAGEMENT PTY LTD	138 029 973
ACRES TO BREAKERS EXCAVATIONS PTY. LIMITED	097 030 781
A EXCLUSIVE TIMBER BLIND AND SHUTTER CO PTY LTD	083 012 159
AG BELT PTY LTD	110 042 843
AHMEDI GROUP PTY LTD	142 886 762
ALCONN PTY LTD	131 897 991
ALIAXIS HOLDING AUSTRALIA PTY LIMITED	149 203 083
ALLINIAN PTY LIMITED	086 611 654
ALMA & CO PTY. LTD.	006 985 860
ALMONE PTY LTD	105 086 600
APILITY PTY LTD	139 377 816
ARCALIA PTY LTD	008 924 365
ARCOTECH INDUSTRY PTY LTD	101 676 582
AUSSIE CONVEYOR SOLUTIONS PTY LTD	126 721 640
AUSSIE STANDARD PTY LTD	138 159 145
AUSTRALASIAN AGED CARE SERVICES PTY. LTD.	104 967 777
B. I. RUN-OFF NO.2 PTY LTD	125 926 132
B & P (SYDNEY) PTY LTD	120 407 285
BAILEYS LOCKYER PTY. LTD.	009 738 434
BALKIS PTY. LTD.	065 104 510
BENZIE PTY LTD	110 396 980
BEVERIDGE SUPERANNUATION PTY LTD	104 537 055
BLUE PRINT IDEOLOGY PTY LTD	131 450 489
BNT INTERNATIONAL MANAGEMENT PTY LTD	109 756 998
BODY BROWN EPPING PLAZA PTY. LTD.	089 333 719
BOYD TRANSPORT SERVICES PTY. LIMITED	085 500 296
BRACAS ENGINEERING PTY. LIMITED	075 803 511
BRADCO MANAGEMENT PTY LTD	147 032 906
BRAD J O'CONNOR PTY. LTD.	120 236 213
BRIGHT TRADING PTY LTD	142 861 265

Name of Company	ACN
BUSINESS KITS PTY. LTD.	115 166 973
C & P DOBSON ENTERPRISES PTY. LTD.	061 004 304
C & T INTERNATIONAL SALES PTY LTD	074 810 343
CABLING PLUS PTY. LIMITED	079 100 128
CEMPAC PTY LTD	137 459 762
CHAMBERLAIN FARMING PTY LTD	127 865 025
CHRIS & JULIE PTY LTD	115 092 914
CIVPAC CONSTRUCTIONS PTY LTD	129 250 497
CJL GROUP PTY. LTD.	088 013 405
CLEAR TECHNIQUES PTY LTD	133 026 114
CLOVER HOTELS (BENDIGO) PTY. LTD.	082 656 013
COLWELL COMPUTING PTY LTD	002 484 853
C R H DESIGN DRAFTING PTY LTD	079 038 029
CRI-SAW PTY. LIMITED	003 496 982
CULCAIRN HOTEL PTY LTD	115 224 854
D.M. ARCHER PTY. LTD.	102 692 446
D & S GRANT SERVICES PTY. LTD.	109 421 865
DALESUN CUSTODIANS PTY LTD	141 156 603
DANNELL LAWYERS PTY LTD	145 085 767
DAS IS GUT PTY LTD	126 187 693
DAVIS, MAIR & CO PTY. LIMITED	083 192 876
DA WAY PTY LTD	085 311 726
D C D'ANGELO DEVELOPMENT PTY LTD	109 413 390
DIXONS CREEK DEVELOPMENTS PTY. LTD.	083 073 367
DM BUNDALL PUBLISHING PTY LIMITED	137 458 194
DRAKE INVESTMENTS PROPRIETARY LIMITED	004 441 945
E & J KWON ENTERPRISES PTY LTD	127 798 743
EARTH FUTURES PTY LTD	093 251 337
EATON CORPORATION PTY. LIMITED	130 638 505
EJAM PTY LIMITED	083 250 408
ENERGY FOOD AUSTRALIA PTY LTD	071 472 976
EVERYTHING IS RELATED PTY LTD	128 876 746
EVOLUTION LANDSCAPING PTY LIMITED	084 391 051
FITZAND PTY LTD	098 964 713
FLETCHER & JONES PTY. LTD.	004 887 003
FOLLANDA PTY LTD	001 481 401
FORTUNE STAR PTY. LTD.	096 016 714
FREECUPS AUSTRALIA PTY LTD	146 262 599
FREYCINET HOLDINGS PTY. LIMITED	079 325 232
GATAGER PTY LTD	126 579 468
GENUINE VEHICLE IMPORTS PTY. LTD.	087 881 614
GET MOJO PTY LTD	114 434 507
GMR ROAD AND RACE PTY LTD	138 504 284
GOODO SHOPFITTIG & BUILDING PTY. LTD.	142 174 230
GROUSE HOUSE CONSTRUCTION COMPANY PTY. LTD.	128 625 605
GROWORKS PTY LTD	105 832 666

Name of Company	ACN
GUKS PTY LTD	144 566 245
G1 PTY LTD	128 864 380
HELMSBOROUGH PTY. LTD.	010 647 551
HIGHETT APARTMENTS PTY. LTD.	088 391 673
HOOP'S HOLDINGS PTY LTD	078 187 818
I.D.A. COMPUTING AND DRAFTING SERVICES PTY. LTD.	006 053 729
IAN 'G' ANDERSON & ASSOCIATES PTY. LTD.	005 454 893
IMEX APARTMENTS PTY LTD	124 428 575
IMPACT GLAZING PROJECTS PTY LTD	127 392 287
INCIDENT MANAGEMENT SOLUTIONS PTY. LTD.	132 752 106
INDEPENDENT LIGHTING COUNCIL OF AUSTRALIA LIMITED	144 885 174
INTEGRATED CAPITAL PTY LTD	118 541 843
INTERNATIONAL OCEANIC SOLUTIONS PTY. LIMITED	144 720 301
IN TUNE CORPORATE PTY LTD	133 177 009
IOE SERVICES PTY LTD	127 298 300
I SPY CLOTHING PTY. LTD.	056 267 184
J.M. & A.M. HOLDINGS PTY. LTD.	064 603 265
J & C COSGROVE PTY LTD	103 534 792
JAPONIA PTY LTD	069 325 248
JELENA RIDGE PTY. LTD.	079 678 112
JENAVA PTY LTD	083 162 609
JOANA FULLER PTY LTD	105 129 806
JOHN HAWORTH PLASTERING PTY LTD	105 467 294
K CARTER PTY LTD	101 334 829
KENDY AGENCIES PTY. LTD.	005 692 599
KIM SANG PTY LTD	105 114 636
KRK SOLUTIONS PTY LTD	096 124 202
KRYSTANYANA PTY. LTD.	119 461 246
L & B MAX PTY LTD	001 455 947
LIGHT SPACE PTY LTD	119 955 681
LINSECT PTY. LTD.	006 890 008
LIST MY RESUME PTY LTD	126 509 519
LODER TRANSPORT SYSTEMS PTY LTD	006 792 981
LORANE PTY. LIMITED	003 839 263
M.L.S. BUILDING AND LANDSCAPING PTY. LTD.	007 052 511
M & V HOIPO PTY LTD	065 439 947
MALT ENTERPRISES PTY LTD	126 405 605
MARLO GROUP HOLDINGS PTY LTD	132 519 861
MB TRANSPORT EQUIPMENT PTY LTD	131 461 393
MCG INVESTMENTS PTY. LTD.	063 810 757
MCKENZIE'S CLEANING PTY. LTD.	058 135 069
MCM ENTERTAINMENT AUSTRALASIA PTY LTD	006 604 182
MEANEL MORTGAGE LENDING PTY LTD	126 446 179
MEKEAR PTY LTD	106 590 176
MIKE SCANLON CONSULTING PTY LTD	151 796 557
MINI MELTS PTY LTD	053 667 388

Name of Company	ACN
MIPHEL HOLDINGS PTY LTD	114 154 693
ML 010 642 841 PTY LTD	010 642 841
MOSCROP INVESTMENTS PTY LTD	082 789 624
MPSA GROUP PTY LTD	102 909 160
MUNDRO PTY. LTD.	060 300 165
MUSIC WISH PTY LTD	126 468 200
MUTUALITY PTY LTD	139 483 800
NASF PTY. LTD.	082 105 744
NEWCASTLE AIR CARGO SERVICES PTY LIMITED	114 758 128
NEWPORT EQUIPMENT PTY LTD	121 462 299
NEYONG PTY. LTD.	074 423 224
OBUS HEALTH PTY LTD	141 817 969
OCTAGONAL DEVELOPMENTS PTY LTD	101 780 205
OM TREK PTY. LTD.	084 197 857
OPTIMUM CREDIT CORPORATION PTY LIMITED	097 265 908
OS METRO CLEANING PTY LTD	108 829 269
OZL LOG PTY LTD	115 722 793
PKE PROPERTY SALES PTY LTD	137 485 593
PLATIGO CORPORATION PTY LTD	122 371 528
PROCISS PTY LIMITED	080 851 109
PRODIGI PHOTOGRAPHIC PTY LTD	084 920 801
PRO POOLS PTY LTD	140 663 636
PSO INVESTMENTS PTY LTD	109 752 258
PVZC BUILDERS AND DESIGNERS PTY LTD	140 011 472
QUEENSLAND GEOGRAPHICS PTY. LTD.	011 047 019
R.J. LIGHTOWLERS PTY. LTD.	004 429 387
R & T CARTER PTY LTD	108 710 407
REALTRONIX TECHNOLOGY PTY LTD	120 685 109
RICCHEZZA PTY LTD	131 327 323
RIDGETOP REFUELING PTY LIMITED	098 046 489
RIVER DALLAS INVESTMENTS PTY. LTD.	130 155 529
R K ENTERPRISES (AUSTRALIA) PTY LTD	139 052 127
ROB & JULES PTY LTD	140 175 999
ROSCONDI ENTERPRISES PTY. LTD.	010 047 891
ROWINADON PTY LTD	086 311 417
RUHLE FARMING PTY. LTD.	009 979 953
SALECLAN PTY. LTD.	083 106 674
SANAN PAN PTY LTD	114 748 524
SDA DEVELOPMENTS PTY LIMITED	121 025 830
SECURE YOUR WORLD SURVEILLANCE PTY LTD	143 902 907
SERIAL LODGE PTY. LTD.	007 274 777
SERIOUSLY SMART BUSINESS PTY LTD	120 674 035
SHARMIST PTY LTD	112 445 397
SK FABRICATION PTY LTD	114 703 310
SLATY CREEK PTY LTD	074 771 378
SMK VENTURES PTY LTD	133 581 058

Name of Company	ACN
SNAPCHAT PTY. LTD.	138 009 524
ST JUDE PTY LTD	002 940 285
STUNNED PTY. LTD.	146 876 468
STYLELINE MARINE PTY LTD	055 663 824
SUNSTATE HYDROPONICS PTY. LTD.	064 670 220
SUPERCON PTY LIMITED	065 268 788
SUPER STAMPS PTY LTD	078 548 437
SURFCOAST DESIGNER HOMES PTY LTD	131 665 720
SYDNEY LUCKY STAR PTY LTD	109 670 484
TALMATA PTY LIMITED	114 468 398
TANBIT PTY. LIMITED	053 432 812
TARGETING COMMUNICATION RESULTS PTY. LTD.	103 011 623
TAYLOR DRILLING SERVICES PTY LTD	097 350 555
THE GOSPEL HERALD PTY. LTD.	126 539 900
THT ENTERPRISES PTY. LTD.	134 816 756
T K INTERNATIONAL TRADING PTY LTD	145 526 889
TRANET PTY. LTD.	144 709 517
TRAVEL INSURANCE MANAGERS PTY LTD	116 486 241
TRUE BUILDING PRODUCTS PTY LTD	141 910 483
TSL PLASTERING PTY LTD	150 672 512
U-DIG PTY LTD	135 837 222
ULYSSES NOMINEES PTY LTD	008 847 381
V.C. & K.A. HOWE PTY. LTD.	009 543 355
VAN DER GIEZEN PTY LTD	009 245 523
VANSPALL NOMINEES PTY. LIMITED	008 577 197
VERNON'S MOBILE AUTO ELECTRICS PTY LTD	001 745 311
VIPA CLOTHING PTY. LTD.	120 694 420
VITANGELA PTY. LTD.	057 662 512
VIVIENNE TIPPETT & ASSOCIATES PTY. LIMITED	078 659 337
WEIZ PTY LTD	131 565 690
WELFORD DOWNS PTY. LTD.	074 198 744
WEST KINGTON INVESTMENTS PTY LTD	098 751 921
WM & TH PTY LTD	127 840 019
YARRA VALLEY LIQUID WASTE REMOVAL PTY. LTD.	128 822 079
ZHONGNENG INVESTMENT (AUSTRALIA) PTY LTD	151 219 368
100KNOTS PTY LTD	114 916 666

A060/11, Tuesday, 26 July 2011 Company/Scheme deregistrations

CORPORATIONS ACT 2001 Section 601CL(5)

ASIC has struck the foreign companies listed below off the register.

Dated this twenty-second day of July 2011

Luke Keet
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company ARBN

KITPENG MANAGEMENT LIMITED 094 781 370 NICHOLAS-APPLEGATE CAPITAL MANAGEMENT LLC 086 548 969

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Corporations Act 2001 Subsection 164(3)

Company change of type

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so

#### **BEVERAGE DISPENSING & MONITORING LTD**

ACN 124 451 903 will change to a proprietary company limited by shares. The new name will be BEVERAGE DISPENSING & MONITORING PTY LTD ACN 124 451 903.

**CHEMKAY PTY** ACN 051 005 915 will change to a proprietary company limited by shares. The new name will be CHEMKAY PTY LTD ACN 051 005 915.

**LEGALFUND LTD** ACN 138 606 676 will change to a proprietary company limited by shares. The new name will be LEGALFUND PTY LTD ACN 138 606 676.

#### MONEY MATTERS CORPORATION LIMITED

ACN 086 992 752 will change to a proprietary company limited by shares. The new name will be A.C.N. 086 992 752 PTY. LTD. ACN 086 992 752.

**RESGEN RESOURCES PTY LTD** ACN 150 015 446 will change to a public company limited by shares. The new name will be RESGEN RESOURCES LTD ACN 150 015 446.

#### **CHARLOTTE BREEN FOUNDATION LIMITED**

ACN 062 966 634 will change to a proprietary company limited by shares. The new name will be CHARLOTTE BREEN FOUNDATION PTY LIMITED ACN 062 966 634.

JACK BRABHAM ENGINES LIMITED ACN 108 108 425 will change to a proprietary company limited by shares. The new name will be JACK BRABHAM ENGINES PTY LTD ACN 108 108 425.

LYPPARD AUSTRALIA LIMITED ACN 007 008 906 will change to a proprietary company limited by shares. The new name will be LYPPARD AUSTRALIA PTY LTD ACN 007 008 906.

# NOBLELINK FINANCIAL SERVICES PTY. LTD. ACN 112 981 718 will change to a public company limited by shares. The new name will be NOBLELINK FINANCIAL SERVICES LIMITED ACN 112 981 718.