

### User guide

### Registered Agent Portal

How to change company details - change to members register



# How to change company details - change to members register

- You will need to log in to your online account before you begin.
- You must submit this form within 28 days of any changes to details of members.
- You must be aware of the following information in regards to shares:
  - All companies must notify ASIC if they cancel or issue shares. Notifications must be received within 28 days of the change occurring.
  - Proprietary companies must also notify ASIC of any changes to:
    - the company's share structure.
    - · details of their top 20 members.
- Public companies must notify of changes to their share structure after they have received their annual company statement, if changes have occurred. Notification must be received within 28 days of the date of issue of the annual company statement.
- Special cases (for proprietary companies) and their lodging periods:
  - Court-ordered corrections of the register must be notified to ASIC as specified by the court order or within one month of the court order.
  - Reductions in share capital must be notified to ASIC within 14 days after the passing of the resolution to reduce share capital.
- Companies limited by guarantee, or companies limited by shares and guarantee who have not issued any shares.
- These companies are not required to provide any details about share structure or members.
- Transferring Financial Institutions are not required to provide details of member shares that meet the requirements of Reg. 12.8.03.

The screens and data pictured in this guide are examples only. Actual screens can have minor differences in text and layout.



Forms manager

Registered agent

111

**III**.

111

m

m.

**Hide Documents** 

### Start transaction

1. Once logged in, select **Start new** form in the left hand menu.

Australian Securities & Investments Commission Inbox (11) Agent CITIZEN, JOHN Agent No. 12345 Start new form Contact EXAMPLE COMPANY PTY LTD ACN 000 000 000 Forms in progress (6) Email 12345678 Forms submitted View company details Inbox Charges Reports Date Form ACN Company Name Lodgement History Transaction Listing 11/08/2011 16:03 Transaction Listing Report Outstanding Debits 05/06/2011 03:43 RC05 - Confirmation notice 000 570 114 TEST COMPANY A PTY LTD 05/06/2011 03:41 RC05 - Confirmation notice 007 560 581 TEST COMPANY B PTY LTD Profile 05/06/2011 03:41 RC05 - Confirmation notice 551 789 872 Change password TEST COMPANY C PTY LTD Update contact details 25/05/2011 18:54 551 789 907 RC05 - Confirmation notice TEST COMPANY D PTY LTD Update ABN for Auskey 25/05/2011 18:29 551 789 970 RC05 - Confirmation notice TEST COMPANY E PTY LTD user 000 405 845 23/05/2011 16:25 RC05 - Confirmation notice TEST COMPANY F PTY LTD Finish 03/05/2011 14:06 RC05 - Change of company name certificate 000 570 114 TEST COMPANY G PTY LTD Logoff 30/04/2011 12:37 RC08 - Charge Certificate 550 585 183 TEST COMPANY H PTY LTD Help 30/04/2011 11:43 RC08 - Charge Certificate 000 687 230 TEST COMPANY I PTY LTD Ask a question 30/04/2011 11:31 RC05 - Change of company name certificate 550 471 219 TEST COMPANY J PTY LTD How this works 21/04/2011 18:01 RC05 - Confirmation notice 551 790 688 Technical FAQ TEST COMPANY K PTY LTD Lodgement 14/04/2011 18:05 RC05 - Confirmation notice 551 789 907 TEST COMPANY L PTY LTD Responsibilities 12/04/2011 18:02 RC05 - Confirmation notice 551 789 907 TEST COMPANY M PTY LTD User Guides 24/03/2011 11:38 RC05 - Company Statement 000 687 230 TEST COMPANY N PTY LTD Links Search ASIC Search ABR Show all documents Viewing Forms in the Inbox To view the form that has been sent to you, click on the form code. A print file will then be loaded into a new browser window in Adobe PDF format. You can print the form using the Adobe toolbar. You must have Adobe reader Version 5 or higher to print this form.

> ASIC Home | Privacy Statement | Conditions of use | Feedback Copyright 2003-2014 Australian Securities & Investments Commission

ASIC



### Select form type

1. From the list of available forms, select **484**.

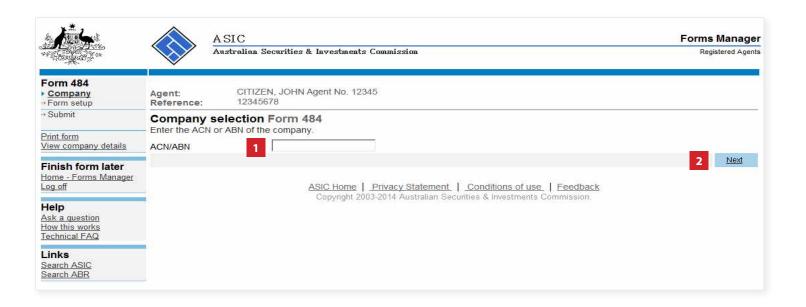
The screens and data pictured in this guide are examples only. Actual screens can have minor differences in text and layout.

ASIC Forms manager Australian Securities & Investments Commission Registered agent Inbox (11) Agent CITIZEN, JOHN Agent No. 12345 Start new form Contact John CITIZEN Forms in progress (6) Email john.citizen@example.com Forms submitted View company details Start a new form Description Form Charges Reports Change to Company Details V2 484 Lodgement History Use this form to notify ASIC of: Transaction Listing Outstanding Debits Change of address Profile · Appoint or cease company officeholder . Change of name - officeholders or members Change password · Change to members' register Update contact details Change to share structure Update ABN for Auskey . Change of details - ultimate holding company user Change to special purpose company status Finish 205A Notification of resolution - change of company name Logoff 361 Registered Agent ceasing to act 362 370 Appointment or cessation of registered agent Help Resignation of Officeholder V3 Ask a question 410B Change of company name reservation How this works 410F Extension of name reservation Technical FAQ Statement in relation to Company solvency V2 485 Lodgement 492 Request for correction Responsibilities 5100A Registration of a managed investment scheme User Guides 6010 Voluntary Deregistration of a Company Supplementary Document V4 902 Links Supplementary Document V5 Search ASIC **RA61** Review Date Report Search ABR **RA67** Company Debt Report Request for Company Details RA71 **Financial Statements** Form Description Copy of financial statements and reports 388 Amendment of previously lodged financial statements and reports **Product Disclosure Statements** Description Form PDS in-use notice FS89 Notice of change in a PDS Notice that a product in a PDS has ceased to be available ASIC Home | Privacy Statement | Conditions of use | Feedback Copyright 2003-2014 Australian Securities & Investments Commission.



### **Enter ACN/ABN**

- 1. Enter the ACN/ABN.
- 2. Select **Next** to continue.





## Confirm company details

- 1. **Review** the company details.
- 2. Select **Yes** to confirm.
- 3. Select **Next** to continue.

ASIC Forms Manager Australian Securities & Investments Commission Registered Agents Form 484 Form setup CITIZEN, JOHN Agent No. 12345 Agent: Company: EXAMPLE COMPANY PTY LTD ACN 000 000 000 - Submit Reference: 12345678 Print form Company confirmation Form 484 View company details **EXAMPLE COMPANY PTY LTD** ACN 000 000 000 Finish form later Is this the correct company? Home - Forms Manager Log off Yes No Next Help Ask a question ASIC Home | Privacy Statement | Conditions of use | Feedback Copyright 2003-2014 Australian Securities & Investments Commission. How this works Technical FAQ Links Search ASIC Search ABR

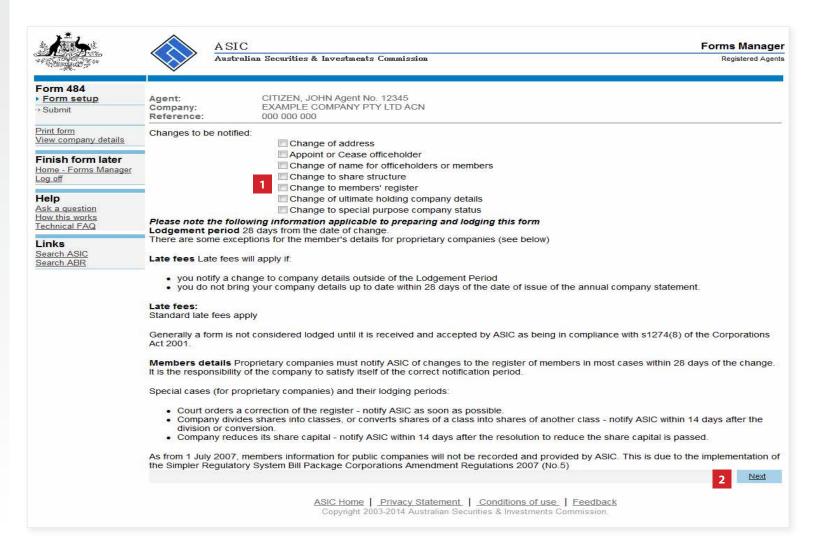


### Select change to be notified

1. Select Change to members register.

**Note**: If you are changing the total number of shares for this company, please also select **Change to share structure**. Refer to the Registered Agent User Guide - how to change company details - change to share structure for further assistance with share structure changes.

2. Select **Next** to continue.





### Change/ add details to members register

- **1. Review** the existing member information. From here you can:
- **2.** Add a new member to the register (go to page 9), or
- **3.** Change an existing member's shareholdings (go to page 12), or
- **4. Cease a member.** Select **Change** against the member you want to cease and go to page 15.

ASIC Forms Manager Australian Securities & Investments Commission Registered Agents Form 484 ✓ Form setup Agent: CITIZEN, JOHN Agent No. 12345 Company: Reference: EXAMPLE COMPANY PTY LTD ACN 000 000 000 Members 12345678 → Submit Members - summary Form 484 Print form You can change a members holdings or add a new member View company details **Current members** Finish form later Home - Forms Manager Log off EXAMPLE, User 1 EXAMPLE, User 2 Help Add a new member to the register 2 Ask a question How this works View share holdings by class Technical FAQ Next Links Search ASIC ASIC Home | Privacy Statement | Conditions of use | Feedback Search ABR Copyright 2003-2014 Australian Securities & Investments Commission



### Adding a new member

- 1. Enter the date of entry onto register.
- Select an existing officeholder from the drop-down list, or enter the person name, or enter the organisation name/ACN.
- 3. Enter the **address** of the new member.
- 4. Select whether the shares are **held jointly.**

**Note**: If the share are jointly held, you will be prompted to add the details of the joint shareholder.

5. Select **Next** to continue.

ASIC Forms Manager Australian Securities & Investments Commission Registered Agents Form 484 ✓ Form setup CITIZEN, JOHN Agent No. 12345 Agent: Company: EXAMPLE COMPANY PTY LTD ACN 000 000 000 ✓ Members Reference: 12345678 → Submit Members - add a new member Form 484 Print form Enter the new member's name or select from the list of existing officeholders View company details Member details Finish form later Date of entry onto register: (DD-MM-YYYY) Home - Forms Manager Log off Select from existing officeholders Help OR Ask a question Person name How this works Technical FAQ Given names Family name Links Search ASIC Search ABR Organisation name ABN or ACN or ARBN or ARSN Address Enter address information starting on the first line. Foreign addresses should be formatted using your local conventions. 3 Country Australia Are the shares held jointly? Cancel



# Adding a new member - holding details

- 1. Enter the Date of change.
- 2. Select a **Share class** from the drop-down list.
- 3. Enter the Number now held.
- 4. Enter the Total paid.
- 5. Enter the Total unpaid.
- 6. Select whether or not the shares are **Beneficially held.**
- Select whether or not you wish to add the member to the top 20 shareholding list for this class.

**Note**: This question is not mandatory. If the company does not have more than 20 members, you can ignore this question.

8. Select Next to continue.

ASIC Forms Manager Australian Securities & Investments Commission Registered Agents Form 484 ✓ Form setup Agent: CITIZEN, JOHN Agent No. 12345 ✓ Members Company: EXAMPLE COMPANY PTY LTD ACN 000 000 000 Reference: 12345678 -- Holdings Add holding Members - add a holding Form 484 Submit Complete details for the new shareholding Member Name Print form EXAMPLE, User 1 View company details Finish form later Holding details Home - Forms Manager Date of change: (DD-MM-YYYY) Log off **-** 2 Share class: Help Ask a question Number now held: How this works 4 Total \$ paid: Technical FAQ Total \$ unpaid: Links Beneficially held? Search ASIC Yes No Search ABR Is this an unchanged member, whom you wish to add to the top 20 shareholding list for this class? Yes No If 'yes': . If the member holds more than one class of shares, only show the share class for which the member is now a top 20 member. . In the "Date of Change" field, enter the date the member became a top 20 member in the share class. Cancel Next ASIC Home | Privacy Statement | Conditions of use | Feedback Copyright 2003-2014 Australian Securities & Investments Commission.



# Adding a new member - holding details

- 1. Review the **new member details.**
- 2. Select **Next** to confirm the details are correct, and to continue.
- Select **Undo** to remove the changes, or
- Select **Review** to edit the changes (this will take you to the previous screen).

**Note**: if no further changes are required, go to page 18.

ASIC Forms Manager Australian Securities & Investments Commission Registered Agents Form 484 CITIZEN, JOHN Agent No. 12345 Agent: ✓ Form setup EXAMPLE COMPANY PTY LTD ACN 000 000 000 Company: ✓ Members Reference: 12345678 Holdings → Submit Members - holdings summary Form 484 Add, change or cease share holding details for a member Print form View company details Member name EXAMPLE, User 1 1 Finish form later Home - Forms Manager Holding details Log off Share class Original No Held New No Held Help Ask a question Add a new holding for this member How this works Technical FAQ Links ASIC Home | Privacy Statement | Conditions of use | Feedback Search ASIC Copyright 2003-2014 Australian Securities & Investments Commission. Search ABR

**Note**: If you adding a new share type to an existing member, select **Next** to continue to the member's summary page, and select **Add a new member to the register.** You cannot add a new share type to an existing member from this page - the members holdings summary page.



# Changing an existing member's shareholding

1. Select **Change** to change the member's shareholdings.

**Note**: if the only 'change' you wish to make is to whether or not the member's shares are benefically held, you must cease and then re-add the member.

ASIC Forms Manager Australian Securities & Investments Commission Registered Agents Form 484 ✓ Form setup Agent: CITIZEN, JOHN Agent No. 12345 ✓ <u>Members</u> Company: EXAMPLE COMPANY PTY LTD ACN 000 000 000 Reference: ▶ Holdings 12345678 - Submit Members - holdings summary Form 484 Add, change or cease share holding details for a member Print form View company details Member name EXAMPLE, User 1 Finish form later Home - Forms Manager Holding details Log off Share class Original No Held New No Held ORD Help 1 CHANGE CEASE Ask a question Add a new holding for this member How this works Next Technical FAQ Links ASIC Home | Privacy Statement | Conditions of use | Feedback Search ASIC Copyright 2003-2014 Australian Securities & Investments Commission. Search ABR



# Changing an existing member's shareholding

- 1. Enter the Date of change.
- 2. Enter the Number now held.
- 3. Enter the **Total paid.**
- 4. Enter the Total unpaid.
- 5. Select whether or not the shares are **Beneficially held.**
- Select whether or not you wish to remove the member from the top 20 shareholding list for this class.

**Note**: This question is not mandatory. If the company does not have more than 20 members, you can ignore this question.

7. Select **Next** to continue.

ASIC Forms Manager Australian Securities & Investments Commission Registered Agents Form 484 CITIZEN, JOHN Agent No. 12345 ✓ Form setup Agent: ✓ Members EXAMPLE COMPANY PTY LTD ACN 000 000 000 Company: Reference: 12345678 → Holdings ▶ Change holdings Members - change a member's holding Form 484 > Submit Complete the details of the change to the member's holding. Holding before change Print form Share class: View company details Member Name: EXAMPLE, User 1 Number of shares currently 1 Finish form later Home - Forms Manager Holding after change Log off (DD-MM-YYYY) Date of change: Help Number now held: Ask a question Total \$ paid: 3 How this works Technical FAQ Total \$ unpaid: Beneficially held? Links Yes No Search ASIC Search ABR Is this an unchanged member, whom you wish to remove from the top 20 shareholding list for this class? Tes No Next Cancel ASIC Home | Privacy Statement | Conditions of use | Feedback Copyright 2003-2014 Australian Securities & Investments Commission.



# Changing a member's shareholdings

- 1. Review the **new member details.**
- 2. Select **Next** to confirm the details are correct, and to continue.
- 3. Select **Undo** to remove the changes, or
- Select **Review** to edit the changes (this will take you to the previous screen).

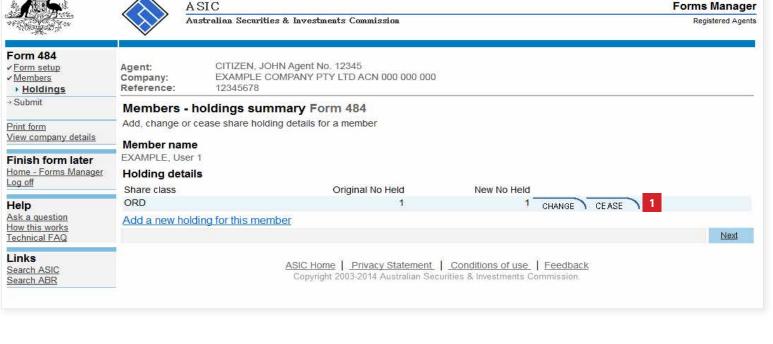
**Note**: if no further changes are required, go to page 18.

ASIC Forms Manager Australian Securities & Investments Commission Registered Agents Form 484 CITIZEN, JOHN Agent No. 12345 Agent: ✓ Form setup EXAMPLE COMPANY PTY LTD ACN 000 000 000 ✓ Members Company: Reference: 12345678 ▶ Holdings → Submit Members - holdings summary Form 484 Add, change or cease share holding details for a member Print form View company details Member name EXAMPLE, User 1 1 Finish form later Home - Forms Manager Holding details Log off Share class Original No Held New No Held ORD Help UNDO REVIEW 4 Ask a question Add a new holding for this member How this works Next Technical FAQ Links ASIC Home | Privacy Statement | Conditions of use | Feedback Search ASIC Copyright 2003-2014 Australian Securities & Investments Commission. Search ABR



### Ceasing a member

1. Select **Cease** to cease a member.



Note: If you adding a new share type to an existing member, select Next to continue to the member's summary page, and select Add a new member to the register. You cannot add a new share type to an existing member from this page - the members holdings summary page.



Forms Manager

Registered Agents

Next

## Ceasing a member

- 1. Enter the **Date of change.**
- 2. Select Next to continue.

Australian Securities & Investments Commission Form 484 ✓ Form setup Agent: CITIZEN, JOHN Agent No. 12345 ✓ Members Company: EXAMPLE COMPANY PTY LTD ACN 000 000 000 Reference: 12345678 → Holdings Cease holdings Members - cease a member's holding Form 484 → Submit Holding details before cessation Share class: Print form EXAMPLE, User 1 Member Name: View company details Number of shares currently 1 Finish form later Holding details after cessation Home - Forms Manager Log off Date of change: (DD-MM-YYYY) Number now held: Help Cancel Ask a question How this works Technical FAQ ASIC Home | Privacy Statement | Conditions of use | Feedback Copyright 2003-2014 Australian Securities & Investments Commission. Links Search ASIC Search ABR

ASIC



# Ceasing a member's shareholdings

- 1. Review the details of the member being ceased.
- 2. Select **Next** to confirm the details are correct, and to continue.
- Select **Undo** to remove the changes, or
- Select **Review** to edit the changes (this will take you to the previous screen).

ASIC Forms Manager Australian Securities & Investments Commission Registered Agents Form 484 CITIZEN, JOHN Agent No. 12345 ✓ Form setup Agent: ✓ <u>Members</u> Company: EXAMPLE COMPANY PTY LTD ACN 000 000 000 Reference: 12345678 ▶ Holdings · Submit Members - holdings summary Form 484 Add, change or cease share holding details for a member Print form View company details Member name Finish form later EXAMPLE, User 1 Home - Forms Manager Holding details Log off Share class Original No Held New No Held ORD Help Ask a question Add a new holding for this member How this works Next Technical FAQ Links ASIC Home | Privacy Statement | Conditions of use | Feedback Search ASIC Search ABR Copyright 2003-2014 Australian Securities & Investments Commission.

**Note**: If you adding a new share type to an existing member, select **Next** to continue to the member's summary page, and select **Add a new member to the register.** You cannot add a new share type to an existing member from this page - the members holdings summary page.



### Review changes

- **1. Review** all changes to the members register.
- 2. Select **Next** to confirm the changes are correct., or
- 3. Select **Undo** to remove the changes, or
- 4. Select **Review** to edit the changes, or
- 5. Select Change to make additional changes.

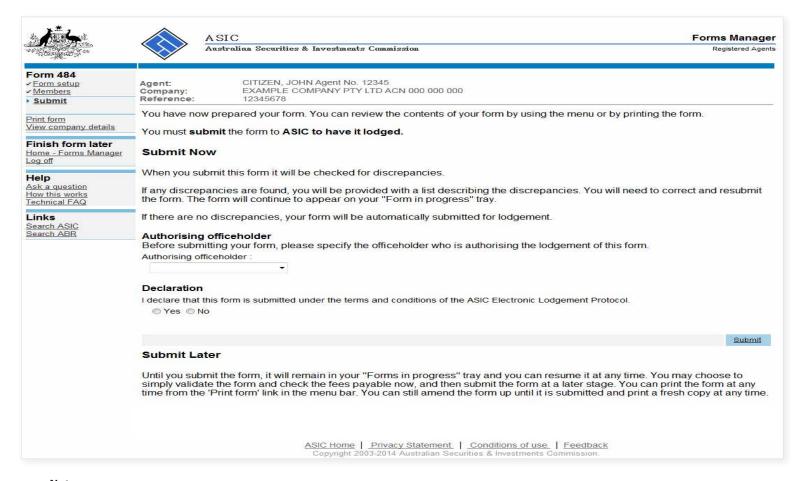
ASIC Forms Manager Australian Securities & Investments Commission Registered Agents Form 484 CITIZEN, JOHN Agent No. 12345 EXAMPLE COMPANY PTY LTD ACN 000 000 000 ✓ Form setup Agent: Members Company: Reference: - Submit Members - summary Form 484 Print form You can change a members holdings or add a new member View company details **Current members** Finish form later Home - Forms Manager EXAMPLE, User 1 UNDO REVIEW Log off EXAMPLE, User 2 CHANGE Help Add a new member to the register Ask a question How this works View share holdings by class Technical FAQ Next Links Search ASIC ASIC Home | Privacy Statement | Conditions of use | Feedback Search ABR Copyright 2003-2014 Australian Securities & Investments Commission.



## Make the declaration

- Select the company's Authorising officeholder from the drop-down list.
- Select Yes to complete the declaration when you are satisfied the document is being lodged within the terms and conditions of ASIC's Electronic Lodgement Protocol.
- Select **Submit** to lodge the form with ASIC.

The screens and data pictured in this guide are examples only. Actual screens can have minor differences in text and layout.



### Notes:

- The lodgement process is not instantaneous it may take some time to transmit and validate.
- If the form validates and is accepted by ASIC, it will appear in Forms Submitted.
- If the form does not validate, it will appear in the **Forms in Progress.** You must select the form to display the validation errors, in order to correct it.



## Confirmation of form submission

This screen confirms your document was submitted to ASIC.

1. Select **Next** to continue.

### Note:

Occasionally, the system may present an additional form submission screen at this point. If this is the case, continue to select **Next** to finalise this transaction.

ASIC Forms Manager Australian Securities & Investments Commission Registered Agents Form 484 CITIZEN, JOHN Agent No. 12345 Confirmation Agent: EXAMPLE COMPANY PTY LTD ACN 000 000 000 Company: Reference: 12345678 Print form Confirmation of Form Submission Finish form later Home - Forms Manager Log off Your document was submitted for validation to ASIC on 22/05/2014 at 15:47:05. Help Ask a question How this works Technical FAQ Next Links Search ASIC ASIC Home | Privacy Statement | Conditions of use | Feedback Search ABR Copyright 2003 Australian Securities & Investments Commission.